**EXHIBIT B** 

## In The Matter Of:

DR. KAMIAR ALAEI v. STATE UNIVERSITY OF NEW YORK, et al.

# BRUCE SZELEST April 12, 2021

**COVERING ALL UPSTATE NEW YORK** 



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Min-U-Script® with Word Index

| STATE OF NEW YORK                       |
|---|
| COURT OF CLAIMS                         |
| :                                       |
| In the Matter of the Claim by           |
| DR. KAMIAR ALAEI,                       |
| Claimant,                               |
|   |
| - Against - Claim Number:               |
| 132554                                  |
| STATE UNIVERSITY OF NEW YORK,           |
| STATE UNIVERSITY OF NEW YORK AT ALBANY, |
| and THE STATE OF NEW YORK,              |
| Respondents.                            |
| :                                       |
| DEPOSITION of: BRUCE SZELEST            |
| (Respondent Agent)                      |
|   |
| Monday, April 12, 2021                  |
| 10:03 a.m 12:45 p.m.                    |
|   |
|   |
| HELD: Via Zoom Video Conferencing       |
|   |
| Reported by: Deborah M. McByrne         |
|   |
|   |

| APPEARANCES: (All via Zoom)  APPEARING FOR CLAIMANT:  YOUNG/SOMMER LLC  Five Palisades Drive, Suite 300  Albany, New York 12205  (518) 438-9907  BY: JOSEPH F. CASTIGLIONE, ESQ.  JCastiglione@youngsommer.com  APPEARING FOR RESPONDENTS:  NEW YORK STATE OFFICE OF THE ATTORNEY GENERAL  The Capitol  Albany, New York 12224  (518) 776-2576  BY: ANTHONY ROTONDI, ESQ.  Assistant Attorney General  Anthony.Rotondi@ag.ny.gov |    |   |
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|  | 18 | Assistant Attorney General                    |
| 20   | 19 | Anthony.Rotondi@ag.ny.gov                     |
|  | 20 |   |
| 21 ALSO PRESENT:   | 21 | ALSO PRESENT:                                 |
| Dr. Kamiar Alaei   | 22 | Dr. Kamiar Alaei                              |
| 23   | 23 |   |
| 24   | 24 |   |
| 25   | 25 |   |

| 1  | STIPULATIONS   |
|----|--|
| 2  |  |
| 3  |  |
| 4  | IT IS HEREBY STIPULATED, by and between the attorneys hereto, that:  |
| 5  |  |
| 6  | All rights provided by the C.P.L.R, and Part 221 of the Uniform Rules for the Conduct of Depositions, including the right to object to any       |
| 7  | question, except as to form, or to move to strike  |
| 8  | any testimony at this examination is reserved; and in addition, the failure to object to any question or to move to strike any testimony at this |
| 9  | examination shall not be a bar or waiver to make such motion at, and is reserved to, the trial of  |
| 10 | this action.   |
| 11 |  |
| 12 | This deposition may be sworn to by the witness being examined before a Notary Public other   |
| 13 | than the Notary Public before whom this examination was begun, but the failure to do so or to return the   |
| 14 | original of this deposition to counsel, shall not be deemed a waiver of the rights provided by Rule 3116   |
| 15 | of the C.P.L.R, and shall be controlled thereby.   |
| 16 | The filing of the original of this deposition is waived.   |
| 17 | acposition is warvea.  |
| 18 | IT IS FURTHER STIPULATED, that a copy of this examination shall be furnished to the attorney   |
| 19 | for the witness being examined without charge.   |
| 20 |  |
| 21 |  |
| 22 |  |
| 23 |  |
| 24 |  |
| 25 |  |
|    |  |

| 1  |    | BRUCE SZELEST,                                       |
|----|----|--|
| 2  |    | was called as a witness, and having been first       |
| 3  |    | duly sworn, was examined and testified as            |
| 4  |    | follows:   |
| 5  |    | EXAMINATION BY                                       |
| 6  |    | MR. CASTIGLIONE:                                     |
| 7  | Q. | Good morning. My name is Joe Castiglione. I'm an     |
| 8  |    | attorney in the with the law firm of Young Sommer.   |
| 9  |    | We represents Dr. Kamiar Alaei in a lawsuit          |
| 10 |    | concerning the State of New York in the New York     |
| 11 |    | State Court of Claims. You're here as a possible     |
| 12 |    | witness concerning Dr. Alaei's claims, concerning    |
| 13 |    | his appointment while with SUNY Albany.              |
| 14 |    | And just for reference, if I say SUNY                |
| 15 |    | Albany or the University, I'm referring to the State |
| 16 |    | University of New York at Albany; is that clear?     |
| 17 | A. | Umm-hmm, yes.  |
| 18 | Q. | Okay. I'm going to ask you some questions today to   |
| 19 |    | probe your knowledge and find out maybe what         |
| 20 |    | information you have and don't have, to see what     |
| 21 |    | information you might have of documents that we'll   |
| 22 |    | go through.  |
| 23 |    | If you could please answer the                       |
| 24 |    | questions as presented. If an objection is made by   |
| 25 |    | your counsel, that's for purposes of just preserving |

the record. You have to answer the question unless otherwise specifically directed not to answer by your counsel.

The stenographer is here to swear you under oath, to create a transcript of the deposition today and what we're discussing. For purposes of maintaining an accurate record, please let me ask you the full question first before you answer. When I'm done, you can then answer. She just simply can't type us both talking at the same time.

Everything is on the record unless we otherwise both agree to go off the record. If I ask you a question, please respond to the best of your ability. If you don't understand the question as presented, let me know and I'll try to rephrase. We can take a break if you need to talk to your counsel or bathroom or anything like that, just let us know, that's no problem. But if a question has been posed to you, you have to first answer the question before we go on break or before you speak with your counsel.

Is there any reason today that you are not able to respond truthfully or accurately to the best of your ability to questions presented?

A. No.

2.1

- 1 Q. Okay. And I'm sorry, can you state your name again
- 2 for the record?
- 3 A. Bruce Szelest.
- 4 Q. And Mr. Szelest, did you review any documents today
- in review of your deposition?
- 6 A. I did.
- 7 Q. Can you tell me what you reviewed?
- 8 A. A recommendation from University Counsel John Reilly
- 9 to me about these matters from April 10, 2018.
- 10 Q. Did you review any other documents?
- 11 A. No.
- 12 Q. Okay. Other than your legal counsel, did you have
- any conversations with anybody in anticipation of
- 14 today's deposition?
- 15 A. No.
- 16 Q. Can you explain to me your current -- if you're --
- 17 strike that.
- 18 Are you currently employed?
- 19 A. I am.
- 20 | Q. Explain to me what your current employment position
- 21 is.
- 22 A. I am Chief of Staff to the President at the
- 23 University at Albany.
- 24 Q. And how long have you held that position?
- 25 A. For five years, I believe.

- 1 Q. So that would include the years 2017 and 2018?
- 2 A. Yes.
- Q. Can you explain to me what your job responsibilities
- 4 are as Chief of Staff to the President of SUNY
- 5 Albany?
- 6 A. I help coordinate with the vice presidents on their
- 7 priorities that are aligned with the campus'
- 8 strategic priorities and strategic plan. And I am
- 9 the primary liaison between the various vice
- 10 presidents, as well as tracking major University
- initiatives and making sure that they are
- 12 accomplished and pursued.
- 13 Q. Okay.
- 14 A. I also administer the -- kind of the administrative
- 15 -- run the administrative functions of the office of
- the President, which involves supervising staff,
- managing the budget and such.
- 18 O. Okay. Were those employment responsibilities
- 19 essentially the same in 2017 and 2018?
- 20 A. Yes, sir.
- 21 Q. Have you ever been deposed before?
- 22 A. I have not.
- 23 Q. I'm going to be showing you what was previously
- identified as Plaintiff's -- excuse me, Claimant's
- 25 Exhibit A-1. If I can share screen here.

| 1  |    | I'm showing you what's been previously               |
|----|----|--|
| 2  |    | identified as Claimant's Exhibit A-1. If you could   |
| 3  |    | just take a look at this letter and I'll just scroll |
| 4  |    | through it first, its entirety, and then go slowly   |
| 5  |    | through.   |
| 6  |    | Are you familiar with this document                  |
| 7  |    | identified as Claimant's Exhibit A-1, which is a     |
| 8  |    | letter from SUNY Albany dated February 8, 2018, to   |
| 9  |    | Dr. Alaei?   |
| 10 | Α. | I remember seeing this, so I did look at some        |
| 11 |    | materials several weeks ago, but I did not look at   |
| 12 |    | other materials today in preparation for this. So I  |
| 13 |    | have seen this previously, I believe.                |
| 14 | Q. | And do you recall a time where SUNY Albany had       |
| 15 |    | placed Dr. Kamiar Alaei on alternative assignment?   |
| 16 | A. | Yes.   |
| 17 | Q. | When did you first learn of the grounds for SUNY     |
| 18 |    | Albany to conduct the disciplinary investigation     |
| 19 |    | concerning Dr. Alaei as identified in this           |
| 20 |    | February 8, 2018 letter?                             |
| 21 | A. | I would have no idea. Probably weeks or prior to     |
| 22 |    | this memo, I would assume.                           |
| 23 | Q. | Okay. So sometimes sometime before this              |
| 24 |    | February 8, 2018 letter?                             |
| 25 | Α. | Yes.   |

- Q. Do you recall what the grounds were that were raised as the basis for this disciplinary investigation concerning Dr. Alaei?
  - A. There were allegations of sexual harassment and then in the conduct of that or, coincidently, potential administrative issues that were unearthed or came to light that led to questions about the administration of the institute. I think those are the two primary things.
- 10 Q. Okay. When you say "allegations of sexual
  11 harassment," was it from one individual or more than
  12 one individual?
- 13 A. I could not say.

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- Q. This letter, this Claimant's Exhibit A-1, February
  8, 2018 letter is from the Office of Human Resource
  Management, specifically Randy Stark. Was
  offices -- Was the Office of Human Resources
  Management or OHRM charged with primary
  responsibility for conducting the investigation?
- 20 A. I do not know the answer to that. I don't know the answer to that.
- Q. Okay. Do you know if the investigation reflected in this February 8, 2018 letter from SUNY Albany, Claimant's Exhibit A-1, was part of an investigation which may have also included the Title IX office at

| 1  |    | SUNY Albany?   |
|----|----|--|
| 2  | A. | I would assume so. I don't think Human Resources     |
| 3  |    | would investigate sexual harassment. That would be   |
| 4  |    | the Title IX office.                                 |
| 5  | Q. | Okay. Do you recall if it was, basically, a joint    |
| 6  |    | investigation between Office of Human Resource       |
| 7  |    | Management and Title IX?                             |
| 8  | A. | I do not.  |
| 9  | Q. | Did you participate and when I refer to              |
| 10 |    | investigation, I'm referring to just the             |
| 11 |    | investigations at issue in this letter concerning    |
| 12 |    | Dr. Alaei after February 8, 2018. Did you            |
| 13 |    | participate in that investigation?                   |
| 14 | A. | No.  |
| 15 | Q. | No? Do you know who participated in that             |
| 16 |    | investigation?                                       |
| 17 | A. | I think it was led by Chantelle Cleary. And if       |
| 18 |    | there were things on the human resources side, in    |
| 19 |    | terms of supervisory responsibility and execution of |
| 20 |    | one's official duties, that would be Randy Stark.    |
| 21 | Q. | Do you know who was overseeing the investigation by  |
| 22 |    | Mr. Stark and Ms. Cleary?                            |
| 23 | A. | No.  |
| 24 | Q. | Okay. Was the President's office overseeing the      |
|    |    |  |

investigation at any time?

| 1 | Α. | What do you mean by "overseeing the investigation"?  |
|---|----|--|
| 2 | Q. | So were people from Human Resource Management and/or |
| 3 |    | Title IX reporting to the President's office as time |
| 4 |    | passed about the investigation?                      |
| 5 | Α. | No.  |

- Okay. So the President's office had no 6 Q. No? 7 involvement with the investigation over time?
- 8 Α. Correct.

letter?

- 9 Do you know who was charged with the ultimate Ο. decision about whether or not Dr. Alaei had violated 10 any SUNY Albany policies to justify closing 11 12 discipline pursuant to this February 8, 2018,
- I would think Randy Stark, because the disciplinary 14 Α.

process is brought in by Human Resources --

16 Okay. Ο.

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- -- under University contract, to my knowledge. 17 Α.
- Do you know who was involved in making decisions 18 Ο. 19 concerning Dr. Alaei's employment after February 8, 20 2018?
- 2.1 I believe there were -- ultimately, the President Α. 22 makes that final decision. And University Counsel 23 made recommendation, or outlined possible paths of 24 action.
  - Do you recall when the investigation concerning Ο.

| Dr. | Alaei | concluded? |
|-----|-------|------------|

- 2 A. In a general sense.
- 3 Q. Is it fair to say in or about August 2018?
- 4 A. I don't recall.

- 5 Q. You don't recall? That's fine.
- 6 Do you recall what the conclusion was
- 7 as a result of conducting the investigation
- 8 concerning Dr. Alaei?
- 9 A. I -- The result of the investigation?
- 10 Q. Yeah. Well, what the conclusion was. They
- conducted an investigation. What did the people
- 12 conducting the investigation ultimately conclude
- regarding the merit of the allegations being
- 14 investigated?
- 15 A. I think there were -- it could not be substantiated
- that there was sexual harassment, given the folks
- that were interviewed or available to them. And I
- 18 believe there was no financial malfeasance uncovered
- 19 at that time.
- 20 Q. Do you recall if there was any conclusion regarding
- 21 whether or not to impose discipline concerning
- 22 Dr. Alaei?
- 23 A. I do not. I think that was -- that's a good
- 24 question. I don't recollect.
- 25 Q. Are you aware of any efforts or do you recall any

| ı   |    |   |
|-----|----|---|
| 1   |    | efforts to non-renew Dr. Alaei's employment in or   |
| 2   |    | about the spring of 2018?                           |
| 3   | Α. | So that would be after this letter?                 |
| 4   | Q. | Yes, that would be, let's say, starting in March or |
| 5   |    | April 2018?   |
| 6   | A. | I think the a decision was made to non-renew        |
| 7   |    | Dr. Alaei.  |
| 8   | Q. | Were you involved in the determination to non-renew |
| 9   |    | Dr. Alaei?  |
| 10  | A. | What do you mean by "determination"?                |
| 11  | Q. | I'll get to that later. That's fine.                |
| 12  | A. | I have no determinative authority in the matter.    |
| 13  | Q. | Okay. Let me ask you this: Do you recall there      |
| 14  |    | ultimately being a determination to non-renew       |
| 15  |    | Dr. Alaei?  |
| 16  | A. | Yes.  |
| 17  | Q. | Did you participate in any discussions or provide   |
| 18  |    | any input concerning the ultimate determination not |
| 19  |    | to renew Dr. Alaei?                                 |
| 0.0 | 70 | 37  |

- 20 A. Yes.
- Q. What extent did you involve -- What extent were you involved in that determination or provide input?
- 23 A. There was discussion of whether Dr. Alaei was
  24 entitled to two years compensation or one year of
  25 compensation. And my read of his appointment letter

| 1  |    | was that that was at the discretion of the          |
|----|----|---|
| 2  |    | University, and I had advised to the President that |
| 3  |    | we should seek to exercise the one-year             |
| 4  |    | compensation.                                       |
| 5  | Q. | Okay. Do you recall a time when SUNY Albany         |
| 6  |    | ultimately determined to terminate Dr. Alaei's      |
| 7  |    | employment on or about August 10, 2018?             |
| 8  | A. | I don't know the date, but at some point, I believe |
| 9  |    | we did effect paperwork to that effect.             |
| 10 | Q. | And if I could just refer you to what's been        |
| 11 |    | previously identify as Claimant's Exhibit B-8?      |
| 12 |    | It's not opening.                                   |
| 13 |    | I'm showing you what's been previously              |
| 14 |    | identified as Claimant's Exhibit B-8. Do you recall |
| 15 |    | this document, which is a letter dated August 10,   |
| 16 |    | 2018, to Dr. Alaei?                                 |
| 17 | A. | Yes, I have seen that before.                       |
| 18 | Q. | Okay. And is this the letter that effected the      |
| 19 |    | termination of Dr. Alaei's remaining appointment?   |
| 20 | A. | I see a date on there, August 9, 2018, so I assume  |
| 21 |    | SO.   |
| 22 | Q. | Well, is this the letter, as you recall, that       |
| 23 |    | advised Dr. Alaei he was being terminated or his    |
| 24 |    | appointment was being terminated effected August 9, |
| 25 |    | 2018?   |

| Δ | Yes |
|---|-----|

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- Q. Were you involved or did you provide any input in that determined -- strike that.
- Do you know who was involved in making
  the determination to terminate Dr. Alaei's
  appointment effective August 10, 2018?
  - A. I believe the Provost at the time, Randy Stark,
    made -- University Counsel, made recommendations to
    the President, I would think, or let him know that
    this was the path that we were going down as an
    institute.
- 12 Q. Do you recall -- or strike that.
- Did you have any input regarding that determination?
- 15 A. Not -- no.
- Q. Okay. Do you recall why Dr. Alaei's appointment was terminated effective August 10, 2018?
  - A. I think that the situation, given the sexual harassment allegations and the institute was being run in a -- I would say in a silo way, where they were pretty much off on their own, I think the determination was to close down the institute. The institute also had an advisory board that, best we could tell, did not have any bylaws or charge to it, so it was kind of not a -- not a streamline

bureaucratic administrative operation, if you will.

- Q. Do you recall if Dr. Alaei's appointment was for other work besides being director of GIHHR? And just for -- to be clear, when I reference that -- Just to be clear when I say "GIHHR," I'm referring to the Global Institute on Health and Human Resources.
- 8 A. Correct, yes.
- 9 Q. Okay.

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- 10 A. I'm not sure. I know -- I think he taught a course
  11 or two and might have had an affiliated appointment
  12 with our School of Public Health. I say affiliated
  13 to mean it's kind of in name only; they don't
  14 necessarily provide compensation. But there is a
  15 professional affiliation with the school, given the
  16 area of work.
- Q. Okay. If I can refer you back to what had been identified as Claimant's Exhibit A-1. Do you know who determined to start the disciplinary investigation identified in Claimant's Exhibit A-1?
- 21 A. No.
- Q. The Claimant's Exhibit A-1 refers to an agreement
  between the State of New York and United University
  Professions. At the time, do you know what -- did
  you know what that was referring to?

- A. I just -- from past interactions with Human

  Resources, there are certain procedural steps that

  the University can or cannot take pursuant to a

  collective bargaining agreement with the United

  University Professions. And whatever was done would

  have to be in lock step with what was allowable or

  not with that contract.
- Q. Okay. And if can I refer you to what had been previously identified as Claimant's Exhibit K. Is this the agreement we were just referring to as -and I know I'm only showing you the cover page, but.
- 12 A. Yes.
- Q. So if -- When I refer to the UUP agreement, going forward, I'm referring to the agreement between United University Professions and the State of New York that was in place during 2018.
- 17 A. Correct.

- Q. Okay. Do you know who was charged with making sure that the disciplinary investigation at issue in Claimant's Exhibit A-1, which I will go back to, was performed in accordance with Dr. Alaei's rights under the UUP agreement?
- 23 A. I would assume that would -- or I would expect
  24 Randy Stark to discharge those responsibilities.
  - Q. Okay. At this time, in February 8, 2018, had you

|    |    | 2.1362 62220   |
|----|----|--|
| 1  |    | been involved in any disciplinary investigations     |
| 2  |    | before this?   |
| 3  | A. | No.  |
| 4  | Q. | Had you been involved in any Title IX investigations |
| 5  |    | at this point?                                       |
| 6  | A. | No.  |
| 7  | Q. | What about since February 8, 2018?                   |
| 8  | A. | No.  |
| 9  | Q. | And same answer for disciplinary investigations      |
| 10 |    | since February 8, 2018?                              |
| 11 | A. | Correct.   |
| 12 | Q. | In this February 8, 2018 alternate assignment        |
| 13 |    | letter, Claimant's Exhibit A-1, it notes, in part,   |
| 14 |    | that Dr. Alaei had no obligation, or there was       |
| 15 |    | nothing to warrant his presence on University        |
| 16 |    | facilities.  |
| 17 |    | Do you know who decided that Dr. Alaei               |
| 18 |    | was not to be allowed or have any presence at        |
| 19 |    | University facilities?                               |
| 20 | A. | No.  |
| 21 | Q. | Do you know if that's common in these types of       |
| 22 |    | matters?   |
| 23 | Α. | I believe it is.                                     |

previously identified as Claimant's Exhibit A-1?

Okay. And if I can refer you to what's been

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Q.

| 1  |    | This is an e-mail identified                         |
|----|----|--|
| 2  |    | as dated February 8, 2018, concerning KA             |
| 3  |    | alternate assignment letter, it's from               |
| 4  |    | Brian Selchick S-E-L-C-H-I-C-K.                      |
| 5  |    | In this e-mail, Mr. Selchick refers to               |
| 6  |    | Dr. Alaei having his being relieved of his card      |
| 7  |    | access and keys. Do you know why Dr. Alaei had his   |
| 8  |    | card access and keys removed?                        |
| 9  | Α. | No.  |
| 10 | Q. | Was that a direction from the President's office to  |
| 11 |    | Office of Human Resource Management?                 |
| 12 | A. | Not to my knowledge.                                 |
| 13 | Q. | This e-mail also refers to Dr. Alaei being relieved  |
| 14 |    | of e-mail access. Do you know made that              |
| 15 |    | determination?                                       |
| 16 | A. | No.  |
| 17 | Q. | Was that a directive from the President's office, as |
| 18 |    | far as you're aware, to Office of Human Resource     |
| 19 |    | Management?  |
| 20 | A. | Not to my recollection.                              |
| 21 | Q. | Do you know if that's typical in these types of      |
| 22 |    | alternative assignment matters?                      |
| 23 | A. | I would think that it would be.                      |
| 24 | Q. | Are you aware if the President was aware of these    |
| 25 |    | issues about Dr. Alaei being prohibited from         |

| 1  |    | accessing school facilities, Dr. Alaei having his   |
|----|----|---|
| 2  |    | card and keys removed and Dr. Alaei not being able  |
| 3  |    | to have e-mail access before these actions were     |
| 4  |    | undertaken by University staff?                     |
| 5  | A. | I would if I knew about it, then he would know      |
| 6  |    | about it.   |
| 7  | Q. | Okay. Did you know about it?                        |
| 8  | Α. | I understood what was going to happen, yes.         |
| 9  | Q. | But you don't recall who made the decisions to do   |
| 10 |    | those actions?                                      |
| 11 | Α. | Correct.  |
| 12 | Q. | Do you know if there's any basis in the UUP         |
| 13 |    | agreement to remove access keys or e-mail access    |
| 14 |    | during alternative assignment?                      |
| 15 | Α. | I don't know the answer to that.                    |
| 16 | Q. | If I can refer you to what had been identified as   |
| 17 |    | Claimant's Exhibit A-3. I'm going to scroll down to |
| 18 |    | the e-mail at issue. Actually, Claimant's Exhibit   |
| 19 |    | A-3 is a chain of e-mails. The first one is dated   |
| 20 |    | February 8, 2018, from a Kristin Marshall,          |
| 21 |    | M-A-R-S-H-A-L-L. It appears that you are identified |
| 22 |    | as a recipient.                                     |
| 23 |    | The second e-mail is from                           |
| 24 |    | Harvey Charles, dated February 8, 2018, and it also |
| 25 |    | appears that you are a recipient.                   |

| ı  |    |  |
|----|----|--|
| 1  |    | The third e-mail is an e-mail from                   |
| 2  |    | Kristin Marshall, dated February 8, 2018, which,     |
| 3  |    | again, you were a recipient.                         |
| 4  |    | There is also, then, an e-mail from                  |
| 5  |    | Karl Rethemeyer, R-E-T-H-E-M-E-Y-E-R, dated          |
| 6  |    | February 8, 2018, and it appears that you were also  |
| 7  |    | a recipient on that.                                 |
| 8  |    | In this e-mail from Mr. Rethemeyer, if               |
| 9  |    | you just take a look, he's referring to the GIHHR    |
| 10 |    | website and then states: "We will also need to       |
| 11 |    | change all references to KA."                        |
| 12 |    | Do you know why that was needed to                   |
| 13 |    | change all the references to Dr. Alaei at this point |
| 14 |    | in time on the GIHHR website?                        |
| 15 | Α. | I don't, but I assume if this was during his         |
| 16 |    | reassignment, then we would not want people to       |
| 17 |    | contact him to conduct business of the center or the |
| 18 |    | institute if he was not the director of the          |
| 19 |    | institute at the time.                               |
| 20 | Q. | Do you know if it's typical to remove an employee's  |
| 21 |    | references on a SUNY maintained website during       |
| 22 |    | alternative assignment? And I could re-ask the       |
| 23 |    | question there, if you need to.                      |
| 24 | A. | Yeah, if you could please.                           |
| 25 | Q. | Sure.  |

| 1  |    |  |
|----|----|--|
| 1  |    | Do you know if it's typical on                       |
| 2  |    | alternative assignment for SUNY to remove references |
| 3  |    | or to change references to the employee's            |
| 4  |    | information?   |
| 5  | Α. | I do not.  |
| 6  | Q. | Okay. Do you know if the President was aware of      |
| 7  |    | this decision or proposed course of action before it |
| 8  |    | was made?  |
| 9  | Α. | I don't know for certain, but again, if I knew it,   |
| 10 |    | then the President probably knew it, even though we  |
| 11 |    | were not involved in the you know, to make that      |
| 12 |    | final determination.                                 |
| 13 | Q. | So the President would not have been involved in the |
| 14 |    | final determination about changing references to     |
| 15 |    | Dr. Alaei on the GIHHR website?                      |
| 16 | Α. | I don't think so.                                    |
| 17 | Q. | Okay. If I can refer you to what had been            |
| 18 |    | previously identified as Claimant's Exhibit A-4.     |
| 19 |    | Claimant's Exhibit A-4 is an e-mail from             |
| 20 |    | Harvey Charles to a number of other individuals,     |
| 21 |    | dated February 8, 2018. The subject is invitation    |
| 22 |    | to a GIHHR-wide meeting Friday, 2/9. If you can      |
| 23 |    | take a look at this e-mail.                          |
| 24 |    | Do you recall reading this e-mail at                 |
| 25 |    | the time in February 2018?                           |
|    |    |  |

- 1 A. No, but I was aware of the meeting that was being proposed.
- Q. Okay. Did the President's office approve holding the meeting before it was held?
- 5 A. I don't think we were asked to approve.
- 6 Q. Okay. Do you know why this e-mail -- strike that.
- 7 Do you know why this meeting was
- 8 decided to be held?
- 9 A. Depends on -- So can you scroll up to the date up top, please?
- 11 O. Sure.
- 12 A. So this is the day after the non-renewal was effected?
- Q. This is February 8, 2018. This was the day the
  alternative assignment letter was issued. And it's
  referring to a meeting on the next day, which would
  be Friday, 2/9?
- A. So I recollect that this was to explain to the staff and students of the GIHHR that Dr. Alaei was on alternative assignment and how they should conduct their business during that time.
- 22 Q. Do you -- Sorry, go ahead.
- A. No, that is my recollection of the purpose of that e-mail and the -- for the meeting.
- 25 Q. Okay. Do you recall -- or strike that.

| 1  |    | Did you attend that meeting?                         |
|----|----|--|
| 2  | A. | No, I did not.                                       |
| 3  | Q. | Do you know what was generally said at that meeting? |
| 4  | Α. | I do not.  |
| 5  | Q. | Okay. Do you know if the President was aware of      |
| 6  |    | what was said or the conduct of the meeting?         |
| 7  | A. | Not about the details, no.                           |
| 8  | Q. | Okay. If I can show you what had been previously     |
| 9  |    | identified as Claimant's Exhibit G?                  |
| 10 |    | Claimant's Exhibit G is two e-mails.                 |
| 11 |    | It's The first is an e-mail from a K. Williams to    |
| 12 |    | a James Stellar, dated February 14, 2018. It         |
| 13 |    | states: "I am forwarding, with permission from the   |
| 14 |    | author, this e-mail to you. This student expresses   |
| 15 |    | several concerns that I have also heard and sensed   |
| 16 |    | from GIHHR Board Members to Harvey's e-mail. This    |
| 17 |    | student has been around since before GIHHR           |
| 18 |    | began - she was involved in the initial              |
| 19 |    | grant that provided higher education                 |
| 20 |    | opportunities for politically-at-risk students. She  |
| 21 |    | and others are not happy with how this is being      |
| 22 |    | handled. I think you should know of their            |
| 23 |    | concerns."   |
| 24 |    | And then refers to a second e-mail                   |
| 25 |    | from a person who I'll identify as student, dated    |

February 14, 2018, to Dr. Williams, and then there is an e-mail from this student.

Do you recall ever receiving this e-mail or being told about this e-mail from Dr. Williams to James Stellar?

A. No.

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In this e-mail -- and I'll go through so you can Ο. read, but I'm referring to this second paragraph on what's identified as page 1 of Claimant's Exhibit G. This paragraph says: "I'm sending this e-mail as I'm very concerned about another matter and I was wondering if I can share it with you. On Friday, I attended a meeting organized by the leadership of the University to discuss the leadership of the I was quite shocked about what I heard at GIHHR. the meeting. I could not believe my ears and eyes. The dynamic of the session was rather bizarre. Ι totally understand that for a high-rank person like Dr. Alaei, issues may emerge that may require further scrutiny on the side of the University and I would certainly appreciate it, but the meeting was organized in a manner that implied the decision had been made through a very short process that, by the way, could not be transparently discussed with other members of this community. This dynamic did not

seem to be fair to me as a citizen of the 1 2 University." In this first paragraph on page two 3 starting with "obviously." The student then adds, 4 5 "Obviously, Dr. Alaei was not allowed in the meeting. He was not able to defend himself 6 7 vis-à-vis the accusations that were vaquely and 8 implicitly projected here and there." 9 The student then continues at the end 10 of that paragraph: "I certainly have not been 11 feeling safe at the University since after the 12 meeting that I attended on Friday." 13 Generally speaking, were you 14 previously aware of concerns raised by students or 15 issues raised by students regarding the conduct of that meeting on February 9, 2018 by SUNY personnel? 16 17 Α. No. 18 This e-mail continues with a final paragraph on the Ο. 19 third page, it says: "I am so sorry for bothering 20 you with this long and rather emotional e-mail, but

the Friday meeting was quite similar to the travel

could feel a very strong, yet implicit, cultural and

racial dynamic in place. The current dynamic of the

U.S. is strongly to the disadvantage of the minority

banned meeting in the manner it was organized.

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communities, especially those who are citizens of the so-called profaned countries. We are hoping that this prestigious and inclusive institution does not replicate the political climate. We certainly hope."

Were you aware of concerns or issues being raised by students or attendees concerning concerns with cultural and racial dynamics in place by the people conducting this meeting?

10 A. No.

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- Q. Do you know if anyone else communicated these types of concerns to SUNY Albany?
- 13 A. Not to my knowledge.
- Q. Do you know there were any steps taken by SUNY
  personnel to address concerns of racism as part of
  the conduct of the investigation concerning
  Dr. Alaei?
- MR. ROTONDI: Object to the form of the question.
- 20 O. You can still answer.
- 21 A. No.
- Q. Do you know if anyone at SUNY Albany talked to anyone conducting the investigation about these concerns about cultural and racial dynamics in dealing with the investigation, itself?

| 1 | Α. | No. |     |       |    |   |        |    |     |      |     |     |  |  |
|---|----|-----|-----|-------|----|---|--------|----|-----|------|-----|-----|--|--|
| 2 | Q. | Are | you | aware | of | а | person | at | the | time | who | was |  |  |

- interviewed named Elizabeth Grey?
- 4 A. Yes.
- Q. Are you aware of whether or not Ms. Grey had raised concerns that some of the issues being raised
- 7 concerning Dr. Alaei that were the basis of the investigation may have been cultural differences?
- 9 A. No.
- Q. Do you know if anybody took any action to address that issue being raised by Ms. Grey?
- 12 A. I do not.
- Q. Okay. So you don't know if anybody spoke to the people conducting the investigation concerning

  Dr. Alaei as to whether or not they should, you know, take certain steps to address those types of concerns?
- 18 A. I do not.
- Q. Okay. If I can refer you to what's been previously identified as Claimant's Exhibit A-6.
- Claimant's Exhibit A-6 is a series of
  e-mails, with the first e-mail being dated
  February 9, 2018, from Brian Selchick to other
  individuals. And then there being an e-mail from
  Harvey Charles, dated February 8, 2018, to a number

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of individuals; an e-mail from James Dias, dated February 8, 2018, to several individuals; an e-mail between James Dias and Dr. Alaei concerning -- or dated February 8, 2018. It's regarding sn invitation to speak at Los Alamos National Laboratory in June 2018.

I'll scroll down. There's additional e-mails from Dr. Alaei and an individual named John Ventura, all dated February 2018. Apparently, Dr. Alaei was asked to sit on a panel with the North Korean refugee and the U.S. Ambassador to the U.N., Nikki Haley, to discuss his experience while being in prison in Iran.

I'm going to scroll up now to this e-mail from Mr. Selchick, dated February 9, 2018, the first document in Plaintiff's -- or Claimant's Exhibit A-6.

Mr. Selchick states, basically, that Dr. Alaei can participate in such a panel as a private citizen and not as a representative of the University. Were you aware of Office of Human Resource Management making the determination that Dr. Alaei could only participate in these types of speaking events as a private citizen and not as a representative of the University?

- A. I think I was. Yes, I think I was.
- Q. Okay. Do you know if any concerns were raised about
- 3 whether Dr. Alaei, if he did participate and
- 4 identified himself as an employee of SUNY Albany,
- that there would be some sort of harm to SUNY
- 6 Albany?

- 7 A. I believe at this time Dr. Alaei was either on
- 8 alternative assignment or the non-renewal had been
- 9 effected. And so, therefore, Dr. Alaei would not be
- 10 representing the University.
- 11 Q. If Dr. Alaei was still on alternative assignment at
- this matter, is there grounds in the UUP, as far as
- you're aware of the UUP agreement, that a person on
- 14 alternative assignment cannot identify their
- affiliation or employment with the University as
- 16 part of private speaking events?
- 17 A. I do not know the answer to that.
- 18 O. Okay. Do you know if the President directed that
- 19 Dr. Alaei not be allowed to identify himself as
- 20 employed or affiliated with SUNY Albany as part of
- 21 the speaking engagements if he engaged in any of
- these engagements?
- 23 A. Not to my knowledge.
- 24 O. Okay. I'm going to refer you to what's been
- 25 previously identified as Claimant's Exhibit A-7.

| 1  |    | Claimant's Exhibit A-7, I'm referring to an e-mail   |
|----|----|--|
| 2  |    | from Harvey Charles, dated February 9, 2018, sent at |
| 3  |    | 6:59 p.m. to a number of individuals. The subject    |
| 4  |    | is GIHHR. It looks like you are a recipient on       |
| 5  |    | that, Mr. Szelest. If you could just take a look at  |
| 6  |    | this e-mail?   |
| 7  |    | Mr. Szelest, do you have any                         |
| 8  |    | recollection of this e-mail?                         |
| 9  | Α. | Yes.   |
| 10 | Q. | Did you have any input on the content of this e-mail |
| 11 |    | before it was sent?                                  |
| 12 | A. | I don't think so.                                    |
| 13 | Q. | Do you know if the President had any input on the    |
| 14 |    | content of this e-mail before it was sent?           |
| 15 | Α. | I do not know.                                       |
| 16 | Q. | Do you know if there was any strike that.            |
| 17 |    | Do you know why this e-mail was                      |
| 18 |    | drafted and sent to these individuals?               |
| 19 | A. | What is the date on that?                            |
| 20 | Q. | February 9, 2018.                                    |
| 21 | A. | Then that was after alternative assignment or        |
| 22 |    | non-renewal?   |
| 23 | Q. | The alternative assignment was sent by a letter      |
| 24 |    | dated February 8, 2018, so the day before?           |
| 25 | A. | And your question to me is: Why was this letter      |
|    | 1  |  |

|    |    | DROOL OZELEOT  | _ |
|----|----|--|---|
| 1  |    | sent?  |   |
| 2  | Q. | Yes.   |   |
| 3  | Α. | I believe to establish what the leadership of the    |   |
| 4  |    | institute was during a time when the existing        |   |
| 5  |    | director was placed on alternative assignment.       |   |
| 6  | Q. | Do you know if there was any discussion by SUNY      |   |
| 7  |    | personnel before this e-mail was sent out as to      |   |
| 8  |    | whether it may have violated Dr. Alaei's rights      |   |
| 9  |    | under the UUP agreement?                             |   |
| 10 | A. | I do not know. I would assume that whatever was      |   |
| 11 |    | done, was done in consultation with Human Resources, |   |
| 12 |    | who would be, I think, responsible for ensuring that |   |
| 13 |    | the University stayed in congruence with the UUP     |   |
| 14 |    | contract, at least that would be my expectation.     |   |
| 15 | Q. | Do you know if there was any discussion beforehand   |   |
| 16 |    | by SUNY personnel whether this e-mail might wrongly  |   |
| 17 |    | imply that Dr. Alaei had done something wrong?       |   |
| 18 | A. | I don't know the answer. I By "SUNY," you mean       |   |
| 19 |    | University at Albany staff?                          |   |
| 20 | Q. | Yes, I'm sorry, SUNY Albany staff.                   |   |
| 21 | A. | That's fine.   |   |
| 22 |    | I don't know the answer to that.                     |   |
| 23 | Q. | Do you know if the President approved this e-mail    |   |
| 24 |    | before it was sent?                                  |   |

Α.

I do not, no.

| Q. | Okay. Do you know who decided or made the decision,  |
|----|--|
|    | the final decision, to appoint these two individuals |
|    | as interim co-directors as represented in this       |
|    | e-mail?  |

- 5 A. I -- Final determination likely would have been 6 Provost Stellar, I think.
- Q. Do you know if the President had any approval -- or strike that.

Do you know if the President had to
approve that before they were formally appointed as
interim co-directors?

12 A. I do not think so.

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- Q. If I can refer you to what had been identified as
  Claimant's Exhibit A-11?
- 15 It might have been Dean Rethemeyer who made the Α. 16 final recommendation. I'm just a little foggy on whether GIHHR reports to the Rockefeller Dean or to 17 18 the Provost or to the Vice President for research. 19 Whichever one of those parties that's institute 20 effectively reports to would have been responsible for the final determination on who the interim 2.1 22 directors would be, if that helps.
  - Q. Okay. If I can refer you to Claimant's Exhibit
    A-11. And Claimant's Exhibit A-11, I'm referring to
    an e-mail from James Stellar to you, Mr. Szelest,

| 1  |    | dated February 22, 2018, and others. If you could   |
|----|----|---|
| 2  |    | take a look at this e-mail.                         |
| 3  |    | Do you recall receiving this e-mail?                |
| 4  | A. | Not really, but I see my name on it.                |
| 5  | Q. | Okay. In this e-mail, Mr. Stellar refers to: "We    |
| 6  |    | may not need to set up a meeting of or with the new |
| 7  |    | directors."   |
| 8  |    | What was Mr. Stellar's responsibility               |
| 9  |    | at the time as to GIHHR and Dr. Alaei, if you know? |
| 10 | Α. | I'm not sure. As Provost, Dr. Stellar's             |
| 11 |    | responsibility encompassed all things academic.     |
| 12 | Q. | Okay. Do you know if there was a determination by   |
| 13 |    | SUNY Albany at this point that Dr. Alaei had been   |
| 14 |    | removed as director of GIHHR?                       |
| 15 | Α. | I'm not sure about the question. Is this during the |
| 16 |    | time that he was on alternative assignment?         |
| 17 | Q. | Yes, he would still be on alternative assignment at |
| 18 |    | this point.   |
| 19 | Α. | And there were interim directors appointed?         |
| 20 | Q. | Per to the last e-mail, as far as I can tell.       |
| 21 | A. | So that's the situation then.                       |
| 22 | Q. | My question is, though: Do you know if there was a  |
| 23 |    | determination by SUNY that Dr. Alaei had been       |
| 24 |    | removed as director? So is that yes?                |

It sounds to me like during the alternative

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Α.

- assignment, he was effectively removed as director and new directors were put in place.
- Q. Okay. Are you familiar with the two individuals that were appointed as these interim directors or new directors?
- 6 A. I know of them and their work. I know them, yes.
- 7 Q. Okay. Do you know the race of these two women?
- 8 A. Yes.
- 9 O. What is it?
- 10 A. Gina is a white female and Dina is a female -- I
  11 think she's international by origin, and I'm not
  12 sure if that international origin would classify her
  13 as potentially Asian or not or white.
- Q. Okay. And they're both females, obviously, or identify as females?
- 16 A. Yes.
- Q. Do you know if, at the time, these two individuals had higher quality professional credentials for running GIHHR than Dr. Alaei had, as far as you're aware?
- 21 A. I am not equipped to answer that question.
- 22 Q. So you don't know?
- 23 A. I do not know.
- Q. Okay. Do you know why Mr. Stellar was communicating with you about this issue here as reflected in

| 1  |    | Claimant's Exhibit A-11?                             |
|----|----|--|
| 2  | Α. | Could you scroll down? Is that the end of the        |
| 3  |    | e-mail?  |
| 4  | Q. | Yes, this is the only e-mail we have for this.       |
| 5  | Α. | I would assume                                       |
| 6  | Q. | He might have been referring to he well, part of     |
| 7  |    | an earlier e-mail from Jordan Carleo-Evangelist, but |
| 8  |    | my question was: Do you recall why Mr. Stellar was   |
| 9  |    | communicating with you regarding updates on GIHHR as |
| 10 |    | of February 22, 2018?                                |
| 11 | Α. | Yeah, this may be in reference or due to             |
| 12 |    | interactions with the Advisory Board at the time,    |
| 13 |    | and so some of those folks are high-powered          |
| 14 |    | nationally, internationally-prominent individuals.   |
| 15 |    | And so anything that could go back and forth that    |
| 16 |    | could potentially injure the reputation of the       |
| 17 |    | University or whatnot, I could see Provost Stellar   |
| 18 |    | sharing information and chatting about the           |
| 19 |    | situation.   |
| 20 | Q. | Okay. And had people, as you recall from the         |
| 21 |    | Advisory Board, been responding Dr. Charles' prior   |
| 22 |    | e-mail advising them about the appointment of        |
| 23 |    | interim directors? And let me just go back to        |
| 24 |    | Dr. Charles' e-mail, Exhibit 7.                      |

So referring back to Claimant's

| 1  |    | Exhibit A, sub 7, the e-mail from Dr. Charles dated |
|----|----|---|
| 2  |    | February 9, 2018, regarding GIHHR. "This e-mail     |
| 3  |    | announcing that Dana Refki, R-E-F-K-I, and          |
| 4  |    | Gina Volynsky, V-O-L-Y-N-S-K-Y, had been appointed  |
| 5  |    | as interim co-directors. This was sent to people,   |
| 6  |    | including the GIHHR Advisory Board members?         |
| 7  | Α. | Yes.  |
| 8  | Q. | Okay.   |
| 9  | Α. | Yes. So your question to me is if you could         |
| 10 |    | repeat it, please?                                  |
| 11 | Q. | Sure. You were just discussing that you were        |
| 12 |    | communicating with Mr. Stellar likely because of    |
| 13 |    | concerns being raised by GIHHR Board Members and    |
| 14 |    | ensuring to protect the reputation and name of SUNY |
| 15 |    | Albany?   |
| 16 | Α. | Yes.  |
| 17 | Q. | And so in response to this e-mail from Dr. Charles  |
| 18 |    | to these GIHHR individuals, did you receive         |
| 19 |    | responses from GIHHR Advisory Board Members         |
| 20 |    | concerning this matter?                             |
| 21 | Α. | I don't know if I, personally, received them, but I |
| 22 |    | believe either Provost Stellar or other members of  |
| 23 |    | the University have. And there was a lot            |
| 24 |    | of because of the as you read from                  |
| 25 |    | Dr. Charles' announcement, that little to no        |

information was provided about Dr. Alaei and, therefore, folks were asking questions, well, what's going on and whatnot. And as a matter of practice, the University would not comment on the particulars of the case, to my knowledge, and that left them with a vacuum of information that they did not like.

Q. So if I can refer you to Claimant's Exhibit 10 -- or I'm sorry, Claimant's Exhibit A, sub 10, which includes an e-mail from a Naz Boniadi, which is B-O-N-I-A-D-I, dated February 15, 2018. It appears to be responding to an e-mail from Provost Stellar about an update on GIHHR. It looks like you're a recipient.

This e-mail appears to be supporting somebody else's sentiments. There then there's an e-mail from a Kaveh, K-A-V-E-H, Khoshnood, K-H-O-S-H-N-O-O-D, advising Provost Stellar he found his explanation unsatisfactory. So are these the types of e-mails that SUNY Albany was receiving concerning this advisement about two interim directors being appointed?

A. Yes, I believe so.

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- Q. Were there a lot of e-mails received? Were there only a couple, as far you're aware?
  - A. I think a couple to a few.

| Q. | In your capacity as Chief of Staff for the           |
|----|--|
|    | President, are you usually involved in Title IX or   |
|    | disciplinary investigations?                         |
| Α. | No.  |
| Q. | So your involvement here was based upon, as you      |
|    | said, concerns about maintaining and protecting the  |
|    | University's reputation; is that fair to say?        |
| Α. | Yes.   |
| Q. | If I could show you what's been marked as Claimant's |
|    | Exhibit A-12. This is an e-mail from Dr. Alaei to    |
|    | Harvey Charles, dated February 27, 2018. As part of  |
|    | this Dr. Alaei states: "Unfortunately, University    |
|    | at Albany has barred me from communicating on any of |
|    | the grants and programs I've been administering."    |
|    | Dr. Alaei goes on to identify, you                   |
|    | know, some of these programs concerning individuals  |
|    | in Iran as participants and concerns about           |
|    | administering these grants and programs. Do you      |
|    | know if SUNY Albany undertook any specific action in |
|    | response to concerns being raised by Dr. Alaei about |
|    | these grants or programs that he had been working on |
|    | but now was precluded from working on?               |
| Α. | I do not know the details of that.                   |
| Q. | If I can refer you to what had been previously       |
|    | identified as Claimant's Exhibit A-13. Claimant's    |
|    | A.<br>Q.<br>A.                                       |

| 1  |    | Exhibit A-13 is an e-mail from Chantelle Cleary to  |
|----|----|---|
| 2  |    | others dated March 9, 2018.                         |
| 3  |    | If you can take a look at this e-mail.              |
| 4  |    | Let me ask you first: Who is Chantelle Cleary at    |
| 5  |    | the time?   |
| 6  | Α. | She is our Title IX officer.                        |
| 7  | Q. | And is she still the Title IX officer for SUNY      |
| 8  |    | Albany?   |
| 9  | Α. | She is not.   |
| 10 | Q. | When did she cease being the Title IX officer for   |
| 11 |    | SUNY Albany?  |
| 12 | Α. | When she I don't know the exact date. She left      |
| 13 |    | for a job at Cornell University.                    |
| 14 | Q. | Was she asked to leave by SUNY Albany or did she    |
| 15 |    | leave of her own decision?                          |
| 16 | Α. | Of her own decision, to the best of my knowledge.   |
| 17 | Q. | Okay. In this e-mail, in the first sentence, it     |
| 18 |    | says: "I have been asked Bruce to make this matter  |
| 19 |    | our top priority."                                  |
| 20 |    | Do you recall advising Ms. Cleary at                |
| 21 |    | around March 2018 that the investigation concerning |
| 22 |    | GIHHR and Dr. Alaei should be her top priority?     |
| 23 | Α. | I don't, but I'm not surprised.                     |
| 24 | Q. | When you say you're not surprised, can you please   |
| 25 |    | explain to me why you're not surprised?             |

| 1  | А. | This was an important matter and we've had an        |
|----|----|--|
| 2  |    | employee under depending on the date                 |
| 3  |    | alternative assignment. You know, it was the         |
| 4  |    | Advisory Board some members of the Advisory Board    |
| 5  |    | were writing the University. So it would be good to  |
| 6  |    | perform the investigation and get this matter behind |
| 7  |    | us as soon as possible.                              |
| 8  | Q. | And so part of the concern well, strike that.        |
| 9  |    | So you don't have any specific                       |
| 10 |    | recollection advising Ms. Cleary that the matter was |
| 11 |    | top priority, but it doesn't surprise you because    |
| 12 |    | you felt there was implications concerning the       |
| 13 |    | school's reputation and wanting to get this          |
| 14 |    | investigation done as soon as possible?              |
| 15 | A. | I think that's a fair statement.                     |
| 16 | Q. | Okay. I want to refer you to what's been previously  |
| 17 |    | identified as Claimant's Exhibit J. Claimant's       |
| 18 |    | Exhibit J is identified as a memorandum and order by |
| 19 |    | the State of New York Supreme Court, Appellate       |
| 20 |    | Division, Third Judicial Department, dated           |
| 21 |    | November 25, 2020, identified as being in the matter |
| 22 |    | of Alexander M. v. Chantelle Cleary, as former Title |
| 23 |    | IX Coordinator at the State University of New York.  |
| 24 |    | Are you aware of this memorandum and                 |
| 25 |    | order concerning Ms. Cleary and her work while at    |

| SUNY Alba | ny as a | Title IX | Coordinator? |
|-----------|---------|----------|--------------|
|-----------|---------|----------|--------------|

- 2 A. I think I vaguely recollect this, yes.
- Q. Can you explain to me what your recollection is?
  - A. I think this was a -- Alexander M. was a student involved in a Title IX complaint. I forget which side, but I think our University counsel shared it with me as an informational item and there was -- I think this person felt that they were somehow disadvantage by Ms. Cleary during the investigation. I think that's about the gist of what I remember.
  - Q. Okay. If I can refer you to page 6 of this memorandum and order, specifically the second paragraph on this page. The paragraph at issue says, in part: "As to the possibility of individual bias, Cleary admittedly altered the facts as reported to her."

Are you aware of any concerns ever being raised over time about Ms. Cleary altering facts reported to her in her role as Title IX Investigator?

21 A. No.

Q. If I can scroll down towards the ends of that paragraph, it states, in part: "Cleary's phrasing portrays a significantly different rendering of the event at the hearing. When Cleary was asked why she

changed the wording, her response, in the words of 1 2 Supreme Court's order denying Petitioner's motion for discovery, 'bordered on the incoherent.' 3 not unreasonable to question whether Cleary changed 4 5 the wording, and as such, the alleged facts, to correspond with the definition of sexual assault 6 7 one, as found in the student code." 8 Are you aware of any concerns ever 9 being raised about Ms. Cleary changing alleged facts 10 or reported facts to her to correspond with the 11 issues she was investigating under Title IX? 12 No. Α. 13 The second paragraph on page seven, in part, states: Q. 14 "In addition, Petitioner presented an affidavit from 15 his advisor, who was present with him in his meetings with Cleary. The advisor averred that at 16 said meetings, Cleary raised her voice, physically 17 18 leaned toward Petitioner and acted in an aggressive 19 manner." 20 Are you aware of Ms. Cleary ever 2.1 acting in such a way during her Title IX 22 investigation work? 23 Α. No. 24 Do you know if Ms. Cleary was ever investigated by Ο.

SUNY Albany for alleged improper actions in

| 1  |    | conducting herself as Title IX Coordinator?         |
|----|----|---|
| 2  | Α. | Not that I'm aware of.                              |
| 3  | Q. | Are you aware of Ms. Cleary exercising any bias     |
| 4  |    | against Dr. Alaei in her investigation in this      |
| 5  |    | matter?   |
| 6  | Α. | No, I am not.                                       |
| 7  | Q. | Did Ms. Cleary ever strike that.                    |
| 8  |    | Did Ms. Cleary's office report to the               |
| 9  |    | President's office in her role as Title IX          |
| 10 |    | Investigator?                                       |
| 11 | Α. | I don't think so. At one point, that office did     |
| 12 |    | report to us, but I think while she I want to say   |
| 13 |    | she reports to the Associate Vice President for     |
| 14 |    | Enterprise Risk Management.                         |
| 15 | Q. | Do you know if the University ever took any actions |
| 16 |    | to rectify issues raised in this matter of          |
| 17 |    | Alexander M. v. Chantelle Cleary matter?            |
| 18 | Α. | That was the affidavit of November 2020?            |
| 19 | Q. | No, this the case explains It appears to be in      |
| 20 |    | 2017, is when this investigation started. And       |
| 21 |    | that's just based on                                |
| 22 | Α. | So this is this is that Claimant's affidavit        |
| 23 |    | or  |
| 24 | Q. | This document?                                      |
| 25 | Α. | Yes.  |

- Q. This document, Exhibit G, is findings by the Supreme Court Appellate Division Third Department?
- 3 A. Yeah. No, I --
- 4 Q. Do you know if -- sorry.
- Do you know if SUNY ever took any

  actions in response to matter raised by Alexander M.

  for what the court found by behavior by Ms. Cleary?
- 8 A. Not to my knowledge.
- 9 Q. Did Ms. Cleary ever express to you or to the
  10 President, as far as you're aware, any opinion that
  11 she believed Dr. Alaei had violated any SUNY Albany
  12 policy during the investigation?
- 13 A. Not to my knowledge.
- Q. Did she ever tell you or the President, if you're
  aware, that she believed Dr. Alaei had done what was
  being alleged against him for her Title IX
  investigation?
- 18 A. Not to my knowledge.
- Q. Okay. Did anyone ever convey any statements to you or opinions that they believed Dr. Alaei had violated SUNY Albany policies based on the allegations at issue that were being investigated?
- 23 A. Not to my knowledge.
- Q. Okay. If I can refer you to what's been previously identified as Plaintiff's -- excuse me, Claimant's

| 1  |    | Exhibit M. Claimant's Exhibit M is a series of       |
|----|----|--|
| 2  |    | letters from Young Sommer, LLC, attorneys for        |
| 3  |    | Dr. Alaei, first dated February 14, 2018, and then   |
| 4  |    | dated February 16, 2018, dated February 18, 2018,    |
| 5  |    | and then an e-mail from Joseph Castiglione to        |
| 6  |    | Randy Stark, dated March 7, 2018.                    |
| 7  |    | Did you ever review letters being sent               |
| 8  |    | on behalf of Dr. Alaei concerning or raising         |
| 9  |    | objections to the investigation and various actions  |
| 10 |    | by SUNY Albany in February 2018?                     |
| 11 | Α. | Could you repeat the question, please?               |
| 12 | Q. | Sure.  |
| 13 |    | Do you recall counsel for Dr. Alaei                  |
| 14 |    | sending letters to SUNY Albany objecting to the      |
| 15 |    | process being followed by SUNY Albany and actions by |
| 16 |    | SUNY Albany when they were beginning this            |
| 17 |    | investigation in February 2018?                      |
| 18 | Α. | I don't recollect.                                   |
| 19 | Q. | Okay. Do you know or do you recall, then, if SUNY    |
| 20 |    | had ever reconsidered whether the actions it was     |
| 21 |    | undertaking at the time in 2018 were wrong?          |
| 22 | A. | No.  |
| 23 | Q. | So that Just to be clear, you do not recall SUNY     |
| 24 |    | Albany ever re-looking at the issues to determine if |
| 25 |    | they had made a mistake?                             |

| 1  | Α. | The mistake at this time in alternative assignment?  |
|----|----|--|
| 2  | Q. | No, I'm sorry. So my question was: Did you recall    |
| 3  |    | whether SUNY Albany reviewed the investigation or    |
| 4  |    | actions taken in February 2018 concerning its        |
| 5  |    | investigation and treatment of Dr. Alaei to          |
| 6  |    | determine if they had made a mistake and were        |
| 7  |    | actually wrong in any of their actions at that       |
| 8  |    | point?   |
| 9  | Α. | No.  |
| 10 | Q. | No, they did not?                                    |
| 11 | Α. | Correct. We were at the investigation stage then,    |
| 12 |    | correct.   |
| 13 | Q. | Okay. Do you know what SUNY Albany personnel did     |
| 14 |    | for their investigation, both the disciplinary       |
| 15 |    | investigation and Title IX investigation after       |
| 16 |    | Dr. Alaei was put on alternative assignment on       |
| 17 |    | February 8, 2018?                                    |
| 18 | A. | Other than, I believe, they conducted interviews on  |
| 19 |    | the Title IX side, might have on the Human Resources |
| 20 |    | side. And that also involved reviewing financial     |
| 21 |    | accounts and transactions, I believe.                |
| 22 | Q. | If I can refer you to what had been previously       |
| 23 |    | identified as Claimant's Exhibit L-2?                |
| 24 |    | THE WITNESS: So after this question,                 |
|    |    |  |

could we take a 30-second break or 45 second?

| MR. CASTIGLIONE: No, we can take  a you want to take a five-minute break right now, Anthony?  MR. ROTONDI: Two minutes?  MR. CASTIGLIONE: Two minutes? Yeah, that's fine.  THE WITNESS: Is that convenient?  MR. CASTIGLIONE: Yeah, it works for me.  THE WITNESS: Okay. I just to have tell somebody something.  MR. CASTIGLIONE: Sure, no problem.  MR. ROTONDI: That's fine.  (Whereupon a recess is taken.)  BY MR. CASTIGLIONE:  Q. I'm showing you what's been previously identified as Claimant's Exhibit L-2. If you could take a look at this, sir. It's identified as Sexual Misconduct Response Report Number 18-013. And I'll scroll through it quickly and then go slowly back up to the top.  Mr. Szelest, are you familiar with what this document is? A. I believe so. |    |    |  |
|--|----|----|--|
| a you want to take a five-minute break right now, Anthony?  MR. ROTONDI: Two minutes?  MR. CASTIGLIONE: Two minutes? Yeah, that's fine.  THE WITNESS: Is that convenient? MR. CASTIGLIONE: Yeah, it works for me.  THE WITNESS: Okay. I just to have tell somebody something.  MR. CASTIGLIONE: Sure, no problem.  MR. ROTONDI: That's fine. (Whereupon a recess is taken.)  BY MR. CASTIGLIONE: Q. I'm showing you what's been previously identified as Claimant's Exhibit L-2. If you could take a look at this, sir. It's identified as Sexual Misconduct Response Report Number 18-013. And I'll scroll through it quickly and then go slowly back up to the top.  Mr. Szelest, are you familiar with what this document is?   | 1  |    | I just need to talk to my                            |
| now, Anthony?  MR. ROTONDI: Two minutes?  MR. CASTIGLIONE: Two minutes? Yeah, that's fine.  THE WITNESS: Is that convenient? MR. CASTIGLIONE: Yeah, it works for me.  THE WITNESS: Okay. I just to have tell somebody something.  MR. CASTIGLIONE: Sure, no problem.  MR. ROTONDI: That's fine. (Whereupon a recess is taken.)  BY MR. CASTIGLIONE:  Q. I'm showing you what's been previously identified as Claimant's Exhibit L-2. If you could take a look at this, sir. It's identified as Sexual Misconduct Response Report Number 18-013. And I'll scroll through it quickly and then go slowly back up to the top.  Mr. Szelest, are you familiar with what this document is?   | 2  |    | MR. CASTIGLIONE: No, we can take                     |
| MR. ROTONDI: Two minutes?  MR. CASTIGLIONE: Two minutes? Yeah,  that's fine.  THE WITNESS: Is that convenient?  MR. CASTIGLIONE: Yeah, it works for  me.  THE WITNESS: Okay. I just to have  tell somebody something.  MR. CASTIGLIONE: Sure, no problem.  MR. ROTONDI: That's fine.  (Whereupon a recess is taken.)  BY MR. CASTIGLIONE:  Q. I'm showing you what's been previously identified as  Claimant's Exhibit L-2. If you could take a look at  this, sir. It's identified as Sexual Misconduct  Response Report Number 18-013. And I'll scroll  through it quickly and then go slowly back up to the  top.  Mr. Szelest, are you familiar with  what this document is?   | 3  |    | a you want to take a five-minute break right         |
| MR. CASTIGLIONE: Two minutes? Yeah, that's fine.  THE WITNESS: Is that convenient? MR. CASTIGLIONE: Yeah, it works for me.  THE WITNESS: Okay. I just to have tell somebody something.  MR. CASTIGLIONE: Sure, no problem.  MR. ROTONDI: That's fine. (Whereupon a recess is taken.)  BY MR. CASTIGLIONE:  Q. I'm showing you what's been previously identified as Claimant's Exhibit L-2. If you could take a look at this, sir. It's identified as Sexual Misconduct Response Report Number 18-013. And I'll scroll through it quickly and then go slowly back up to the top.  Mr. Szelest, are you familiar with what this document is?   | 4  |    | now, Anthony?  |
| THE WITNESS: Is that convenient?  MR. CASTIGLIONE: Yeah, it works for  me.  THE WITNESS: Okay. I just to have  tell somebody something.  MR. CASTIGLIONE: Sure, no problem.  MR. ROTONDI: That's fine.  (Whereupon a recess is taken.)  BY MR. CASTIGLIONE:  Q. I'm showing you what's been previously identified as  Claimant's Exhibit L-2. If you could take a look at  this, sir. It's identified as Sexual Misconduct  Response Report Number 18-013. And I'll scroll  through it quickly and then go slowly back up to the  top.  Mr. Szelest, are you familiar with  what this document is?   | 5  |    | MR. ROTONDI: Two minutes?                            |
| THE WITNESS: Is that convenient?  MR. CASTIGLIONE: Yeah, it works for  me.  THE WITNESS: Okay. I just to have  tell somebody something.  MR. CASTIGLIONE: Sure, no problem.  MR. ROTONDI: That's fine.  (Whereupon a recess is taken.)  BY MR. CASTIGLIONE:  Q. I'm showing you what's been previously identified as  Claimant's Exhibit L-2. If you could take a look at  this, sir. It's identified as Sexual Misconduct  Response Report Number 18-013. And I'll scroll  through it quickly and then go slowly back up to the  top.  Mr. Szelest, are you familiar with  what this document is?   | 6  |    | MR. CASTIGLIONE: Two minutes? Yeah,                  |
| 9 MR. CASTIGLIONE: Yeah, it works for 10 me.  11 THE WITNESS: Okay. I just to have 12 tell somebody something. 13 MR. CASTIGLIONE: Sure, no problem. 14 MR. ROTONDI: That's fine. 15 (Whereupon a recess is taken.) 16 BY MR. CASTIGLIONE: 17 Q. I'm showing you what's been previously identified as 18 Claimant's Exhibit L-2. If you could take a look at 19 this, sir. It's identified as Sexual Misconduct 20 Response Report Number 18-013. And I'll scroll 21 through it quickly and then go slowly back up to the 22 top. 23 Mr. Szelest, are you familiar with 24 what this document is?  | 7  |    | that's fine.   |
| THE WITNESS: Okay. I just to have  tell somebody something.  MR. CASTIGLIONE: Sure, no problem.  MR. ROTONDI: That's fine.  (Whereupon a recess is taken.)  BY MR. CASTIGLIONE:  7 Q. I'm showing you what's been previously identified as  Claimant's Exhibit L-2. If you could take a look at  this, sir. It's identified as Sexual Misconduct  Response Report Number 18-013. And I'll scroll  through it quickly and then go slowly back up to the  top.  Mr. Szelest, are you familiar with  what this document is?   | 8  |    | THE WITNESS: Is that convenient?                     |
| THE WITNESS: Okay. I just to have tell somebody something.  MR. CASTIGLIONE: Sure, no problem.  MR. ROTONDI: That's fine. (Whereupon a recess is taken.)  BY MR. CASTIGLIONE:  7 Q. I'm showing you what's been previously identified as Claimant's Exhibit L-2. If you could take a look at this, sir. It's identified as Sexual Misconduct Response Report Number 18-013. And I'll scroll through it quickly and then go slowly back up to the top.  Mr. Szelest, are you familiar with what this document is?   | 9  |    | MR. CASTIGLIONE: Yeah, it works for                  |
| tell somebody something.  MR. CASTIGLIONE: Sure, no problem.  MR. ROTONDI: That's fine.  (Whereupon a recess is taken.)  BY MR. CASTIGLIONE:  I'm showing you what's been previously identified as Claimant's Exhibit L-2. If you could take a look at this, sir. It's identified as Sexual Misconduct Response Report Number 18-013. And I'll scroll through it quickly and then go slowly back up to the top.  Mr. Szelest, are you familiar with what this document is?   | 10 |    | me.  |
| MR. CASTIGLIONE: Sure, no problem.  MR. ROTONDI: That's fine.  (Whereupon a recess is taken.)  BY MR. CASTIGLIONE:  I'm showing you what's been previously identified as Claimant's Exhibit L-2. If you could take a look at this, sir. It's identified as Sexual Misconduct Response Report Number 18-013. And I'll scroll through it quickly and then go slowly back up to the top.  Mr. Szelest, are you familiar with what this document is?   | 11 |    | THE WITNESS: Okay. I just to have                    |
| MR. ROTONDI: That's fine.  (Whereupon a recess is taken.)  BY MR. CASTIGLIONE:  17 Q. I'm showing you what's been previously identified as  18 Claimant's Exhibit L-2. If you could take a look at  19 this, sir. It's identified as Sexual Misconduct  20 Response Report Number 18-013. And I'll scroll  21 through it quickly and then go slowly back up to the  22 top.  23 Mr. Szelest, are you familiar with  24 what this document is?  | 12 |    | tell somebody something.                             |
| (Whereupon a recess is taken.)  BY MR. CASTIGLIONE:  17 Q. I'm showing you what's been previously identified as  18 Claimant's Exhibit L-2. If you could take a look at  19 this, sir. It's identified as Sexual Misconduct  20 Response Report Number 18-013. And I'll scroll  21 through it quickly and then go slowly back up to the  22 top.  23 Mr. Szelest, are you familiar with  24 what this document is?   | 13 |    | MR. CASTIGLIONE: Sure, no problem.                   |
| BY MR. CASTIGLIONE:  17 Q. I'm showing you what's been previously identified as  18 Claimant's Exhibit L-2. If you could take a look at  19 this, sir. It's identified as Sexual Misconduct  20 Response Report Number 18-013. And I'll scroll  21 through it quickly and then go slowly back up to the  22 top.  23 Mr. Szelest, are you familiar with  24 what this document is?   | 14 |    | MR. ROTONDI: That's fine.                            |
| 17 Q. I'm showing you what's been previously identified as 18 Claimant's Exhibit L-2. If you could take a look at 19 this, sir. It's identified as Sexual Misconduct 20 Response Report Number 18-013. And I'll scroll 21 through it quickly and then go slowly back up to the 22 top. 23 Mr. Szelest, are you familiar with 24 what this document is?   | 15 |    | (Whereupon a recess is taken.)                       |
| Claimant's Exhibit L-2. If you could take a look at this, sir. It's identified as Sexual Misconduct Response Report Number 18-013. And I'll scroll through it quickly and then go slowly back up to the top.  Mr. Szelest, are you familiar with what this document is?  | 16 |    | BY MR. CASTIGLIONE:                                  |
| this, sir. It's identified as Sexual Misconduct Response Report Number 18-013. And I'll scroll through it quickly and then go slowly back up to the top.  Mr. Szelest, are you familiar with what this document is?  | 17 | Q. | I'm showing you what's been previously identified as |
| Response Report Number 18-013. And I'll scroll through it quickly and then go slowly back up to the top.  Mr. Szelest, are you familiar with what this document is?  | 18 |    | Claimant's Exhibit L-2. If you could take a look at  |
| through it quickly and then go slowly back up to the top.  Mr. Szelest, are you familiar with what this document is?   | 19 |    | this, sir. It's identified as Sexual Misconduct      |
| top.  Mr. Szelest, are you familiar with  what this document is?   | 20 |    | Response Report Number 18-013. And I'll scroll       |
| Mr. Szelest, are you familiar with what this document is?  | 21 |    | through it quickly and then go slowly back up to the |
| what this document is?   | 22 |    | top.   |
|  | 23 |    | Mr. Szelest, are you familiar with                   |
| 25 A. I believe so.  | 24 |    | what this document is?                               |
|  | 25 | Α. | I believe so.  |

- Q. Can you just explain to me what your understanding is?
- A. Is this -- I believe this is Ms. Cleary's report,

  her investigation into sexual harassment

  allegations, based on what you showed me as you were

  scrolling.

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In this report, if you read the first Ο. paragraph, it states: "Overview of report. following report details the University at Albany's coordinated response to a report received on February 2, 2018 from Dr. James Stellar. Specifically, the report alleges that several students reported to him that Dr. Arash Alaei had been interacting with students in violation of a separation agreement entered into with the University on blank date. This report initiated an inquiry which resulted in a joint investigation by the Office of Equity and Compliance and the Office of Human Resource Management. The investigation focused on the following possible violations of the University at Albany policies by Dr. Kamiar Alaei." There's Roman Numeral Number I, it

says: "Insert policy violations for permitting
Dr. Arash Alaei to conduct business on behalf of the
GIHHR after his separation from the University at

| 1  |    | Albany."   |
|----|----|--|
| 2  |    | Roman Numeral Number II:                             |
| 3  |    | "Insert policy violations for facilitating contact   |
| 4  |    | between Dr. Arash Alaei and GIHHR staff and students |
| 5  |    | during Arash Alaei's alternative assignment and      |
| 6  |    | after his separation from the University at Albany." |
| 7  |    | And then Roman Numeral III says: "A                  |
| 8  |    | violation of the University at Albany sexual         |
| 9  |    | harassment policy for engaging in unwelcome conduct  |
| 10 |    | of a sexual nature directed at GIHHR student intern  |
| 11 |    | blank and that was created that created a            |
| 12 |    | sexually-hostile environment for working and         |
| 13 |    | learning."   |
| 14 |    | And for the record, I said "blank"                   |
| 15 |    | instead of the student's name.                       |
| 16 |    | Does this refresh your recollection                  |
| 17 |    | about the basis for the investigation concerning     |
| 18 |    | Dr. Kamiar Alaei?                                    |
| 19 | Α. | Yes.   |
| 20 | Q. | Okay. And is it fair to say that these three Roman   |
| 21 |    | Numerals on page 1 of this Claimant's Exhibit L,     |
| 22 |    | this Sexual Misconduct Response Report, that those   |
| 23 |    | were the three issues that were raised that were     |
| 24 |    | being investigated by SUNY Albany concerning         |
| 25 |    | Dr. Kamiar Alaei?                                    |

| 1 | 7\ | Voc  |
|---|----|------|
|   | Α. | IES. |

- Q. Did you discuss investigation efforts and findings
- 3 with Human Resources and Title IX over time
- 4 regarding these three issues?
- 5 A. I don't think so.
- 6 Q. I'm sorry, you said you don't think so?
- 7 A. I don't think so, until the final report.
- 8 O. Okay. Do you know who Harvey Charles was at the
- 9 time of this investigation in February to
- 10 August 2018?
- 11 A. Yes.
- 12 O. Who was Harvey Charles?
- 13 A. He was the Vice Provost and Dean of the Center for
- 14 International Education and Global Studies.
- 15 Q. Was Harvey Charles the supervisor for GIHHR and
- 16 Kamiar Alaei at the time in 2018?
- 17 A. Yes, I believe so.
- 18 Q. Do you know if Harvey Charles was the supervisor of
- 19 Arash Alaei in 2017?
- 20 A. I don't know the answer to that.
- 21 O. Do you know if Dr. Kamiar Alaei was ever in a
- 22 position of authority over Arash Alaei --
- 23 A. I believe --
- 24 Q. -- as part of their job work?
- 25 A. I believe he was.

| 1  | Q. | Okay. Do you recall what that position of authority  |
|----|----|--|
| 2  |    | would be?  |
| 3  | Α. | I think Kamiar was the director of the institute.    |
| 4  | Q. | Okay. Are you aware of any agreement between         |
| 5  |    | James Stellar and Harvey Charles regarding           |
| 6  |    | Arash Alaei's involvement with GIHHR and contact     |
| 7  |    | with staff and students when Arash Alaei went on     |
| 8  |    | alternative assignment?                              |
| 9  | Α. | No.  |
| 10 | Q. | So Mr. Stellar never advised you of any such alleged |
| 11 |    | agreement?   |
| 12 | Α. | Correct.   |
| 13 | Q. | As to Arash Alaei having contact or conducting       |
| 14 |    | business with GIHHR and GIHHR staff and students,    |
| 15 |    | are you aware of whether or not, while he was on     |
| 16 |    | alternative assignment, Arash Alaei was having       |
| 17 |    | conversations or, I'll say, discussions              |
| 18 |    | communications with Harvey Charles about whether or  |
| 19 |    | not Arash Alaei could actually communicate with      |
| 20 |    | interns and GIHHR staff?                             |
| 21 | Α. | No.  |
| 22 | Q. | If I can refer you to what has been previously       |
| 23 |    | identified as Claimant's Exhibit F? And were         |
| 24 |    | Arash Alaei and Kamiar Alaei co-directors of GIHHR   |
|    |    |  |

or was Kamiar a director and Arash was a

subordinate?

2.1

Α.

- A. I think Kamiar was director and Arash a subordinate, but I'm not 100 percent certain. Something makes me think they might have been co-directors, but I'm not positive.
- Q. I want to refer you to what had been previously identify as Claimant's Exhibit F-1, specifically an e-mail from Arash Alaei to Harvey Charles, dated March 28, 2017. The first paragraph discussed:

  "Based upon requirements, I've listed my primary activities from February to the end of March and planned activities for April to May."

If you scroll down, Arash Alaei states, in part: "In order to complete the above-mentioned tasks, I need to be in touch with the following people. For some, I will need to be in touch in person, and for most, I will just need to be in touch long distance." Then Arash Alaei identifies six people.

Were you aware of this communication from March 28, 2017, during the investigation of Kamiar Alaei and whether Kamiar Alaei had acted improperly as to Arash Alaei having communications with GIHHR people or conducting business for GIHHR? So this memo or e-mail is from 2017, which predates

the investigation, correct? 1 2 Q. Correct. But if I can -- if I can refer -- that's 3 If I can refer you back to L-2, one of the -- one of the alleged bases for investigating 4 5 Dr. Kamiar Alaei for possible violations of University policies was Roman Numeral II, 6 7 facilitating contact between Dr. Arash Alaei and GIHHR staff and students while Arash Alaei was on 8 9 alternative assignment. 10 So my question --11 Α. And that also says: "After his separation from the 12 University." 13 Correct. Ο. 14 Α. Okay. 15 And so my question to you is: During the Ο. 16 investigation, were you aware of communications -strike that. 17 18 During the investigation of 19 Kamiar Alaei in 2018 about whether or not he had 20 facilitated Arash Alaei communicating during 2.1 Arash Alaei's alternative assignment in 2017, and 22 then after he left, whether Kamiar Alaei facilitated 23 contact between Arash and interns, were you aware of 24 or was it ever brought to your attention e-mails

between Harvey Charles and Arash from 2017 seeking

| 1  |    | to have communications with various individuals, as  |
|----|----|--|
| 2  |    | reflected in this e-mail?                            |
| 3  | Α. | No.  |
| 4  | Q. | Do you know if Arash was given approval to have      |
| 5  |    | communications, as reflected in this e-mail,         |
| 6  |    | Claimant's Exhibit F-2?                              |
| 7  | A. | I do not.  |
| 8  | Q. | Okay. If I can refer you to Claimant's Exhibit N?    |
| 9  |    | Claimant's Exhibit N is and                          |
| 10 |    | specifically, I'm referring to e-mails between       |
| 11 |    | Harvey Charles and Arash Alaei dated June 14, 2017,  |
| 12 |    | with the subject line: "My Report and Communication  |
| 13 |    | with Interns and an e-mail from Arash to             |
| 14 |    | Harvey Charles, dated June 13, 2017, with a subject  |
| 15 |    | My Report and Communication with Interns."           |
| 16 |    | As part of this e-mail, this one from                |
| 17 |    | Arash Alaei dated June 13, 2017, Arash Alaei states: |
| 18 |    | "In addition, I just want to inform you that I need  |
| 19 |    | to have Skype communications with" and he            |
| 20 |    | identifies several people that he states are GIHHR's |
| 21 |    | interns.   |
| 22 |    | In response, Harvey Charles, on                      |
| 23 |    | June 4, 2017, responds that: "I've inquired of HR    |
| 24 |    | and am awaiting advice on this matter."              |
| 25 |    | Were you aware that as of June 2017,                 |

|    |    |   | - |
|----|----|---|---|
| 1  |    | Arash and Harvey Charles were having conversations  |   |
| 2  |    | about allowing Arash to have discussions with GIHHR |   |
| 3  |    | interns?  |   |
| 4  | Α. | No.   |   |
| 5  | Q. | Do you know if SUNY Albany ever approved allowing   |   |
| 6  |    | Arash to have communications with interns while he  |   |
| 7  |    | was on alternative assignment, consistent with      |   |
| 8  |    | what's being asked in this e-mail?                  |   |
| 9  | A. | Not to my knowledge.                                |   |
| 10 | Q. | In 2017, while Arash was on alternative assignment, |   |
| 11 |    | who, at SUNY Albany, would be responsible for       |   |
| 12 |    | overseeing if Arash was complying with the terms of |   |
| 13 |    | his alternative assignment?                         |   |
| 14 | Α. | I would think it would either be Harvey Charles     |   |
| 15 |    | and/or Human Resources.                             |   |
| 16 | Q. | Did there come a time, as far as you recall, when   |   |
| 17 |    | Office of Human Resource Management conducted an    |   |
| 18 |    | interrogation of Dr. Kamiar Alaei?                  |   |
| 19 | Α. | I assume they did because that prior report you     |   |
| 20 |    | showed me was a joint investigation, wasn't it,     |   |
| 21 |    | between Human Resources and Title IX?               |   |
| 22 | Q. | Actually, do you know if Title IX and               |   |
| 23 |    | Chantelle Cleary's office ever interviewed          |   |
|    |    |   |   |

I'd have to go back to that.

24

25

Α.

Dr. Kamiar Alaei about the allegations against him?

I do not know offhand.

| 1  | Q. | Okay. Would that be standard protocol to actually    |
|----|----|--|
| 2  |    | investigate the person subject to allegations in a   |
| 3  |    | Title IX matter?                                     |
| 4  | Α. | I am do not have the knowledge to answer that        |
| 5  |    | question.  |
| 6  | Q. | Okay. If I can refer you to what's been previously   |
| 7  |    | marked as Claimant's Exhibit C-3?                    |
| 8  |    | Claimant's Exhibit C-3 is a letter                   |
| 9  |    | from Young Sommer, LLC on behalf of Dr. Alaei,       |
| 10 |    | Kamiar Alaei, to Randy Stark. It's dated May 21,     |
| 11 |    | 2018. Do you recall ever seeing or reading this      |
| 12 |    | letter?  |
| 13 | Α. | No.  |
| 14 | Q. | This letter reflects that there was an interrogation |
| 15 |    | with Human Resources and Dr. Alaei at Human          |
| 16 |    | Resources office on May 9, 2018. Does that refresh   |
| 17 |    | your recollection about when interrogation of        |
| 18 |    | Dr. Alaei may have been held in this investigation   |
| 19 |    | concerning Dr. Kamiar Alaei?                         |
| 20 | Α. | Yes, it does.  |
| 21 | Q. | Okay. Do you recall being advised of the             |
| 22 |    | discussions that occurred during that interrogation  |
| 23 |    | on May 9, 2018?                                      |
| 24 | Α. | Not really, no.                                      |
| 25 | Q. | Do you know if the President was advised of the      |

| 1  |    | discussions at the interrogation on May 9, 2018?     |
|----|----|--|
| 2  | Α. | Nope.  |
| 3  | Q. | This letter that I'm identifying for you here,       |
| 4  |    | Claimant's Exhibit C-3 and the issues raised in this |
| 5  |    | letter, do you know if these were ever reviewed by   |
| 6  |    | the President?                                       |
| 7  | Α. | I do not know.                                       |
| 8  | Q. | Okay. But you did not read this letter; is that      |
| 9  |    | correct?   |
| 10 | Α. | I don't recollect it and it's I do not recollect     |
| 11 |    | reading it.  |
| 12 | Q. | Okay. Do you know if this letter, Plaintiff's        |
| 13 |    | Exhibit C-3, caused SUNY Albany personnel to take    |
| 14 |    | any different course of action after receiving the   |
| 15 |    | letter and conducting the interrogation concerning   |
| 16 |    | the investigation regarding Dr. Alaei?               |
| 17 | Α. | I do not know.                                       |
| 18 | Q. | If I can refer you to what's been previously marked  |
| 19 |    | as Claimant's Exhibit H.                             |
| 20 |    | Claimant's Exhibit H is a letter                     |
| 21 |    | identified as Counseling Memorandum to               |
| 22 |    | Dr. Kamiar Alaei, dated August 9, 2018, from         |
| 23 |    | Randy Stark, Office of Human Resources.              |
| 24 |    | Do you recall a time when SUNY Albany                |

ultimately determined to do a counseling session

| 1  |    | with Dr. Kamiar Alaei regarding the investigations  |
|----|----|---|
|    |    |   |
| 2  |    | at issue?   |
| 3  | Α. | Yes.  |
| 4  | Q. | Do you have any understanding if Office of Human    |
| 5  |    | Resource Management made any finding or excuse      |
| 6  |    | me any determination about Dr. Alaei violating      |
| 7  |    | any SUNY Albany policies?                           |
| 8  | Α. | No.   |
| 9  | Q. | You don't recall?                                   |
| 10 | A. | I don't think they did find that he violated        |
| 11 |    | policies.   |
| 12 | Q. | Okay. Do you have any understanding of whether      |
| 13 |    | Human Resources made any determination about there  |
| 14 |    | being just cause under the UUP disciplinary         |
| 15 |    | provisions to discipline Dr. Alaei?                 |
| 16 | A. | No.   |
| 17 | Q. | If I can refer you to Claimant's Exhibit B-8?       |
| 18 |    | Claimant's Exhibit B-8 is a letter from SUNY Albany |
| 19 |    | dated August 10, 2018, to Dr. Alaei. This letter    |
| 20 |    | states, in part: In accordance with the Article     |
| 21 |    | 32.3 of the UUP agreement, the University is        |
| 22 |    | exercising its right and has elected to terminate   |
| 23 |    | your appointment effective August 10, 2018."        |
| 24 |    | Do you recall why, after Human                      |
| 25 |    | Resources, the day before, conveyed its findings    |

| 1  |    | that there was no just cause to discipline Dr. Alaei |
|----|----|--|
| 2  |    | and there had been no violation of any SUNY          |
| 3  |    | policies, why SUNY Albany elected to terminate       |
| 4  |    | Dr. Alaei's appointment effective August 10, 2018?   |
| 5  | Α. | I think it was The University, per the contract,     |
| 6  |    | has the ability to non-renew somebody, period, when  |
| 7  |    | the time comes up. And the University chose to       |
| 8  |    | execute that action.                                 |
| 9  | Q. | Well, first, is there a distinction or difference    |
| 10 |    | between non-renewing an employee and electing to     |
| 11 |    | terminate their employment as an effective date?     |
| 12 | Α. | I think there is a distinction. I'd have to refer    |
| 13 |    | to my colleagues at Human Resources for the finer    |
| 14 |    | definition.  |
| 15 | Q. | Okay. So here, I'm focusing on there's an election   |
| 16 |    | to terminate the appointment effective August 10,    |
| 17 |    | 2018. And my question is: Why did SUNY Albany make   |
| 18 |    | that determination to elect to terminate Dr. Alaei's |
| 19 |    | appointment when the day before, they advised        |
| 20 |    | Dr. Alaei there had been no violation of SUNY policy |
| 21 |    | and there was no just cause to impose discipline?    |
| 22 | A. | I can't answer that. You would have to ask Human     |
| 23 |    | Resources.   |
| 24 | Q. | So Human Resources would be the ones who made the    |
| 25 |    | decision and would have the grounds to terminate     |

Dr. Alaei's appointment as of August 10, 2018?

1

- A. No, I meant Human Resources could provide you the rationale why the day before they issued whatever it was they issued.
- Q. Okay. Well, let me -- Do you know why SUNY Albany elected to terminate Dr. Alaei's appointment as of August 10, 2018?
- I think, in a nutshell, there was much unrest and 8 Α. 9 unease amongst the students and student interns in 10 the center, as well as the administrative financial 11 management of the center was not run as shipshape as 12 one would want it. The Advisory Board thought they 13 ran the center, and so the University decided that 14 we would -- or the institute -- the University 15 decided to -- let's close out the institute.
- 16 Q. That's for closing out the institute, but why
  17 terminating Dr. Alaei's appointment?
- A. He was the Director of the institute. We don't have an institute, we don't need the Director of an institute, I think in my view, and I'm not, you know, Human Resources.
- Q. Okay. Do you know whether Dr. Alaei's appointment, however, was -- his primary appointment was a faculty appointment with the Rockefeller College?
  - A. I do not know that.

| 1  | Q. | Do you know if his directorship of GIHHR was a       |
|----|----|--|
| 2  |    | complimentary non-paid appointment?                  |
| 3  | A. | I can't answer that question.                        |
| 4  | Q. | Was Dr. Alaei, at the time, not capable, in the      |
| 5  |    | opinion of SUNY Albany, to conduct continue with     |
| 6  |    | faculty appointment work with the Rockefeller        |
| 7  |    | College?   |
| 8  | A. | I can't answer that. I don't know the answer to      |
| 9  |    | that question.                                       |
| 10 | Q. | Okay. Do you know when SUNY Albany first decided     |
| 11 |    | that it was going to terminate Dr. Alaei's           |
| 12 |    | appointment effective August 10, as reflected in     |
| 13 |    | this August 10, 2018 letter identified as Claimant's |
| 14 |    | Exhibit B-8?   |
| 15 | A. | I do not.  |
| 16 | Q. | Was it before August 9, 2018?                        |
| 17 | A. | I don't know the answer to that.                     |
| 18 | Q. | Okay. I want to backtrack here for a second.         |
| 19 |    | If I can refer you to what had                       |
| 20 |    | previously been identified as Claimant's Exhibit     |
| 21 |    | D Claimant's Exhibit D-1. Claimant's Exhibit D-1     |
| 22 |    | is a chain of e-mails between Chantelle Cleary and   |
| 23 |    | Brian Selchick, dated March 26, 2018, if you can     |
| 24 |    | just take a look at these e-mails.                   |
| 25 |    | These e-mails are discussing                         |

| 1  |    | Dr. Kamiar Alaei. In the middle, in response to a    |
|----|----|--|
| 2  |    | question raised by Brian Selchick, Ms. Cleary says:  |
| 3  |    | "I thought we agreed he wasn't going to come back."  |
| 4  |    | Are you aware if there was a                         |
| 5  |    | determination by SUNY Albany at that point to        |
| 6  |    | terminate Dr. Alaei's employment and make sure he    |
| 7  |    | didn't come back as of March 26, 2018?               |
| 8  | A. | No, I didn't.  |
| 9  | Q. | Do you have any understanding of what the discussion |
| 10 |    | is between Brian Selchick and Chantelle Cleary as    |
| 11 |    | reflected in Claimant's Exhibit D-1?                 |
| 12 | Α. | I do not.  |
| 13 | Q. | If I can refer you to what had previously been       |
| 14 |    | identified as Claimant's Exhibit L-4. I'm showing    |
| 15 |    | you what's been marked as Claimant's Exhibit L-4,    |
| 16 |    | which appears to be handwritten notes, dated         |
| 17 |    | 4/3/2018. Brian Selchick has stated during a         |
| 18 |    | deposition that these are his handwritten notes that |
| 19 |    | he took as a result of a meeting. This first note    |
| 20 |    | on Claimant's Exhibit L-4, it states: "How do we     |
| 21 |    | maintain the integrity of the non-renewal with or    |
| 22 |    | without the NOD interrogation?"                      |
| 23 |    | Do you have any understanding of what                |
| 24 |    | Mr. Selchick was referring to about maintaining the  |
| 25 |    | integrity of non-renewal at that time?               |

| 1 | A. | No.      |  |
|---|----|----------|--|
|   | _  | <b>.</b> |  |

- Q. Do you know if there had been a determination by
  SUNY Albany as of April 3, 2018 to not renew
  Dr. Alaei's employment?
- 5 A. No.
- Q. There's handwritten notes here, it's the fourth line of handwritten notes, it says: "The goal is to make sure he does not come back."
- Are you aware if SUNY Albany had
  decided a goal of making sure Dr. Alaei did not come
  back to employment with SUNY Albany as of 4/3/2018?
- 12 A. No.
- Q. At the end of this document, it says: "Performance evaluations to support non-renewal we could recreate them."
- Are you aware of a determination or
  efforts by SUNY Albany personnel to try to recreate
  performance evaluations to support a non-renewal for
  Dr. Alaei as of April 3, 2018.
- 20 A. I am not.
- 21 Q. We have been discussing non-renewal of Dr. Alaei.
- Do you recall about when SUNY Albany began to seek
- to non-renew Dr. Alaei's employment?
- 24 A. I would think it would have been after April 10th.
- 25 Q. After April 10, 2018?

| 1  | Α. | Yes.  |
|----|----|---|
| 2  | Q. | Why do you say April 10, 2018?                      |
| 3  | Α. | I have a recommendation advice document from        |
| 4  |    | University Counsel at the time listing that as one  |
| 5  |    | of the options to pursue.                           |
| 6  | Q. | Okay. Do you know who was involved in the efforts   |
| 7  |    | to non-renew Dr. Alaei's appointment? Or excuse me, |
| 8  |    | do you recall who was involved in the efforts to    |
| 9  |    | non-renew Dr. Alaei's employment?                   |
| 10 | Α. | I think there would have been conversations around  |
| 11 |    | continuing or non-renewing, and those would have    |
| 12 |    | involved Harvey Charles, Provost, I think the Vice  |
| 13 |    | President for Research.                             |
| 14 | Q. | Okay. Do you know, did the President approve        |
| 15 |    | starting the efforts to non-renew Dr. Alaei's       |
| 16 |    | employment?   |
| 17 | Α. | I don't think so.                                   |
| 18 | Q. | If I could show you what's been previously          |
| 19 |    | identified as Claimant's Exhibit B-1. Claimant's    |
| 20 |    | Exhibit B-1 appears to be a letter or memo dated    |
| 21 |    | April 27, 2018, to Provost James Stellar from       |
| 22 |    | Dean Harvey Charles, subject: Dr. Kamiar Alaei. It  |
| 23 |    | says: "I'm writing to recommend that                |
| 24 |    | Dr. Kamiar Alaei's appointment be non-renewed, that |
| 25 |    | it not be extended beyond its current termination   |

| 1  |    | date (or one year following notice of                |
|----|----|--|
| 2  |    | non-renewal.)?"                                      |
| 3  |    | Are you familiar with this?                          |
| 4  | Α. | I am.  |
| 5  | Q. | Do you know who created this?                        |
| 6  | Α. | No. I would assume Harvey Charles.                   |
| 7  | Q. | This says: "Or one year following notice of          |
| 8  |    | non-renewal." Do you have any understanding what     |
| 9  |    | that's referring to?                                 |
| 10 | Α. | I think that pertains to the specifics of the UUP    |
| 11 |    | contract.  |
| 12 | Q. | About whether Dr. Alaei, if he was non-renewed, if   |
| 13 |    | he was entitled to one year or two years of          |
| 14 |    | additional employment?                               |
| 15 | Α. | I don't know about the one year versus two year, but |
| 16 |    | I would agree the one year following notice of       |
| 17 |    | non-renewal is a standard, I assume, language in the |
| 18 |    | contract that governs non-renewals by termination.   |
| 19 | Q. | Okay. If I can refer you to what's been identified   |
| 20 |    | as Claimant's Exhibit B-3. Claimant's Exhibit B-3    |
| 21 |    | includes several documents, the first one is a       |
| 22 |    | series of e-mails dated 4/28/2018 between            |
| 23 |    | Bill Hedberg and Harvey Charles. And if you could    |
| 24 |    | just take a look at these for a minute.              |
| 25 |    | Mr. Szelest, in this e-mail dated                    |
|    |    |  |

| 1  |    | 4/28/2018, in part, Harvey Charles responds to       |
|----|----|--|
| 2  |    | Bill Hedberg saying: "I'm looking at the letter of   |
| 3  |    | non-renewal and it's actually a recommendation from  |
| 4  |    | me to the Provost. As you know, I practically know   |
| 5  |    | nothing about the situation and I feel uncomfortable |
| 6  |    | making a recommendation to the Provost without a     |
| 7  |    | basis to do so. Can this be handled differently?"    |
| 8  |    | Were you aware that Harvey Charles                   |
| 9  |    | had was feeling uncomfortable about making a         |
| 10 |    | recommendation to non-renew Dr. Alaei because he     |
| 11 |    | didn't have a basis to do so?                        |
| 12 | Α. | No.  |
| 13 | Q. | So you've never you this issue was never             |
| 14 |    | brought to your attention?                           |
| 15 | Α. | Correct.   |
| 16 | Q. | Do you know if the President was aware of this       |
| 17 |    | issue?   |
| 18 | Α. | I do not know.                                       |
| 19 | Q. | Okay. Included in this Claimant's Exhibit B-3 is a   |
| 20 |    | Change of Status Request Form, HRM-3 no, that's      |
| 21 |    | not the one. Strike that.                            |
| 22 |    | If I can refer you to hold on a                      |
| 23 |    | second. If I can refer you to what's been            |
| 24 |    | previously identified as Claimant's Exhibit E-1.     |
| 25 |    | Claimant's Exhibit E-1 includes an e-mail from       |
|    |    |  |

| 1  |    | Liesl Zwicklbauer to others dated April 4, 2018. It |
|----|----|---|
| 2  |    | also includes a letter dated December 4, 2018, to   |
| 3  |    | Dr. Kamiar Alaei from James Stellar, stating, in    |
| 4  |    | part: "Based on a recommendation from your          |
| 5  |    | Department Chair and Dean, it is my pleasure to     |
| 6  |    | confirm the renewal of your appointment as a        |
| 7  |    | Clinical Associate Professor in the Department of   |
| 8  |    | Health Policy Management and Behavior."             |
| 9  |    | There's also included rank, which                   |
| 10 |    | states: "Clinical Associate Professor without       |
| 11 |    | stipend." And then duration, November 10, 2017, to  |
| 12 |    | August 31, 2020. There is also a Change Status      |
| 13 |    | Request Form, HRM-3 that has various information.   |
| 14 |    | Specifically, on this HRM-3 form,                   |
| 15 |    | there's a box that says: "Number one, extension of  |
| 16 |    | temp appointment or renewal of UUP term             |
| 17 |    | appointment." It says: "Appointment type, term."    |
| 18 |    | Do you have an understanding of what                |
| 19 |    | that is referring to when it says: "Appointment     |
| 20 |    | type, term"?  |
| 21 | Α. | I believe that means that it lasts for or the       |
| 22 |    | appointment is for a certain duration of time, as   |
| 23 |    | opposed to, for example, a faculty member who       |
| 24 |    | receives tenure, who, effectively, is on a          |
| 25 |    | continuing appointment in perpetuity until they     |
|    |    |   |

| 1  |    | retire or for whatever reason separates service.       |
|----|----|--|
| 2  | Q. | So this reflects there was a certain appointment for   |
| 3  |    | a definitive term?                                     |
| 4  |    | That's a yes? Sorry, if you nod, she                   |
| 5  |    | can't record it. So you have to articulate the         |
| 6  |    | response.  |
| 7  | Α. | Yes. But up above, does it say "no stipend"? Was       |
| 8  |    | that part of the the transmittal memorandum,           |
| 9  |    | "without stipend"? So, yeah.                           |
| 10 | Q. | There is a rank, "Clinical Associate Professor         |
| 11 |    | without stipend."                                      |
| 12 | Α. | Correct. So it sounds to me like a courtesy type of    |
| 13 |    | appointment.   |
| 14 | Q. | So with this Clinical Associate Professor, it says:    |
| 15 |    | "Renewal start date, $11/10/2017$ , and a new end date |
| 16 |    | of 8/31/2020 with a duration, term appointments,       |
| 17 |    | other, two years, nine months."                        |
| 18 |    | Is it fair to say that refers to the                   |
| 19 |    | term appointment for Dr. Alaei reflected in this       |
| 20 |    | HRM-3 form was for two years, nine months?             |
| 21 | A. | Yeah.  |
| 22 | Q. | At the bottom for remarks, it says: "There was no      |
| 23 |    | cost associated with this volunteer appointment, two   |
| 24 |    | other complimentary appointments, Director of GIHHR    |
| 25 |    | and Research Professor in Department of Public         |

| 1  |    | Administration and Policy."                          |
|----|----|--|
| 2  |    | So would this appointment be                         |
| 3  |    | Dr. Alaei's Clinical Associate Professor appointment |
| 4  |    | and these other two were complementary appointments, |
| 5  |    | Director of GIHHR and Research Professor in          |
| 6  |    | Department of Public Administration and Policy?      |
| 7  | Α. | I want to say yes, but because there's no stipend,   |
| 8  |    | I'm unsure. I would defer to my colleagues in Human  |
| 9  |    | Resources to answer that.                            |
| 10 | Q. | But what is your understanding of the term "without  |
| 11 |    | stipend" mean?                                       |
| 12 | Α. | It means unpaid.                                     |
| 13 | Q. | So this would be                                     |
| 14 | Α. | He should state somewhere. I don't know where.       |
| 15 | Q. | Because otherwise, this letter, December 4, 2017,    |
| 16 |    | would reflect: "Based on your interpretation and     |
| 17 |    | without stipend, that Dr. Alaei was appointed as a   |
| 18 |    | Clinical Associate Professor in the Department of    |
| 19 |    | Public Health Management and Behavior, but without   |
| 20 |    | being paid"?   |
| 21 | Α. | That is my interpretation.                           |
| 22 | Q. | Okay.  |
| 23 | Α. | Whether correct or incorrect.                        |
| 24 | Q. | If I can refer you back to Claimant's Exhibit        |
| 25 |    | B Claimant's Exhibit B-4. Claimant's Exhibit B-4     |
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includes an e-mail about term renewals, is the subject, dated May 2, 2018, from William Hedberg to Harvey Charles, includes Randy Stark and James Stellar. It also includes an e-mail from Harvey Charles, apparently to Randy Stark, dated May 2, 2018. This e-mail says, in part, "This May 2, 2018, 5:00 p.m. e-mail from Harvey Charles. writing to let you know that he Bill Hedberg sent me both the HRM-3 for Kamiar, as shown in this attachment, and a letter addressed to the Provost from me recommending -- underlined -- that Kamiar not be renewed. I declined to sign that letter because I have no information that can be used as a basis to recommend that Kamiar not be renewed. I am not seeking such information since it's clear to me that the Provost has decided not to renew Kamiar's I did sign the HRM-3, however, in order to complete the paperwork, per the wishes of the Provost." Were you aware of this e-mail and feelings by Dr. Charles concerning non-renewing Dr. Alaei, as reflected in this May 2, 2018 e-mail? Α. Yes. Okay. Did you have any particular response to Ο.

- Dr. Charles' concerns or issues, I'll say, raised in this E-mail?
- A. No, I was not asked by Dr. Charles anything. I was aware of the general situation, not necessarily of the -- seeing the e-mail, per se.
- Q. Do you know, was the President aware of the same qeneral situation you just referred to?
- 8 A. I'm sure.
- 9 Q. Okay. Do you know if the President was aware that
  10 Dr. Charles was not recommending that Kamiar not be
  11 renewed?
- 12 A. I do not know that.
- Q. Are you aware if SUNY personnel ever found any evaluations for Dr. Alaei before seeking to non-renew Dr. Alaei, as reflected in this May 2, 2018 e-mail?
- 17 A. I do not know the answer to that.
- Q. Okay. Do you know if anyone ever talked to
  Harvey Charles before asking him to sign the
  recommendation to non-renew Dr. Alaei about his
  opinions and thoughts concerning non-renewing
  Dr. Alaei?
- 23 A. I do not know.
- Q. Do you know if anyone had looked into the quality of Dr. Alaei's work as part of these non-renewal

|    | <u></u> |  |
|----|---------|--|
| 1  |         | efforts reflected in this May 2, 2018 e-mail?        |
| 2  | Α.      | I do not know.                                       |
| 3  | Q.      | Do you have any understanding of the quality of      |
| 4  |         | Dr. Alaei's work as of this May 2, 2018 e-mail?      |
| 5  | Α.      | No.  |
| 6  | Q.      | Did you ever inquire to try to find out?             |
| 7  | Α.      | No.  |
| 8  | Q.      | Do you know if the President had any knowledge about |
| 9  |         | the quality of Dr. Alaei's work as of this May 2,    |
| 10 |         | 2018 e-mail?   |
| 11 | Α.      | No.  |
| 12 | Q.      | You don't know?                                      |
| 13 | Α.      | I do not.  |
| 14 | Q.      | Okay. Is it typical in non-renewals for the          |
| 15 |         | supervisor of the employee at issue to not recommend |
| 16 |         | non-renewal?   |
| 17 | Α.      | I have no information to answer that question.       |
| 18 | Q.      | Okay. If I can strike that.                          |
| 19 |         | Do you know who was directing that                   |
| 20 |         | Dr. Charles sign this recommendation to non-renew    |
| 21 |         | Dr. Alaei?   |
| 22 | Α.      | I do not know.                                       |
| 23 | Q.      | As reflected in this e-mail, do you know if the      |
| 24 |         | Provost had decided at that time, as of May 2, 2018, |
| 25 |         | that Dr. Alaei be non-renewed?                       |

| 1  | Α. | I do not know.                                      |
|----|----|---|
| 2  | Q. | Okay. If I can refer you to Claimant's Exhibit B,   |
| 3  |    | sub 6.  |
| 4  |    | B, sub 6 includes an e-mail from                    |
| 5  |    | William Hedberg to Kamiar Alaei, includes           |
| 6  |    | James Stellar and Harvey Charles as CC recipients,  |
| 7  |    | dated May 14, 2018.                                 |
| 8  |    | In this e-mail, Mr. Hedberg states                  |
| 9  |    | that: "The Provost has signed the form from         |
| 10 |    | Dean Harvey Charles for non-renewal of your         |
| 11 |    | appointment."                                       |
| 12 |    | Do you know the basis for the                       |
| 13 |    | Provost his decisions to approve non-renewal?       |
| 14 | A. | I do not know his specific reason.                  |
| 15 | Q. | Okay. This states, this e-mail, in part: "The next  |
| 16 |    | step in the process for the President to review the |
| 17 |    | file and make his decision. Before the decision,    |
| 18 |    | you have five working days to review the file and   |
| 19 |    | submit a statement in response."                    |
| 20 |    | Do you recall Dr. Alaei preparing a                 |
| 21 |    | statement in response?                              |
| 22 | A. | I think I do. That second paragraph, I think, is by |
| 23 |    | the book, by the contract, by the book and the      |
| 24 |    | notification process and appeal process or          |
| 25 |    | response time. It sounds like it's right out of the |

| 1  |    | contract.  |
|----|----|--|
| 2  | Q. | Okay. I'm going to further scroll down on            |
| 3  |    | Claimant's Exhibit B, sub 6, and there is a letter   |
| 4  |    | dated May 8, 2018, to William B. Hedberg. I'm just   |
| 5  |    | going to scroll through it quickly so you can see    |
| 6  |    | it's signed by Dr. Kamiar Alaei. Do you recall       |
| 7  |    | seeing this letter?                                  |
| 8  | Α. | Vaguely, yes.  |
| 9  | Q. | Did you have any impression or understanding as to   |
| 10 |    | the content of the letter when you reviewed it?      |
| 11 | Α. | It sounds like his reply to the non-renewal and      |
| 12 |    | lists his accomplishments and achievements.          |
| 13 | Q. | Did you have any discussions with anyone concerning  |
| 14 |    | the content of this letter when you reviewed it?     |
| 15 | Α. | Not to my recollection.                              |
| 16 | Q. | Do you know if a determination had already been made |
| 17 |    | by the President to approve non-renewal of           |
| 18 |    | Kamiar Alaei before Kamiar Alaei sent this letter?   |
| 19 | A. | I'm unsure. It depends where we are in the           |
| 20 |    | progression of notification and such. So I guess     |
| 21 |    | the answer is: I'm not sure.                         |
| 22 | Q. | So at This letter was submitted in response          |
| 23 |    | This May 8, 2018 letter was submitted in response    |
| 24 |    | to Well, it must have been before then, but          |
|    |    |  |

there's a Provost e-mail dated May 14, 2018,

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|----|----|--|
| 1  |    | advising that the next step is for the President to  |
| 2  |    | review the file and make his decision, but it gave   |
| 3  |    | him five working days to submit a statement in       |
| 4  |    | response.  |
| 5  |    | Do you know if the President had made                |
| 6  |    | a determination to approve the non-renewal of        |
| 7  |    | Dr. Kamiar Alaei before receiving Dr. Alaei's        |
| 8  |    | letter?  |
| 9  | Α. | I do not know that. I do not know.                   |
| 10 | Q. | Do you know if the President subsequently approved   |
| 11 |    | non-renewal of Dr non-renewal of Dr. Alaei?          |
| 12 | A. | I assume he did, because either he or his designee   |
| 13 |    | would have signed the final document, I believe, but |
| 14 |    | I'm not certain.                                     |
| 15 | Q. | Do you know the President's basis or reasoning for   |
| 16 |    | approving non-renewal?                               |
| 17 | A. | I think it would be consideration of the             |
| 18 |    | recommendations and options put forth to him by the  |
| 19 |    | University Counsel at the time.                      |
| 20 | Q. | So it would be based on what University Counsel      |
| 21 |    | advised?   |
| 22 | A. | That would inform the President's decision. What     |
| 23 |    | drove his final decision, you would have to ask him. |
| 24 | Q. | Okay. Do you know if the President had considered    |

any information from Office of Human Resource

| 1  |    | Management or opinions by Randy Stark or             |
|----|----|--|
| 2  |    | Brian Selchick?                                      |
| 3  | A. | I do not know.                                       |
| 4  | Q. | Do you recall the issue of non-renewal involving     |
| 5  |    | Dr. Alaei, involving an issue about getting one year |
| 6  |    | of an additional employment versus two years?        |
| 7  | A. | Yes, I believe.                                      |
| 8  | Q. | Do you recall strike that.                           |
| 9  |    | Do you know the term "evergreen                      |
| 10 |    | contract" or "evergreen appointment"?                |
| 11 | A. | Yes.   |
| 12 | Q. | What's your understanding of what an evergreen       |
| 13 |    | contract or evergreen appointment is?                |
| 14 | A. | That the appointment would be for a certain number   |
| 15 |    | of years; one year, two years, three well, two       |
| 16 |    | years, three years or more, and then each year, it   |
| 17 |    | would get increased to be, again, two years,         |
| 18 |    | three years, so that from the time of initiation of  |
| 19 |    | being reappointed, it would continue to be for two   |
| 20 |    | years or three years or whatever so that so          |
| 21 |    | that's my understanding of it.                       |
| 22 | Q. | Okay. If I can refer you to what's been previously   |
| 23 |    | identified as Claimant's Exhibit E-2.                |
| 24 |    | If we can take a look at this letter.                |

Did you review -- Are you familiar with what this

letter is?

2 A. I believe so.

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- Q. Can you explain to me your understanding what this letter is?
- 5 A. This looks like the initial appointment letter that
  6 says that Dr. Alaei will have a three-year term and
  7 then annually be reinstituted.
  - Q. So this letter, the first paragraph, says: "It is my pleasure to offer you an appointment to the University at Albany as a Research Associate Professor and Lecturer in the Department of Public Administration and Policy, Rockefeller College of Public Affairs and Policy."

So does that indicate that was the appointment Dr. Alaei received as of April 16, 2014?

- A. Yes.
- Q. And is it fair to say this paragraph -- these two paragraphs, the one that starts with: "In this appointment, you will report to the Chair of Department of Public Administration and Policy and the Dean of Rockefeller College. You will be responsible for teaching one course each fall and spring semester, monitoring -- or mentoring students contributing to the college's health policy group and providing service in the areas related to the

| 1  |    | intersection of GIHHR and the college."              |
|----|----|--|
| 2  |    | Is it fair to say that identifies what               |
| 3  |    | his responsibilities and tasks were?                 |
| 4  | Α. | At the time of appointment, yes.                     |
| 5  | Q. | And then in this next paragraph, it says: "You will  |
| 6  |    | have three complementary, non-stipendiary            |
| 7  |    | appointments in addition to your professional        |
| 8  |    | appointment in Rockefeller College"?                 |
| 9  | Α. | Yep.   |
| 10 | Q. | And then identifies he was to continue to serve as   |
| 11 |    | director of GIHHR?                                   |
| 12 | Α. | Right.   |
| 13 | Q. | So is it fair to say that Dr. Alaei's primary        |
| 14 |    | appointment was to be a Research Associate Professor |
| 15 |    | and Lecturer in the Department of Public             |
| 16 |    | Administration and Policy?                           |
| 17 | Α. | At the time of that letter, yes.                     |
| 18 | Q. | Okay. Did you help develop the matrix for            |
| 19 |    | Dr. Alaei's initial 2014 appointment?                |
| 20 | Α. | I think you're referring to the 2020 MOU process, so |
| 21 |    | yes, in collaboration with the Provost at the time,  |
| 22 |    | Dr. Susan Phillips.                                  |
| 23 | Q. | So do you recall what the standards were that you    |
| 24 |    | created or helped to create for Dr. Alaei?           |
| 25 | А. | It wasn't necessary for Dr. Alaei. It was with the   |

| ·  |    |  |
|----|----|--|
| 1  |    | Department of Public Administration. In lieu of      |
| 2  |    | this hire, they would guarantee so in                |
| 3  |    | general I don't know the specifics of this one,      |
| 4  |    | but in general, they evolved around three areas,     |
| 5  |    | either enrollment growth, initial enrollments, head  |
| 6  |    | count enrollments, student credit hours or research  |
| 7  |    | grants, expenditures.                                |
| 8  | Q. | So explain to  |
| 9  | Α. | So a combination of all three.                       |
| 10 | Q. | Can you explain to me research grants, expenditures  |
| 11 |    | component?   |
| 12 | Α. | That would be new research awards generated by the   |
| 13 |    | department.  |
| 14 | Q. | So that would be a requirement for his job and the   |
| 15 |    | standard to measure whether he was meeting the       |
| 16 |    | standards for his job?                               |
| 17 | Α. | No, it was for the department.                       |
| 18 | Q. | I'm sorry, for the department. Okay.                 |
| 19 | A. | Yeah, so for the department.                         |
| 20 | Q. | So if the department was achieving those standards,  |
| 21 |    | was meeting those metrics, that was an indication    |
| 22 |    | the department was having success?                   |
| 23 | Α. | Correct.   |
| 24 | Q. | Concerning the one year versus two year issue, did   |
| 25 |    | you consider the language in the second paragraph of |

| 1  |    | Claimant's Exhibit E-2 that says: "To give you       |
|----|----|--|
| 2  |    | security of at least two years of employment, the    |
| 3  |    | appointment will be reviewed annually for possible   |
| 4  |    | extension by another year"?                          |
| 5  | A. | Yes.   |
| 6  | Q. | And your interpretation of that language was that it |
| 7  |    | was only to give Dr. Alaei only one year if he was   |
| 8  |    | non-renewed by SUNY Albany?                          |
| 9  | A. | Unless he had a reappointment for two years, which I |
| 10 |    | do not think he did. They said it would be renewed   |
| 11 |    | annually.  |
| 12 | Q. | Did you come across                                  |
| 13 | Α. | For possible extension.                              |
| 14 | Q. | I'm sorry, can you say that again?                   |
| 15 | Α. | Yes. This says he would be renewed annually for      |
| 16 |    | possible extension by another year. I saw nothing    |
| 17 |    | that indicated that he was reviewed annually and     |
| 18 |    | given that second year in an appointment favor.      |
| 19 | Q. | So your position is Your reading was the language    |

- Q. So your position is -- Your reading was the language about giving you the security of at least two years of employment, unless that was contained in some other job -- or excuse me -- some other letter, the appointment was rather just reviewed annually for a possible extension by one year?
- A. Correct.

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| Q. | Did Randy Stark and Brian Selchick give you their    |
|----|--|
|    | opinion on the interpretation of this language?      |
| Α. | I know we discussed it. I think there was an         |
|    | opinion that it could go either way; that one could  |
|    | interpret it as being one or the other, potentially. |
| Q. | If I can strike that.                                |
|    | Who made the final decision about                    |
|    | whether it was one year versus two years for         |
|    | continued employment if Dr. Alaei was non-renewed?   |
| Α. | Whoever signed the final paper, I guess.             |
| Q. | So if that final letter we're talking about and      |
|    | I'll just go back to it here. That would be          |
|    | Claimant's Exhibit B-8.                              |
|    | Claimant's Exhibit B-8, the August 10,               |
|    | 2018 letter from Dr. Alaei signed by Mr. Stark       |
|    | reflecting SUNY Albany exercising its right to elect |
|    | to terminate the appointment effective August 10th.  |
|    | And it says: "The University will pay the balance    |
|    | of salary remaining on your term appointment from    |
|    | August 10, 2018 through August 9, 2019?"             |
|    | Your recollection is that it would                   |
|    | have been Randy Stark who made that decision about   |
|    | giving one year or paying one year for the salary    |
|    | remaining on the term appointment?                   |
|    | A.<br>Q.   |

I'm not certain, because I think that would have

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Α.

been discussed at a higher level.

- Q. So as you sit here, you don't recall who made the final decision as to the University stating it would pay the balance of salary remaining on Dr. Alaei's term appointment for just one year, August 10 through August 9, 2019?
- 7 A. That is my recollection.
- 8 Q. That you don't recall, is your recollection?
- 9 A. That I don't recall.

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10 Q. Okay. Back to Dr. Alaei's -- Claimant's Exhibit -11 Hold on a second.

Claimant's Exhibit B-6, specifically the letter from Dr. Alaei dated May 8, 2018, to Will -- Bill Hedberg. There's a paragraph on the second page of that document, it starts with the heading: "With respect to funding," and it says, "You can also find the projected funding required for each of the two faculty lines (\$185,500) in the attached Excel sheet. I've also included the actual funding from 2015 to 2017 that I was able to get, and there's the number of \$3,916,342 identified, despite the absence of the second faculty line. This means I was able to reach over 21 times higher than the target."

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Do you have any understanding of what

| 1 | have | Dr. | Alaei's | referring | to | there? |
|---|------|-----|---------|-----------|----|--------|
|---|------|-----|---------|-----------|----|--------|

- A. I think he looks like he is pointing to success and garnering external funding, but the word "potential funding" makes me wonder what exactly those numbers mean.
- Q. Okay. So would this relate to the metrics that you identified earlier as part of the goals for Dr. Alaei's appointment?
- 9 A. Yes, but I believe those metrics focus on research
  10 expenditures earned, not potential funding or what
  11 might come in. So that's where I'm kind of
  12 questioning what exactly those numbers are.
- Q. Okay. This refers to an attached Excel sheet. I'm
  going to scroll down on Claimant's Exhibit B-6. Do
  you recall -- I know they are upside down. Do these
  Excel sheets look familiar to you at all?
- 17 A. Yes, I, generally, remember what they are about.
- Q. Did you create these Excel sheets? Not the information on them, but the outline of the Excel sheets?
- 21 A. Yes.

- Q. Okay. And this is Dr. Alaei's showing his compliance with those Excel sheets; is that fair to say?
  - A. Yes, although it's hard to determine if the actual

| 1  |    | columns are yeah.                                    |
|----|----|--|
| 2  | Q. | Yeah, and I understand it's not a clear version in   |
| 3  |    | this copy of the document.                           |
| 4  | A. | In general, I agree.                                 |
| 5  | Q. | Okay. In the prior appointment letter for            |
| 6  |    | Dr. Alaei, that would be E-2, I bring you to Exhibit |
| 7  |    | E-2. This letter, appointment letter, identifies     |
| 8  |    | Provost Kevin Williams. Do you know who he was in    |
| 9  |    | 2014 and, again 2018, his position with SUNY Albany? |
| 10 | A. | Yes.   |
| 11 | Q. | Can you explain to me your understanding of          |
| 12 |    | Kevin Williams' position?                            |
| 13 | A. | He's the Dean of Graduate Studies or was the Dean of |
| 14 |    | Graduate Studies and then later Vice Provost of Dean |
| 15 |    | of Graduate Studies.                                 |
| 16 | Q. | Do you know if, in his job overview, he's            |
| 17 |    | responsible, in part, for addressing contract issues |
| 18 |    | for people that are under his department             |
| 19 |    | supervision?   |
| 20 | A. | Can you restate that, please?                        |
| 21 | Q. | Sure.  |
| 22 |    | Do you know if part of his job                       |
| 23 |    | responsibilities includes overseeing contract issues |
| 24 |    | for employees that are under his department          |
| 25 |    | supervision?   |

- A. I do not know the answer to that.
- Q. Do you know if anyone consulted with
- 3 Kevin Williams about the meeting of Dr. Alaei's
- 4 appointment letter, in terms of one year versus two
- 5 years?

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- 6 A. I do not know the answer to that.
- Q. As of May 23, 2018, do you recall what the status of the Title IX investigation was at that point?
- 9 A. No. I want to say that -- that they found no -- nothing to pursue further.
- Q. What about for Randy Stark and Office of Human Resource Management?
- A. I think there were some potential management issues, but again, I think it was -- there was no unlawful activity was discovered, to my knowledge.
- Q. If I can refer you to what's been identified as
  Claimant's Exhibit D-2. If you can take a look at
  what's been identified as Claimant's Exhibit D-2?

  It is an e-mail from Randy Stark, dated July 6,
  20 2018, to several individuals and identifies
  counseling memo for K.A.

This e-mail states, in part, that
Randy Stark was attaching a counseling that he
worked on for Dr. Alaei. It was a struggle writing
it, as there really wasn't anything to counsel him

| 1  |    | on, since the sexual misconduct allegations were     |
|----|----|--|
| 2  |    | unfounded. "We plan on giving him policies on        |
| 3  |    | sexual harassment, workplace violence, et cetera,    |
| 4  |    | but for what purpose as we're going to non-renew him |
| 5  |    | and buy him out."                                    |
| 6  |    | Were you aware of Mr. Stark's findings               |
| 7  |    | as of this point, concerning the investigation       |
| 8  |    | regarding Dr. Alaei?                                 |
| 9  | A. | I think I probably was.                              |
| 10 | Q. | Do you know if Mr. Stark had made similar findings   |
| 11 |    | at this point regarding the alleged two other Roman  |
| 12 |    | Numeral points that were being investigated          |
| 13 |    | by concerning Dr. Alaei?                             |
| 14 | A. | Could you remind me or show me what those other two  |
| 15 |    | points are, please?                                  |
| 16 | Q. | Yeah, that would be referring to Claimant's Exhibit  |
| 17 |    | L-2, I believe.                                      |
| 18 | A. | And could you repeat your question, please?          |
| 19 | Q. | Sure.  |
| 20 |    | Are you aware of whether or not                      |
| 21 |    | Mr. Stark had made similar strike that.              |
| 22 |    | Are you aware of whether Mr. Stark had               |
| 23 |    | made a similar determination about allegations being |
| 24 |    | unfounded concerning Roman Numerals I and II, in the |
| 25 |    | Claimant's Exhibit L that we just referred to, as    |

part of his investigation conclusions?

A. Yeah, I believe so.

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- Q. And you had raised before, you know, concerns about management or a use of funds. Are you aware of whether Mr. Stark determined that, you know, there was no basis to support any allegations regarding concerns there?
- A. Not necessarily, because I believe there was
  mischarging -- there was, in fact, report of
  mischarging on grants and the attribution of efforts
  by certain staff of the GIHHR on some grants rather
  than other grants which violated the contracts, so
  to speak in terms of from a management perspective.
- Q. Do you know if SUNY Albany ever issued any statement to Dr. Alaei regarding those issues or any findings regarding those issues?
- 17 A. I do not know.
- Q. If I could refer you to what had been previously identified as Claimant's Exhibit C-3.

Claimant's Exhibit C-3 is a copy of a letter from Young Sommer to Randy Stark, dated May 21, 2018. In this letter, Young Sommer provides information to Mr. Stark concerning funding for GIHHR. Are you aware of whether that was something that Randy Stark had considered and made, you know,

| 1  |    | his determination on regarding funding issues or     |
|----|----|--|
| 2  |    | grant issues?  |
| 3  | Α. | I'm sorry, could you repeat the question?            |
| 4  | Q. | Sure.  |
| 5  |    | Are you aware of whether Mr. Stark                   |
| 6  |    | considered what's contained in Claimant's Exhibit    |
| 7  |    | C-3 in this letter from Young Sommer addressing      |
| 8  |    | funding and grant issues for GIHHR, if whether that  |
| 9  |    | was something Mr. Stark considered in his            |
| 10 |    | investigation?                                       |
| 11 | A. | I do not know.                                       |
| 12 | Q. | Did you ever review this about use of funding or     |
| 13 |    | grants?  |
| 14 | A. | No.  |
| 15 | Q. | I'll go back to this Claimant's Exhibit D-2. Are     |
| 16 |    | you aware if Mr. Stark had made any recommendations  |
| 17 |    | to the President regarding Dr. Alaei's employment    |
| 18 |    | based upon his findings here about allegations being |
| 19 |    | unfounded?   |
| 20 | Α. | No.  |
| 21 | Q. | Okay. If I can show you what's been previously       |
| 22 |    | marked as Claimant's Exhibit I, sub 4. Actually,     |
| 23 |    | sorry, I hate to do this. Let me go back to D-2.     |
| 24 |    | In Claimant's Exhibit D-2, Mr. Stark                 |
| 25 |    | refers to saying: "We are going to non-renew him     |

- and buy him out." Do you know what he's referring to when he says "buy him out"?
- 3 I believe that refers to -- I think if you -- Per the contract, if somebody is -- I think that means 4 5 if you non-renew somebody, they are still engaged with the University for a year. I think the term 6 7 "buy him or her out" means that if the University, 8 per the contract, pays that individual the balance of wage that they would have received during that 9 10 year, you can begin a separation immediately and 11 they would no longer be an employee of the 12 University, as opposed to being an employee for 13 another year.
  - Q. Okay. So at some point before January -- or July 4, then -- or sorry, July 6, 2018, SUNY Albany had made a determination not just to non-renew Dr. Alaei, but they were going to buy him out; is that fair to say?
  - A. That is Mr. Stark's statement.

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- Q. Do you know if the President, as of July 6th or before 2018, had approved a course of action of buying Dr. Alaei out?
  - A. I don't remember specifically, but as a matter of practice, I believe the recommendation -- the recommended actions would have been placed before the President so that he was aware of what the

| intended actions we | re. |
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- Q. Okay. If I can refer you to what had been previously identified as Claimant's Exhibit I, sub 4. Specifically, I'm referring to an e-mail from you to Randy Stark and others dated July 23, 2018. If you could take a look at this e-mail.
- Do you recall sending this e-mail to Mr. Stark?
- 9 A. I don't recall sending it, but I did.
- Q. Do you recall what's at issue in this e-mail,
  discussing "the President has okayed proceeding, as
  we laid out last meeting, counseling meeting and
  continuing" --
  - A. Right. So I think that is consistent with the statement I just made a few minutes ago; that the intended course of action and recommendation would have been laid before the President for his information, and then we would proceed as such, unless there were differing instructions issued.
  - Q. Okay. So Dr. Alaei was subsequently bought out on -- or notified as being bought out on August 10, 2018, as we've gone through. And I can pull that back up, Claimant's Exhibit B-8.

And you had previously stated your, you know, belief as to the reason for termination.

| ,  |    |  |
|----|----|--|
| 1  |    | Was it decided that Dr. Alaei, apart from GIHHR, was |
| 2  |    | not able to continue with his actual appointment     |
| 3  |    | position as a Research Associate Professor and       |
| 4  |    | Lecturer in the Department of Public Administration  |
| 5  |    | and Policy, Rockefeller College, and undertake the   |
| 6  |    | responsibilities identified in his April 16, 2014    |
| 7  |    | letter?  |
| 8  | A. | I don't remember any discussions to that effect.     |
| 9  | Q. | Is it fair to say the discussions were limited to    |
| 10 |    | Dr. Alaei and GIHHR?                                 |
| 11 | Α. | I think so, yes.                                     |
| 12 | Q. | Okay. Since your time with SUNY Albany, have you     |
| 13 |    | been involved in other alternative assignment or     |
| 14 |    | disciplinary investigations?                         |
| 15 | Α. | Yes.   |
| 16 | Q. | Are you aware of any other alternative assignment or |
| 17 |    | disciplinary investigations that resulted in         |
| 18 |    | non-renewal and buying out of the employee, even     |
| 19 |    | though it was decided there was no just cause to     |
| 20 |    | impose discipline against the employee?              |
| 21 |    | MR. ROTONDI: I object to the form of                 |
| 22 |    | the question. You can answer.                        |
| 23 | A. | Yes. Maybe if Depending upon the particulars.        |
| 24 | Q. | So you're saying that other employees have been      |
| 25 |    | non-renewed and bought out that were subject to      |

| 1  |    | alternative assignment or disciplinary             |
|----|----|--|
| 2  |    | investigations, even though it was ultimately      |
| 3  |    | determined there was no just cause to impose       |
| 4  |    | discipline against those employees?                |
| 5  |    | MR. ROTONDI: Object to the form. You               |
| 6  |    | can answer.  |
| 7  | A. | I think the statement of determination of no just  |
| 8  |    | cause gives me pause. I can't answer the question  |
| 9  |    | as phrased in such a way, so I would answer no.    |
| 10 | Q. | Okay. Let me ask it this way: Have you been Are    |
| 11 |    | you aware of other alternative assignments or      |
| 12 |    | disciplinary investigations during your time where |
| 13 |    | that the person subject to the alternative         |
| 14 |    | assignment or disciplinary investigation was       |
| 15 |    | non-renewed and bought out, even though it was     |
| 16 |    | decided there was no basis to impose discipline    |
| 17 |    | against that employee?                             |
| 18 |    | MR. ROTONDI: Object to the form. You               |
| 19 |    | can answer.  |
| 20 | A. | Yes.   |
| 21 | Q. | Do you recall those situations where they were     |
| 22 |    | bought out and non-renewed?                        |
| 23 | A. | Not specifically.                                  |
| 24 | Q. | Do you have an idea of how many other alternative  |
|    |    |  |

assignments or disciplinary investigations were

| 1  |    | conducted, as compared to situations where people    |
|----|----|--|
| 2  |    | were non-renewed and bought out, where there was no  |
| 3  |    | decision that discipline was appropriate?            |
| 4  | Α. | I want to say maybe a couple here, one or two, but   |
| 5  |    | nothing springs to mind that that's the one.         |
| 6  | Q. | Okay. And do you have any idea of how many           |
| 7  |    | alternative assignments or disciplinary              |
| 8  |    | investigations you have been involved in or aware of |
| 9  |    | during your time with SUNY?                          |
| 10 | A. | Personally? Oh, I would phrase it as "aware of"      |
| 11 |    | rather than "involved in." So I'm notified that      |
| 12 |    | these things are going on, just as a matter of       |
| 13 |    | protocol, without full involvement whatsoever. But   |
| 14 |    | I'm going to say fewer then ten.                     |
| 15 | Q. | Okay. Was Arash Alaei bought out or non-renewed as   |

- Q. Okay. Was Arash Alaei bought out or non-renewed as a result of a disciplinary investigation?
- 17 A. I'm not sure of the answer to that question.
- Q. Okay. Are you aware of, in any of these alternative assignments or disciplinary investigations, any non-Middle Eastern females that were bought out and non-renewed, even though it was decided not to impose discipline?
- 23 A. Only one.
- Q. So there's one instance where a non-Middle Eastern female, that was subject to alternative assignment

| 1  |    | and disciplinary investigation, was non-renewed and  |
|----|----|--|
| 2  |    | bought out, even though it was determined discipline |
| 3  |    | was not appropriate?                                 |
| 4  | A. | Again, the determination of discipline not           |
| 5  |    | appropriate, I don't that muddles my recollection    |
| 6  |    | of the event. So I guess I would have to say no      |
| 7  |    | then.  |
| 8  | Q. | Are you aware of any alternative assignments or      |
| 9  |    | disciplinary investigations into non-Middle Eastern  |
| 10 |    | males where it was determined discipline was not     |
| 11 |    | appropriate but they were still bought out and       |
| 12 |    | non-renewed?   |
| 13 | Α. | No, but I've never examined such cases on the basis  |
| 14 |    | of race or ethnicity.                                |
| 15 |    | MR. CASTIGLIONE: Could we just take a                |
| 16 |    | minute break and I'll finish up? I just want         |
| 17 |    | to go through my notes and make sure I didn't        |
| 18 |    | miss anything.                                       |
| 19 |    | THE WITNESS: Sure.                                   |
| 20 |    | (Whereupon, a recess is taken.)                      |
| 21 |    | BY MR. CASTIGLIONE:                                  |
| 22 | Q. | Mr. Szelest, are you aware Did the President         |
| 23 |    | overtake any action during the course of this        |
| 24 |    | investigation concerning Dr. Alaei to make sure that |
| 25 |    | the investigation by Chantelle Cleary and Human      |

| 1  |    | Resources was being conducted in accordance with  |
|----|----|---|
| 2  |    | Dr. Alaei's rights under the UUP agreement?       |
| 3  | A. | That would be our expectation. I'm unaware of any |
| 4  |    | specific actions to verify that.                  |
| 5  | Q. | So in other words, the President may have simply  |
| 6  |    | relied on Chantelle Cleary and Human Resources to |
| 7  |    | conduct a proper investigation in accordance with |
| 8  |    | the rights provided to Dr. Alaei under the UUP    |
| 9  |    | agreement?  |
| 10 | Α. | Correct.  |
| 11 |    | MR. CASTIGLIONE: Okay. I don't have               |
| 12 |    | any other questions, Anthony. Thank you for       |
| 13 |    | your time.  |
| 14 |    | THE WITNESS: My pleasure.                         |
| 15 |    | MR. ROTONDI: Thanks, Bruce.                       |
| 16 |    | (Transcript requests are as follows.)             |
| 17 |    | MR. CASTIGLIONE: Standard delivery,               |
| 18 |    | E-mail only.                                      |
| 19 |    | (Whereupon, the above-titled matter               |
| 20 |    | was concluded at 12:45 p.m.)                      |
| 21 |    |   |
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|    |    |   |

| 1  |                                       |
|----|---------------------------------------|
| 1  | INDEX PAGE                            |
| 2  |                                       |
| 3  | WITNESS:                              |
| 4  | BRUCE SZELEST                         |
| 5  | EXAMINATION BY MR. CASTIGLIONE 4      |
| 6  |                                       |
| 7  |                                       |
| 8  | EXHIBITS                              |
| 9  | EXHIBIT DESCRIPTION PAGE              |
| 10 | (Whereupon, no exhibits were marked.) |
| 11 |                                       |
| 12 |                                       |
| 13 |                                       |
| 14 |                                       |
| 15 |                                       |
| 16 |                                       |
| 17 |                                       |
| 18 |                                       |
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| 1                    | CERTIFICATION  |
|----------------------|--|
| 2 STATE OF NEW YORK: |  |
| 3                    | COUNTY OF WARREN:  |
| 4                    | I, Deborah M. McByrne, do hereby certify   |
| 5                    | that the foregoing testimony was duly sworn to; that I reported in machine shorthand the     |
| 6                    | foregoing pages of the above-styled cause, and that they were prepared by computer-assisted  |
| 7                    | transcription under my personal supervision and constitute a true and accurate record of the |
| 8                    | proceedings;   |
| 9                    |  |
| 10                   | I further certify that I am not an attorney  |
| 11                   | or counsel of any parties, nor a relative or employee of any attorney or counsel connected   |
| 12                   | with the action, nor financially interested in the action.                                   |
| 13                   |  |
| 14                   | WITNESS my hand in the City of Queensbury,<br>County of Warren, State of New York            |
| 15                   |  |
| 16                   | 11/2   |
| 17                   | Shelyn-  |
| 18                   |  |
| 19                   |  |
| 20                   | DEBORAH M. McBYRNE   |
| 21                   | Court Reporter   |
| 22                   |  |
| 23                   |  |
| 24                   |  |
| 25                   |  |
|                      |  |

| 1        | DECLARATION/WITNESS CERTIFICATION   |
|----------|---|
| 2        | Case: Alaei v. State University of New York   |
| 3        | Witness: Bruce Szelest  |
| 4        | Deposition Date: April 12, 2021   |
| 5        | T doubless sensites of sessions that T  |
| 6        | I declare under penalty of perjury that I have read the entire transcript of my Deposition  |
| 7        | taken in the captioned matter or the same has been read to me, and the same is true and     |
| 8        | accurate, save and except for changes and/or corrections, if any, as indicated by me on the |
| 9        | DEPOSITION ERRATA SHEET hereof, with the understanding that I offer these changes as if     |
| 10       | still under oath.   |
| 11       | BRUCE SZELEST   |
| 12       | Sworn to before me, this day  |
| 13       | of 20   |
| 14       | [ Notary Public.  [ ] (print)   |
| 15       | Registration No:  |
| 16       | State of  |
| 17       | Qualified inCounty.   |
| 18       | My commission expires   |
| 19       |   |
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| 1        | DEPOSITION ERRATA SHEET  |
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| 2        | Case: Alaei v. State University of New York Witness: Bruce Szelest |
| 3        | Deposition Date: April 12, 2021                                    |
| 4        | Reason Codes: 1: To clarify the record                             |
| 5        | 2: To conform to the facts 3: To correct transcription errors.     |
| 6        | PAGE/LINE CORRECTION REASON CODE                                   |
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| 19 | Subject to the above changes, I certify   |
| 20 | that the transcript is true and correct.  |
| 21 | No changes have been made. I certify that |
| 22 | the transcript is true and correct.       |
| 23 |   |
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| 25 | BRUCE SZELEST                             |
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|---|--|---|---|---|
| -   | 57:1;67:3;89:22  | 22:15;23:19;25:19;  | 45:22;49:5;56:24;   | 69:16,24;70:4;79:7  |
| \$  | addition (3) 43:14;  | 26:5;27:17;28:7,15;   | 57:2;87:1,23;88:6;  | appreciate (1) 25:21  |
| Ψ   | 55:18;79:7   | 29:3,8,10,19,23;30:3,   | 89:18   | appropriate (4) 94:3;   |
| <b>\$185,500 (1)</b> 83:18  | additional (3) 29:7;   | 7,9,11,19;32:17;34:9,   | alleged (7) 43:5,9,25;  | 95:3,5,11   |
| <b>\$3,916,342 (1)</b> 83:21  | 66:14;77:6   | 13,23;35:19;38:1;   | 45:16;52:10;54:4;   | approval (2) 33:7;  |
| ψ5,510,542 (1) 65.21  | address (3) 27:15;   | 39:10,12,15,20;   | 87:11   | 55:4  |
| $\mathbf{A}$  | 28:10,16   | 40:22;44:4;45:11,15,  | alleges (1) 49:12   | approve (7) 23:3,5;   |
|   | addressed (1) 71:11  | 20;46:3,8,13;47:5,16;   | <b>allowable (1)</b> 17:6   | 33:10;65:14;74:13;  |
| <b>A-1 (11)</b> 7:25;8:2,7;   | addressing (2) 85:17;  | 49:13,21,24;50:4,18,  | allowed (3) 18:18;  | 75:17;76:6  |
| 9:14,24;16:18,20,22;  | 89:7   | 25;51:16,19,21,22;  | 26:5;30:19  | approved (4) 32:23;   |
| 17:20;18:13,25  | adds (1) 26:4  | 52:7,13,16,19,24,24;  | allowing (2) 56:2,5   | 56:5;76:10;90:20  |
| <b>A-11 (4)</b> 33:14,24,24;  | administer (1) 7:14  | 53:8,13,18,22,22,23;  | altered (1) 42:15   | <b>approving (1)</b> 76:16  |
| 36:1  | administering (2)  | 54:5,7,8,19,20,22;  | altering (1) 42:18  | <b>April (12)</b> 6:9;13:5;   |
| <b>A-12 (1)</b> 39:10   | 39:14,18   | 55:11,17,17;56:18,  | alternate (2) 18:12;  | 53:12;64:3,19,24,25;  |
| <b>A-13 (2)</b> 39:25;40:1  | administration (8) 9:7;  | 24;57:9,10,15,18,19;  | 19:3  | 65:2,21;68:1;78:15;   |
| <b>A-3 (2)</b> 20:17,19   | 70:1,6;78:12,20;   | 58:16,22;59:1,6,15,   | alternative (37) 8:15;  | 92:6  |
| <b>A-4 (2)</b> 22:18,19   | 79:16;80:1;92:4 administrative (5)   | 19;60:1,20;62:4;63:1;<br>64:10,19,21;65:22;   | 19:22;20:14;21:22;<br>22:2;23:15,20;30:8,   | <b>Arash (35)</b> 49:13,24; 50:4,5;51:19,22;52:6,   |
| <b>A-6 (3)</b> 28:20,21;  | 7:14,15;9:6;16:1;  | 66:12;67:10;68:3;   | 11,14;31:21,23;32:5;  | 7,13,16,19,24,25;   |
| 29:17   | 61:10  | 69:19;70:17;71:23;  | 34:16,17,25;41:3;   | 53:2,8,13,18,23;54:7,   |
| <b>A-7 (2)</b> 30:25;31:1   | admittedly (1) 42:15   | 72:14,15,20,22;73:21,   | 47:1,16;50:5;52:8,16;   | 8,20,21,23,25;55:4,   |
| <b>ability (3)</b> 5:14,24;   | advice (2) 55:24;65:3  | 25;74:5,20;75:6,18,   | 54:9,21;56:7,10,13;   | 11,13,17,17;56:1,2,6,   |
| 60:6 <b>able (6)</b> 5:23;20:2;   | advised (7) 14:2,23;   | 18;76:7,11;77:5;78:6,   | 92:13,16;93:1,11,13,  | 10,12;94:15   |
| 26:6;83:20,23;92:2  | 52:10;57:21,25;  | 15;79:24,25;81:7;   | 24;94:7,18,25;95:8  | area (1) 16:16  |
| above (2) 69:7;   | 60:19;76:21  | 82:9,15;83:13;85:6;   | although (1) 84:25  | areas (2) 78:25;80:4  |
| 101:19  | advisement (1) 38:20   | 86:24;87:8,13;88:15;  | <b>Ambassador (1)</b> 29:11   | around (4) 24:17;   |
| above-mentioned (1)   | advising (5) 36:22;  | 90:16,21;91:20;92:1,  | amongst (1) 61:9  | 40:21;65:10;80:4  |
| 53:15   | 38:17;40:20;41:10;   | 10;94:15;95:24;96:8   | and/or (2) 11:2;56:15   | <b>Article (1)</b> 59:20  |
| above-titled (1) 96:19  | 76:1   | Alaei's (42) 4:12;  | announcement (1)  | articulate (1) 69:5   |
| absence (1) 83:22   | advisor (2) 43:15,16   | 11:19;13:1;14:6,19;   | 37:25   | Asian (1) 35:13   |
| academic (1) 34:11  | advisory (8) 15:23;  | 15:5,16;16:2;17:21;   | announcing (1) 37:3   | assault (1) 43:6  |
| access (6) 19:7,8,14;   | 36:12,21;37:6,19;  | 32:8;50:5;52:6;   | annually (6) 78:7;  | assignment (34) 8:15;   |
| 20:3,13,13  | 41:4,4;61:12<br><b>Affairs (1)</b> 78:13   | 54:21;60:4,18;61:1,6,<br>17,22;62:11;63:6;  | 81:3,11,15,17,23<br><b>Anthony (2)</b> 48:4;  | 18:12;19:3,22;20:14;<br>21:22;22:2;23:15,20;  |
| accessing (1) 20:1  | affidavit (3) 43:14;   | 64:4,23;65:7,9,15,24;   | 96:12   | 30:8,11,14;31:21,23;  |
| accomplished (1) 7:12   | 44:18,22   | 70:3;72:25;73:4,9;  | anticipation (1) 6:13   | 32:5;34:16,17;35:1;   |
| accomplishments (1) 75:12   | affiliated (3) 16:11,12;   | 76:7;79:13,19;83:4,   | apart (1) 92:1  | 41:3;47:1,16;50:5;  |
| accordance (4) 17:21;   | 30:20  | 10;84:1,8,22;86:3;  | <b>Apparently (2)</b> 29:9;   | 52:8,16;54:9,21;56:7,   |
| 59:20;96:1,7  | <b>affiliation (2)</b> 16:15;  | 89:17;96:2  | 71:5  | 10,13;92:13,16;93:1,  |
| accounts (1) 47:21  | 30:15  | Alamos (1) 29:5   | appeal (1) 74:24  | 14;94:25  |
| accurate (1) 5:7  | again (8) 6:1;21:3;  | <b>Albany (67)</b> 4:13,15,   | appears (8) 20:21,25;   | assignments (5)   |
| accurately (1) 5:23   | 22:9;77:17;81:14;  | 16;6:23;7:5;8:8,14,   | 21:6;38:10,14;44:19;  | 93:11,25;94:7,19;   |
| accusations (1) 26:7  | 85:9;86:14;95:4  | 18;9:23;10:1;11:11;   | 63:16;65:20   | 95:8  |
| achievements (1)  | against (6) 44:4;  | 14:5;27:12,22;30:4,6,   | <b>Appellate (2)</b> 41:19;   | <b>Associate (10)</b> 44:13;  |
| 75:12   | 45:16;56:24;92:20;   | 20;32:19,20;34:13;  | 45:2  | 68:7,10;69:10,14;   |
| achieving (1) 80:20   | 93:4,17  | 37:15;38:19;39:13,  | appoint (1) 33:2  | 70:3,18;78:10;79:14;  |
| across (1) 81:12  | <b>aggressive (1)</b> 43:18 <b>ago (2)</b> 8:11;91:15  | 19;40:8,11,14;42:1;   | appointed (6) 33:10;  | 92:3  |
| acted (2) 43:18;53:22   | 1 ann (2) X:11:91:15   | 43:25;45:11,21;   | 34:19;35:4;37:4;  | associated (1) 69:23  |
|   |  |   |   |   |
| acting (1) 43:21  | <b>agree (3)</b> 5:12;66:16;   | 46:10,14,15,16,24;  | 38:21;70:17   | assume (11) 8:22;   |
| acting (1) 43:21<br>action (9) 11:24;22:7;  | <b>agree (3)</b> 5:12;66:16; 85:4  | 46:10,14,15,16,24;<br>47:3,13;49:21;50:1,6,   | 38:21;70:17<br>appointment (61)   | <b>assume (11)</b> 8:22; 10:2;14:20;17:23;  |
| acting (1) 43:21<br>action (9) 11:24;22:7;<br>28:10;39:19;58:14;  | <b>agree (3)</b> 5:12;66:16;<br>85:4<br><b>agreed (1)</b> 63:3   | 46:10,14,15,16,24;<br>47:3,13;49:21;50:1,6,<br>8,24;56:5,11;58:13,  | 38:21;70:17<br>appointment (61)<br>4:13;13:25;14:19,24;   | <b>assume (11)</b> 8:22;<br>10:2;14:20;17:23;<br>21:15;32:10;36:5;  |
| acting (1) 43:21<br>action (9) 11:24;22:7;<br>28:10;39:19;58:14;<br>60:8;90:20;91:16;   | agree (3) 5:12;66:16;<br>85:4<br>agreed (1) 63:3<br>agreement (15)   | 46:10,14,15,16,24;<br>47:3,13;49:21;50:1,6,<br>8,24;56:5,11;58:13,<br>24;59:7,18;60:3,17;   | 38:21;70:17<br><b>appointment (61)</b><br>4:13;13:25;14:19,24;<br>15:6,16;16:2,11;  | assume (11) 8:22;<br>10:2;14:20;17:23;<br>21:15;32:10;36:5;<br>56:19;66:6,17;76:12  |
| acting (1) 43:21<br>action (9) 11:24;22:7;<br>28:10;39:19;58:14;<br>60:8;90:20;91:16;<br>95:23  | agree (3) 5:12;66:16;<br>85:4<br>agreed (1) 63:3<br>agreement (15)<br>16:22;17:4,10,13,14,   | 46:10,14,15,16,24;<br>47:3,13;49:21;50:1,6,<br>8,24;56:5,11;58:13,<br>24;59:7,18;60:3,17;<br>61:5;62:5,10;63:5;   | 38:21;70:17<br><b>appointment (61)</b><br>4:13;13:25;14:19,24;<br>15:6,16;16:2,11;<br>36:22;59:23;60:4,16,  | assume (11) 8:22;<br>10:2;14:20;17:23;<br>21:15;32:10;36:5;<br>56:19;66:6,17;76:12<br>attached (2) 83:19;   |
| acting (1) 43:21<br>action (9) 11:24;22:7;<br>28:10;39:19;58:14;<br>60:8;90:20;91:16;<br>95:23<br>actions (13) 20:3,10;   | agree (3) 5:12;66:16;<br>85:4<br>agreed (1) 63:3<br>agreement (15)<br>16:22;17:4,10,13,14,<br>22;20:13;30:13;32:9;   | 46:10,14,15,16,24;<br>47:3,13;49:21;50:1,6,<br>8,24;56:5,11;58:13,<br>24;59:7,18;60:3,17;<br>61:5;62:5,10;63:5;<br>64:3,9,11,17,22;   | 38:21;70:17<br><b>appointment (61)</b><br>4:13;13:25;14:19,24;<br>15:6,16;16:2,11;<br>36:22;59:23;60:4,16,<br>19;61:1,6,17,22,23,   | assume (11) 8:22;<br>10:2;14:20;17:23;<br>21:15;32:10;36:5;<br>56:19;66:6,17;76:12<br>attached (2) 83:19;<br>84:13  |
| acting (1) 43:21<br>action (9) 11:24;22:7;<br>28:10;39:19;58:14;<br>60:8;90:20;91:16;<br>95:23<br>actions (13) 20:3,10;<br>43:25;44:15;45:6;  | agree (3) 5:12;66:16;<br>85:4<br>agreed (1) 63:3<br>agreement (15)<br>16:22;17:4,10,13,14,<br>22;20:13;30:13;32:9;<br>49:15;52:4,11;59:21;   | 46:10,14,15,16,24;<br>47:3,13;49:21;50:1,6,<br>8,24;56:5,11;58:13,<br>24;59:7,18;60:3,17;<br>61:5;62:5,10;63:5;<br>64:3,9,11,17,22;<br>78:10;81:8;82:16;  | 38:21;70:17<br><b>appointment (61)</b><br>4:13;13:25;14:19,24;<br>15:6,16;16:2,11;<br>36:22;59:23;60:4,16,<br>19;61:1,6,17,22,23,<br>24;62:2,6,12;65:7,24;  | assume (11) 8:22;<br>10:2;14:20;17:23;<br>21:15;32:10;36:5;<br>56:19;66:6,17;76:12<br>attached (2) 83:19;<br>84:13<br>attaching (1) 86:23   |
| acting (1) 43:21<br>action (9) 11:24;22:7;<br>28:10;39:19;58:14;<br>60:8;90:20;91:16;<br>95:23<br>actions (13) 20:3,10;<br>43:25;44:15;45:6;<br>46:9,15,20;47:4,7;  | agree (3) 5:12;66:16;<br>85:4<br>agreed (1) 63:3<br>agreement (15)<br>16:22;17:4,10,13,14,<br>22;20:13;30:13;32:9;<br>49:15;52:4,11;59:21;<br>96:2,9   | 46:10,14,15,16,24;<br>47:3,13;49:21;50:1,6,<br>8,24;56:5,11;58:13,<br>24;59:7,18;60:3,17;<br>61:5;62:5,10;63:5;<br>64:3,9,11,17,22;   | 38:21;70:17<br><b>appointment (61)</b><br>4:13;13:25;14:19,24;<br>15:6,16;16:2,11;<br>36:22;59:23;60:4,16,<br>19;61:1,6,17,22,23,<br>24;62:2,6,12;65:7,24;<br>68:6,16,17,17,19,22,  | assume (11) 8:22;<br>10:2;14:20;17:23;<br>21:15;32:10;36:5;<br>56:19;66:6,17;76:12<br>attached (2) 83:19;<br>84:13<br>attaching (1) 86:23<br>attachment (1) 71:11   |
| acting (1) 43:21<br>action (9) 11:24;22:7;<br>28:10;39:19;58:14;<br>60:8;90:20;91:16;<br>95:23<br>actions (13) 20:3,10;<br>43:25;44:15;45:6;<br>46:9,15,20;47:4,7;<br>90:24;91:1;96:4   | agree (3) 5:12;66:16;<br>85:4<br>agreed (1) 63:3<br>agreement (15)<br>16:22;17:4,10,13,14,<br>22;20:13;30:13;32:9;<br>49:15;52:4,11;59:21;<br>96:2,9<br>ahead (1) 23:22  | 46:10,14,15,16,24;<br>47:3,13;49:21;50:1,6,<br>8,24;56:5,11;58:13,<br>24;59:7,18;60:3,17;<br>61:5;62:5,10;63:5;<br>64:3,9,11,17,22;<br>78:10;81:8;82:16;<br>85:9;88:14;90:15;<br>92:12  | 38:21;70:17<br><b>appointment (61)</b><br>4:13;13:25;14:19,24;<br>15:6,16;16:2,11;<br>36:22;59:23;60:4,16,<br>19;61:1,6,17,22,23,<br>24;62:2,6,12;65:7,24;  | assume (11) 8:22;<br>10:2;14:20;17:23;<br>21:15;32:10;36:5;<br>56:19;66:6,17;76:12<br>attached (2) 83:19;<br>84:13<br>attaching (1) 86:23<br>attachment (1) 71:11<br>attend (1) 24:1  |
| acting (1) 43:21<br>action (9) 11:24;22:7;<br>28:10;39:19;58:14;<br>60:8;90:20;91:16;<br>95:23<br>actions (13) 20:3,10;<br>43:25;44:15;45:6;<br>46:9,15,20;47:4,7;<br>90:24;91:1;96:4<br>activities (2) 53:11,12  | agree (3) 5:12;66:16;<br>85:4<br>agreed (1) 63:3<br>agreement (15)<br>16:22;17:4,10,13,14,<br>22;20:13;30:13;32:9;<br>49:15;52:4,11;59:21;<br>96:2,9   | 46:10,14,15,16,24;<br>47:3,13;49:21;50:1,6,<br>8,24;56:5,11;58:13,<br>24;59:7,18;60:3,17;<br>61:5;62:5,10;63:5;<br>64:3,9,11,17,22;<br>78:10;81:8;82:16;<br>85:9;88:14;90:15;   | 38:21;70:17<br><b>appointment (61)</b><br>4:13;13:25;14:19,24;<br>15:6,16;16:2,11;<br>36:22;59:23;60:4,16,<br>19;61:1,6,17,22,23,<br>24;62:2,6,12;65:7,24;<br>68:6,16,17,17,19,22,<br>25;69:2,13,19,23;   | assume (11) 8:22;<br>10:2;14:20;17:23;<br>21:15;32:10;36:5;<br>56:19;66:6,17;76:12<br>attached (2) 83:19;<br>84:13<br>attaching (1) 86:23<br>attachment (1) 71:11   |
| acting (1) 43:21<br>action (9) 11:24;22:7;<br>28:10;39:19;58:14;<br>60:8;90:20;91:16;<br>95:23<br>actions (13) 20:3,10;<br>43:25;44:15;45:6;<br>46:9,15,20;47:4,7;<br>90:24;91:1;96:4<br>activities (2) 53:11,12<br>activity (1) 86:15                      | agree (3) 5:12;66:16;<br>85:4<br>agreed (1) 63:3<br>agreement (15)<br>16:22;17:4,10,13,14,<br>22;20:13;30:13;32:9;<br>49:15;52:4,11;59:21;<br>96:2,9<br>ahead (1) 23:22<br>Alaei (156) 4:9;8:9,  | 46:10,14,15,16,24;<br>47:3,13;49:21;50:1,6,<br>8,24;56:5,11;58:13,<br>24;59:7,18;60:3,17;<br>61:5;62:5,10;63:5;<br>64:3,9,11,17,22;<br>78:10;81:8;82:16;<br>85:9;88:14;90:15;<br>92:12<br>Albany's (1) 49:9   | 38:21;70:17<br><b>appointment (61)</b><br>4:13;13:25;14:19,24;<br>15:6,16;16:2,11;<br>36:22;59:23;60:4,16,<br>19;61:1,6,17,22,23,<br>24;62:2,6,12;65:7,24;<br>68:6,16,17,17,19,22,<br>25;69:2,13,19,23;<br>70:2,3;74:11;77:10,                                | assume (11) 8:22;<br>10:2;14:20;17:23;<br>21:15;32:10;36:5;<br>56:19;66:6,17;76:12<br>attached (2) 83:19;<br>84:13<br>attaching (1) 86:23<br>attachment (1) 71:11<br>attend (1) 24:1<br>attended (2) 25:13;                                     |
| acting (1) 43:21<br>action (9) 11:24;22:7;<br>28:10;39:19;58:14;<br>60:8;90:20;91:16;<br>95:23<br>actions (13) 20:3,10;<br>43:25;44:15;45:6;<br>46:9,15,20;47:4,7;<br>90:24;91:1;96:4<br>activities (2) 53:11,12<br>activity (1) 86:15<br>actual (3) 83:19; | agree (3) 5:12;66:16;<br>85:4<br>agreed (1) 63:3<br>agreement (15)<br>16:22;17:4,10,13,14,<br>22;20:13;30:13;32:9;<br>49:15;52:4,11;59:21;<br>96:2,9<br>ahead (1) 23:22<br>Alaei (156) 4:9;8:9,<br>15,19;9:3;10:12;<br>11:10;12:1,8,22;13:7,<br>9,15,19,23;14:16,23; | 46:10,14,15,16,24;<br>47:3,13;49:21;50:1,6,<br>8,24;56:5,11;58:13,<br>24;59:7,18;60:3,17;<br>61:5;62:5,10;63:5;<br>64:3,9,11,17,22;<br>78:10;81:8;82:16;<br>85:9;88:14;90:15;<br>92:12<br>Albany's (1) 49:9<br>Alexander (4) 41:22;<br>42:4;44:17;45:6<br>aligned (1) 7:7 | 38:21;70:17  appointment (61)  4:13;13:25;14:19,24; 15:6,16;16:2,11; 36:22;59:23;60:4,16, 19;61:1,6,17,22,23, 24;62:2,6,12;65:7,24; 68:6,16,17,17,19,22, 25;69:2,13,19,23; 70:2,3;74:11;77:10, 13,14;78:5,9,15,19; 79:4,8,14,19;81:3,18, 23;82:17,19,24;83:5; | assume (11) 8:22;<br>10:2;14:20;17:23;<br>21:15;32:10;36:5;<br>56:19;66:6,17;76:12<br>attached (2) 83:19;<br>84:13<br>attaching (1) 86:23<br>attachment (1) 71:11<br>attended (2) 25:13;<br>26:12<br>attendees (1) 27:7<br>attention (2) 54:24; |
| acting (1) 43:21<br>action (9) 11:24;22:7;<br>28:10;39:19;58:14;<br>60:8;90:20;91:16;<br>95:23<br>actions (13) 20:3,10;<br>43:25;44:15;45:6;<br>46:9,15,20;47:4,7;<br>90:24;91:1;96:4<br>activities (2) 53:11,12<br>activity (1) 86:15                      | agree (3) 5:12;66:16;<br>85:4<br>agreed (1) 63:3<br>agreement (15)<br>16:22;17:4,10,13,14,<br>22;20:13;30:13;32:9;<br>49:15;52:4,11;59:21;<br>96:2,9<br>ahead (1) 23:22<br>Alaei (156) 4:9;8:9,<br>15,19;9:3;10:12;<br>11:10;12:1,8,22;13:7,                         | 46:10,14,15,16,24;<br>47:3,13;49:21;50:1,6,<br>8,24;56:5,11;58:13,<br>24;59:7,18;60:3,17;<br>61:5;62:5,10;63:5;<br>64:3,9,11,17,22;<br>78:10;81:8;82:16;<br>85:9;88:14;90:15;<br>92:12<br>Albany's (1) 49:9<br>Alexander (4) 41:22;<br>42:4;44:17;45:6                    | 38:21;70:17  appointment (61)  4:13;13:25;14:19,24; 15:6,16;16:2,11; 36:22;59:23;60:4,16, 19;61:1,6,17,22,23, 24;62:2,6,12;65:7,24; 68:6,16,17,17,19,22, 25;69:2,13,19,23; 70:2,3;74:11;77:10, 13,14;78:5,9,15,19; 79:4,8,14,19;81:3,18,                      | assume (11) 8:22;<br>10:2;14:20;17:23;<br>21:15;32:10;36:5;<br>56:19;66:6,17;76:12<br>attached (2) 83:19;<br>84:13<br>attaching (1) 86:23<br>attachment (1) 71:11<br>attend (1) 24:1<br>attended (2) 25:13;<br>26:12<br>attendees (1) 27:7      |

47:7;52:19;56:22;

10;12:13;15:19;

appointments (4)

25;20:1,2;21:13;

attorney (1) 4:8

**attorneys (1)** 46:2 **attribution (1)** 88:10 August (26) 12:3; 14:7,15,20,24;15:6, 17;51:10;58:22; 59:19,23;60:4,16; 61:1,7;62:12,13,16; 68:12;82:14,17,20, 20;83:5,6;91:21 author (1) 24:14 authority (3) 13:12; 51:22;52:1 available (1) 12:17 averred (1) 43:16 awaiting (1) 55:24 awards (1) 80:12 aware (54) 12:25; 19:18,24,24;22:6; 23:1;24:5;26:14; 27:6;28:2,5;29:21; 30:13;35:20;38:24; 41:24;42:17;43:8,20; 44:2,3;45:10,15;52:4, 15;53:20;54:16,23; 55:25;63:4;64:9,16; 67:8,16;71:21;72:4,6, 9,13;87:6,20,22;88:4, 24;89:5,16;90:25; 92:16;93:11;94:8,10, 18;95:8,22

#### В

**B-1 (2)** 65:19,20 **B-3 (3)** 66:20,20; 67:19 **B-4 (2)** 70:25,25 **B-6 (2)** 83:12;84:14 **B-8 (8)** 14:11,14; 59:17,18;62:14; 82:13,14:91:23 back (18) 16:17; 17:20;36:15,23,25; 48:21;54:3;56:25; 63:3,7;64:8,11;70:24; 82:12;83:10;89:15, 23;91:23 backtrack (1) 62:18 balance (3) 82:18; 83:4;90:8 banned (1) 26:22 **bargaining (1)** 17:4 barred (1) 39:13 based (9) 39:5;44:21; 45:21;49:5;53:10; 68:4;70:16;76:20; 89:18 bases (1) 54:4 **basically (2)** 10:5; 29:18 basis (12) 9:2;20:12; 28:7;50:17;67:7,11; 71:15;74:12;76:15;

88:6;93:16;95:13 **bathroom (1)** 5:17 beforehand (1) 32:15 began (2) 24:18; 64:22 begin (1) 90:10 **beginning (1)** 46:16 **behalf (3)** 46:8;49:24; 57:9 behavior (3) 45:7; 68:8;70:19 behind (1) 41:6 belief (1) 91:25 besides (1) 16:3 **best (4)** 5:13,24; 15:23;40:16 beyond (1) 65:25 bias (2) 42:15;44:3 **Bill (4)** 66:23;67:2; 71:9;83:14 bizarre (1) 25:17 blank (3) 49:16;50:11, board (10) 15:23; 24:16;36:12,21;37:6, 13,19;41:4,4;61:12 Boniadi (1) 38:9 B-O-N-I-A-D-I (1) 38:10 book (2) 74:23,23 **bordered (1)** 43:3 **both (5)** 5:10,12; 35:14;47:14;71:10 **bothering (1)** 26:19 **bottom (1)** 69:22 bought (10) 91:20,21; 92:25;93:15,22;94:2, 15,20;95:2,11 box (1) 68:15 break (5) 5:16,20; 47:25;48:3;95:16 **Brian (8)** 19:4;28:23; 62:23;63:2,10,17; 77:2;82:1 bring (1) 85:6 brought (3) 11:15; 54:24;67:14 **BRUCE (5)** 4:1;6:3; 40:18;96:15;101:25 **budget (1)** 7:17 bureaucratic (1) 16:1 business (5) 21:17; 23:21;49:24;52:14; 53:24 buy (5) 87:5;90:1,2,7, **buying (2)** 90:21; 92:18

bylaws (1) 15:24

C

**C-3 (7)** 57:7,8;58:4,

13;88:19,20;89:7 called (1) 4:2 came (1) 9:6 campus' (1) 7:7 can (73) 5:9,16;6:1,7, 16;7:3,25;16:17;17:3, 8;18:24;20:16;22:17, 22;23:9;24:8;25:7,12; 27:20;28:19;29:19; 33:13,23;34:20;38:7; 39:24;40:3,24;42:3, 11,22;45:24;47:22; 48:2;49:1;52:22;54:2, 2,3;55:8;57:6;58:18; 59:17;62:19,23; 63:13;66:19;67:7,22, 23;70:24;71:14; 73:18;74:2;75:5; 77:22,24;78:3;80:10; 81:14;82:6;83:17; 85:11,20;86:16,17; 89:21;90:10;91:2,22; 92:22;93:6,19 capable (1) 62:4 capacity (1) 39:1 card (3) 19:6,8;20:2 Carleo-Evangelist (1) 36:7 case (2) 38:5;44:19 cases (1) 95:13 **CASTIGLIONE (12)** 4:6,7;46:5;48:2,6,9, 13,16;95:15,21; 96:11.17 cause (6) 59:14;60:1, 21;92:19;93:3,8 caused (1) 58:13 **CC (1)** 74:6 cease (1) 40:10 center (5) 21:17; 51:13;61:10,11,13 certain (10) 17:2; 22:9;28:16;53:3; 68:22;69:2;76:14; 77:14;82:25;88:11 **certainly (3)** 25:21; 26:10;27:4 certify (2) 101:19,21 cetera (1) 87:3 **chain (2)** 20:19;62:22 **Chair (2)** 68:5;78:19 **change (5)** 21:11,13; 22:3;67:20;68:12 changed (2) 43:1,4 changes (2) 101:19, 21 **changing (2)** 22:14; 43:9 Chantelle (10) 10:17; 40:1,4;41:22;44:17; 56:23;62:22;63:10;

**charged (3)** 9:18; 11:9;17:18 Charles (36) 20:24; 22:20;28:25;31:2; 37:1,17;39:11;51:8, 12,15,18;52:5,18; 53:8;54:25;55:11,14, 22:56:1,14:65:12,22; 66:6,23;67:1,8;71:3, 5,8,22;72:3,10,19; 73:20;74:6,10 Charles' (4) 36:21,24; 37:25;72:1 **chatting (1)** 36:18 Chief (3) 6:22;7:4; 39:1 **chose (1)** 60:7 citizen (3) 26:1;29:20, citizens (1) 27:1 Claimant's (95) 7:24; 8:2,7;9:14,24;14:11, 14;16:18,20,22;17:9, 20;18:13,25;20:17, 18;22:18,19;24:9,10; 25:9;28:20,21;29:16; 30:25;31:1;33:14,23, 24;36:1,25;38:7,8; 39:9,25,25;41:17,17; 44:22;45:25;46:1; 47:23;48:18;50:21; 52:23;53:7;55:6,8,9; 57:7,8;58:4,19,20; 59:17,18;62:13,20,21, 21;63:11,14,15,20; 65:19,19;66:20,20; 67:19,24,25;70:24,25, 25;74:2;75:3;77:23; 81:1;82:13,14;83:10, 12:84:14:86:17,18: 87:16,25;88:19,20; 89:6,15,22,24;91:3,23 Claims (2) 4:11,12 classify (1) 35:12 **clear (6)** 4:16;16:4,5; 46:23;71:16;85:2 Cleary (28) 10:17,22; 40:1,4,20;41:10,22, 25;42:9,15,18,25; 43:4,9,16,17,20,24; 44:3,7,17;45:7,9; 62:22;63:2,10;95:25; 96:6 Cleary's (4) 42:23; 44:8;49:3;56:23 climate (1) 27:4 **Clinical (6)** 68:7,10; 69:10,14;70:3,18 close (2) 15:22;61:15

**co-directors (5)** 33:3,

11;37:5;52:24;53:4 coincidently (1) 9:5 collaboration (1) 79:21 **colleagues (2)** 60:13; 70:8 collective (1) 17:4 College (7) 61:24; 62:7;78:12,21;79:1,8; 92:5 college's (1) 78:24 columns (1) 85:1 combination (1) 80:9comment (1) 38:4 common (1) 18:21 communicate (1) 52:19 communicated (1) 27:11 communicating (5) 35:24;36:9;37:12; 39:13;54:20 communication (3) 53:20;55:12,15 communications (7) 52:18;53:23;54:16; 55:1,5,19;56:6 communities (1) 27:1 community (1) 25:25 compared (1) 94:1 compensation (4) 13:24,25;14:4;16:14 complaint (1) 42:5 complementary (2) 70:4;79:6 **complete (2)** 53:14; 71:19 Compliance (2) 49:18;84:23 complimentary (2) 62:2;69:24 complying (1) 56:12 component (1) 80:11 concern (1) 41:8 concerned (1) 25:11 concerning (38) 4:10, 12,12;8:19;9:3;10:11; 11:19,25;12:8,21; 13:18;19:2;27:7,16; 28:7,14;29:3;37:20; 38:20;39:16;40:21; 41:12,25;46:8;47:4; 50:17,24;57:19; 58:15;71:22;72:21; 75:13;80:24;87:7,13, 24;88:23;95:24 concerns (20) 24:15, 23;26:14;27:6,8,12, 15,24;28:6,17;30:2; closing (2) 11:11;37:13;39:6,17,20; 61:16 42:17;43:8;72:1;88:3, **code (2)** 43:7;101:2

95:25;96:6

**charge (1)** 15:24

conclude (1) 12:12

**concluded (2)** 12:1; 96:20 **conclusion (3)** 12:6, 10,20 conclusions (1) 88:1**conduct (11)** 8:18;9:5; 21:17;23:20;24:6; 26:15;27:16;49:24; 50:9;62:5;96:7 conducted (5) 12:11; 47:18;56:17;94:1; 96:1 conducting (10) 9:19; 12:7,12;27:9,23; 28:14;44:1;52:13; 53:24;58:15 confirm (1) 68:6 **congruence (1)** 32:13 consider (1) 80:25 consideration (1) 76:17 **considered (4)** 76:24; 88:25;89:6,9 **consistent (2)** 56:7; 91:14 consultation (1) 32:11 **consulted (1)** 86:2 contact (6) 21:17; 50:3;52:6,13;54:7,23 contained (2) 81:21; 89:6 content (4) 31:10,14; 75:10,14 continue (4) 62:5; 77:19;79:10;92:2 **continued (1)** 82:9 continues (2) 26:9,18 continuing (3) 65:11; 68:25;91:13 **contract (15)** 11:17; 17:7;32:14;60:5; 66:11,18;71:18; 74:23;75:1;77:10,13; 85:17,23;90:4,8 contracts (1) 88:12 contributing (1) 78:24 convenient (1) 48:8 conversations (4) 6:13;52:17;56:1; 65:10 **convey (1)** 45:19 conveyed (1) 59:25 coordinate (1) 7:6 **coordinated (1)** 49:10 **Coordinator (3)** 41:23; 42:1;44:1 copy (2) 85:3;88:20 **Cornell (1)** 40:13 **CORRECTION (1)** 101:2 **correspond (2)** 43:6, 10 cost (1) 69:23

counsel (14) 4:25;5:3, 16,21;6:8,12;11:22; 15:8;42:6;46:13; 65:4;76:19,20;86:25 Counseling (5) 58:21, 25;86:21,23;91:12 count (1) 80:6 **countries (1)** 27:2 couple (3) 38:24,25; 94:4 course (7) 16:10; 22:7;58:14;78:22; 90:20;91:16;95:23 Court (4) 4:11;41:19; 45:2,7 courtesy (1) 69:12 Court's (1) 43:2 cover (1) 17:11 **create (3)** 5:5;79:24; 84:18 **created (4)** 50:11,11; 66:5;79:24 **credentials (1)** 35:18 credit (1) 80:6 cultural (4) 26:23; 27:8,24;28:8 **current (4)** 6:16,20; 26:24;65:25 **currently (1)** 6:18

#### D

**D-1 (3)** 62:21,21; 63:11 **D-2 (5)** 86:17,18; 89:15,23,24 **Dana (1)** 37:3 date (11) 14:8,20; 23:9;31:19;40:12; 41:2;49:16;60:11; 66:1;69:15,15 dated (51) 8:8;14:15; 19:2;20:19,24;21:2,5; 22:21;24:12,25; 28:22,25;29:1,4,9,15; 31:2,24;34:1;37:1; 38:10;39:11;40:2; 41:20;46:3,4,4,6; 53:8;55:11,14,17; 57:10;58:22;59:19; 62:23;63:16;65:20; 66:22,25;68:1,2;71:2, 5;74:7;75:4,25;83:13; 86:19;88:21;91:5 day (7) 23:12,14,16; 31:24;59:25;60:19; 61:3 days (2) 74:18;76:3 dealing (1) 27:25 Dean (10) 33:15,17; 51:13;65:22;68:5; 74:10;78:21;85:13, 13.14

**December (2)** 68:2; 70:15 decided (13) 18:17; 23:8;33:1;61:13,15; 62:10;64:10;71:17; 73:24;92:1,19;93:16; 94:21 decision (19) 11:10, 22;13:6;22:7;25:22; 33:1,2;40:15,16; 60:25;74:17,17;76:2, 22,23;82:7,22;83:3; 94:3 decisions (3) 11:18; 20:9;74:13 declined (1) 71:13 defend (1) 26:6 defer (1) 70:8 definition (2) 43:6; 60:14 **definitive (1)** 69:3 delivery (1) 96:17 denying (1) 43:2 Department (20) 41:20;45:2;68:5,7; 69:25;70:6,18;78:11, 20;79:15;80:1,13,17, 18,19,20,22;85:18,24; 92:4 depending (2) 41:2; 92:23 75:19

**Depends (2)** 23:9; deposed (1) 7:21 **deposition (5)** 5:5;6:5, 14;63:18;101:1 designee (1) 76:12 despite (1) 83:22 **details (3)** 24:7;39:23;

determination (29) 13:8,10,14,18,22; 15:5,14,22;19:15; 22:12,14;29:22;33:5, 21;34:12,23;59:6,13; 60:18;63:5;64:2,16; 75:16;76:6;87:23; 89:1;90:16;93:7;95:4

determinative (1) 13:12 **determine (3)** 46:24;

47:6;84:25 **determined (8)** 14:6; 15:3;16:19;58:25; 88:5;93:3;95:2,10 develop (1) 79:18 Dias (2) 29:1,3

difference (1) 60:9 differences (1) 28:8 different (2) 42:24; 58:14

differently (1) 67:7 differing (1) 91:19

**Dina (1)** 35:10 **directed (3)** 5:2; 30:18:50:10 directing (1) 73:19 direction (1) 19:10 directive (1) 19:17 director (14) 16:3; 21:18;32:5;34:14,24; 35:1;52:3,25;53:2; 61:18,19;69:24;70:5; 79:11 directors (8) 33:22; 34:7,19;35:2,4,5; 36:23;38:21 directorship (1) 62:1 disadvantage (2) 26:25;42:9 discharge (1) 17:24 **disciplinary (21)** 8:18; 9:2;11:14;16:19; 17:19;18:1,9;39:3; 47:14;59:14;92:14, 17;93:1,12,14,25; 94:7,16,19;95:1,9 discipline (13) 11:12; 12:21;59:15;60:1,21; 92:20;93:4,16;94:3, 22;95:2,4,10 discovered (1) 86:15 **discovery (1)** 43:3 discretion (1) 14:1 discuss (3) 25:14; 29:12:51:2 discussed (4) 25:24; 53:9;82:3;83:1 **discussing (5)** 5:6; 37:11;62:25;64:21; 91:11 discussion (4) 13:23; 32:6,15:63:9 **discussions (8)** 13:17; 52:17;56:2;57:22; 58:1;75:13;92:8,9 **distance (1)** 53:18 **distinction (2)** 60:9,12 **Division (2)** 41:20; 45:2 document (11) 8:6; 14:15;29:16;44:24; 45:1;48:24;64:13;

65:3;76:13;83:15; 85:3 documents (4) 4:21; 6:4,10;66:21 done (7) 5:9;17:5; 32:11,11,17;41:14;

down (10) 15:10,22; 20:17;29:7;36:2; 42:22;53:13;75:2; 84:14,15

**Dr (184)** 4:9,12;8:9, 15,19;9:3;10:12;

45:15

11:10,19;12:1,8,22; 13:1,7,9,15,19,23; 14:6,16,19,23;15:5, 16;16:2;17:21;18:14, 17;19:6,7,13,25;20:1, 2;21:13;22:15;23:19; 25:1,5,19;26:5;27:17; 28:7,15;29:3,8,10,19, 23;30:3,7,9,11,19; 32:8,17;34:9,10,13, 23;35:19;36:21,24; 37:1,17,25;38:1; 39:10,12,15,20; 40:22;44:4;45:11,15, 20;46:3,8,13;47:5,16; 49:11,13,21,24;50:4, 18,25;51:21;54:5,7; 56:18,24;57:9,15,18, 19;58:16,22;59:1,6, 15,19;60:1,4,18,20; 61:1,6,17,22;62:4,11; 63:1,6;64:4,10,19,21, 23;65:7,9,15,22,24; 66:12;67:10;68:3; 69:19;70:3,17;71:22, 23;72:1,3,10,14,15, 20,22,25;73:4,9,20, 21,25;74:20;75:6; 76:7,7,11,11;77:5; 78:6,15;79:13,19,22, 24,25;81:7;82:9,15; 83:4,10,13;84:1,8,22; 85:6;86:3,24;87:8,13; 88:15;89:17;90:16, 21;91:20;92:1,10; 95:24;96:2,8 drafted (1) 31:18 drove (1) 76:23 due (1) 36:11 duly (1) 4:3 duration (3) 68:11,22; 69:16

during (22) 17:16; 20:14;21:15,21; 23:21;32:4;34:15,25; 42:9;43:21;45:12; 50:5;53:21;54:15,18, 20;57:22;63:17;90:9;

93:12;94:9;95:23 duties (1) 10:20 **dynamic (4)** 25:17,25; 26:24,24

dynamics (2) 27:8,24

### $\mathbf{E}$

**E-1 (2)** 67:24,25 **E-2 (4)** 77:23;81:1; 85:6,7 earlier (2) 36:7;84:7 earned (1) 84:10 ears (1) 25:16 **Eastern (3)** 94:20,24; **education (2)** 24:19; 51:14 effect (3) 14:9,9;92:8 **effected (4)** 14:18,24; 23:13;30:9 **effective (8)** 15:6,17; 59:23;60:4,11,16; 62:12;82:17 **effectively (3)** 33:20; 35:1;68:24 **efforts (9)** 12:25;13:1; 51:2;64:17;65:6,8,15; 73:1;88:10 either (6) 30:7;37:22; 56:14;76:12;80:5; 82:4 **elect (2)** 60:18;82:16 **elected (3)** 59:22; 60:3;61:6 **electing (1)** 60:10 **election (1)** 60:15 **Elizabeth (1)** 28:3 else (1) 27:11 else's (1) 38:15 e-mail (98) 19:1,5,13, 14;20:3,13,18,23; 21:1,1,4,8;22:19,23, 24;23:6,24;24:11,14, 16,24;25:2,4,4,7,10; 26:18,20;28:22,24; 29:1,2,15;31:1,6,8,10, 14,17;32:7,16,23; 33:4,25;34:2,3,5,20; 36:3,4,7,22,24;37:1,2, 17;38:9,11,14,16; 39:10;40:1,3,17;46:5; 53:8,25;55:2,5,13,16; 56:8;66:25;67:25; 71:1,4,7,8,21,23;72:2, 5,16;73:1,4,10,23; 74:4,8,15;75:25; 86:19,22;91:4,6,7,10; 96:18 e-mails (12) 20:19; 24:10;28:22;29:8; 38:19,23;54:24; 55:10;62:22,24,25; 66:22 emerge (1) 25:19 **emotional (1)** 26:20 **employed (2)** 6:18; 30:20 **employee (9)** 30:4; 41:2;60:10;73:15; 90:11,12;92:18,20; 93:17 **employees (3)** 85:24; 92:24;93:4 **employee's (2)** 21:20; employment (19) 6:20;7:18;11:19;

STATE UNIVERSITY OF NEW YORK, et al. 13:1;14:7;30:15; 60:11;63:6;64:4,11, 23;65:9,16;66:14; 77:6;81:2,21;82:9; 89:17 encompassed (1) 34:11 end (5) 26:9;36:2; 53:11;64:13;69:15 ends (1) 42:22 engaged (2) 30:21; 90:5 engagements (2) 30:21,22 **engaging (1)** 50:9 **enrollment (1)** 80:5 **enrollments (2)** 80:5,6 **ensuring (2)** 32:12; 37:14 entered (1) 49:15 **Enterprise (1)** 44:14 **entirety (1)** 8:4 entitled (2) 13:24; 66:13 **environment (1)** 50:12 equipped (1) 35:21 **Equity (1)** 49:18 **ERRATA (1)** 101:1 **especially (1)** 27:1 essentially (1) 7:19 **establish (1)** 32:3 et (1) 87:3 ethnicity (1) 95:14 **evaluations (3)** 64:14, 18;72:14 even (6) 22:10;92:18; 93:2,15;94:21;95:2 event (2) 42:25;95:6 events (2) 29:24; 30:16 evergreen (4) 77:9,10, 12,13 evolved (1) 80:4exact (1) 40:12 exactly (2) 84:4,12 **EXAMINATION (1)** 4:5 **examined (2)** 4:3; 95:13 **example (1)** 68:23 Excel (6) 83:19;84:13, 16,18,19,23 **excuse (5)** 7:24; 45:25;59:5;65:7; 81:22 execute (1) 60:8 execution (1) 10:19 **exercise (1)** 14:3 **exercising (3)** 44:3; 59:22;82:16 Exhibit (98) 7:25;8:2,

7;9:14,24;14:11,14;

16:18,20,22;17:9,20;

18:13,25;20:17,18; 22:18,19;24:9,10; 25:9;28:20,21;29:17; 30:25;31:1;33:14,23, 24;36:1,24;37:1;38:7, 8;39:10,25;40:1; 41:17,18;45:1;46:1,1; 47:23;48:18;50:21; 52:23;53:7;55:6,8,9; 57:7,8;58:4,13,19,20; 59:17,18;62:14,20,21, 21;63:11,14,15,20; 65:19,20;66:20,20; 67:19,24,25;70:24,25, 25;74:2;75:3;77:23; 81:1;82:13,14;83:10, 12;84:14;85:6;86:17, 18;87:16,25;88:19, 20;89:6,15,22,24; 91:3,23 existing (1) 32:4 **expect (1)** 17:23 **expectation (2)** 32:14; 96:3 expenditures (3) 80:7, 10;84:10 **experience (1)** 29:12 **explain (11)** 6:16,20; 7:3;23:18;40:25; 42:3;49:1;78:3;80:8, 10;85:11 **explains (1)** 44:19 **explanation (1)** 38:18 **express (1)** 45:9 **expresses (1)** 24:14 **extended (1)** 65:25 **extension (5)** 68:15; 81:4,13,16,24 extent (2) 13:21,21 **external (1)** 84:3

## $\mathbf{F}$

eyes (1) 25:16

**F-1 (1)** 53:7

**F-2 (1)** 55:6 facilitated (2) 54:20,22 facilitating (2) 50:3; 54:7 facilities (3) 18:16,19; 20:1 fact (1) 88:9 facts (5) 42:15,19; 43:5,9,10 faculty (5) 61:24;62:6; 68:23;83:18,22 fair (12) 12:3;26:1; 39:7;41:15;50:20; 69:18;78:17;79:2,13; 84:23;90:17;92:9 fall (1) 78:22 familiar (6) 8:6;35:3; 48:23;66:3;77:25;

84:16 far (7) 19:18;30:12; 34:20;35:19;38:24; 45:10;56:16 favor (1) 81:18 February (47) 8:8,20, 24;9:14,23;10:12; 11:12,19;17:25;18:7, 10,12;19:2;20:20,24; 21:2,6;22:21,25; 23:14;24:12;25:1; 26:16;28:23,25;29:2, 4,9,15;31:2,20,24; 34:1;36:10;37:2; 38:10;39:11;46:3,4,4, 10,17;47:4,17;49:11; 51:9;53:11 feel (2) 26:23;67:5 feeling (2) 26:11;67:9 feelings (1) 71:22 felt (2) 41:12;42:8 female (3) 35:10,10; 94:25

females (3) 35:14,15; 94:20 few (2) 38:25;91:15 fewer (1) 94:14 file (3) 74:17,18;76:2 final (15) 11:22;22:12, 14;26:18;33:2,5,16, 21:51:7:76:13.23; 82:7,10,11;83:3 financial (3) 12:18; 47:20;61:10 find (4) 4:19;59:10;

73:6;83:17 finding (1) 59:5 findings (7) 45:1;51:2; 59:25;87:6,10;88:15; fine (5) 12:5;13:11; 32:21;48:7,14 finer (1) 60:13 finish (1) 95:16

firm (1) 4:8

first (20) 4:2;5:8,19; 8:4,17;20:19;24:11; 26:3;28:22;29:16; 40:4,17;46:3;49:7; 53:9;60:9;62:10; 63:19;66:21;78:8 five (3) 6:25;74:18; 76:3 five-minute (1) 48:3 focus (1) 84:9 focused (1) 49:20 focusing (1) 60:15 foggy (1) 33:16

folks (3) 12:16;36:13; 38:2 followed (1) 46:15 following (6) 49:9,20; 53:16;66:1,7,16

follows (2) 4:4:96:16 forget (1) 42:5 form (9) 27:18;67:20; 68:13,14;69:20;74:9; 92:21;93:5,18 formally (1) 33:10 former (1) 41:22 forth (2) 36:15;76:18 forward (1) 17:14 **forwarding (1)** 24:13 found (5) 38:17;43:7; 45:7;72:13;86:9 fourth (1) 64:6 Friday (5) 22:22; 23:17;25:12;26:12,21 full (2) 5:8;94:13 functions (1) 7:15 funding (10) 83:16,17, 20;84:3,4,10;88:23; 89:1,8,12 funds (1) 88:4 further (3) 25:20;75:2; 86:10

#### G

**garnering (1)** 84:3

general (6) 12:2;72:4,

gave (1) 76:2

7;80:3,4;85:4 generally (3) 24:3; 26:13;84:17 generated (1) 80:12 **GIHHR (45)** 16:3,5; 21:9,14;22:15;23:19; 24:16,17;25:15;31:4; 33:17;34:9,14;35:19; 36:9;37:2,6,13,18,19; 38:12;40:22;49:25; 50:4,10;51:15;52:6, 14,14,20,24;53:24,24; 54:8;56:2;62:1; 69:24;70:5;79:1,11; 88:11,24;89:8;92:1, 10

**GIHHR's (1)** 55:20 GIHHR-wide (1) 22:22

Gina (2) 35:10;37:4 gist (1) 42:10 given (5) 12:16; 15:18;16:15;55:4; 81:18 gives (1) 93:8

giving (3) 81:20; 82:23;87:2 Global (2) 16:6;51:14 goal (2) 64:7,10 goals (1) 84:7 goes (1) 39:15 **Good (3)** 4:7;12:23;

41:5 governs (1) 66:18 **Graduate (3)** 85:13, 14.15 grant (3) 24:19;89:2,8 grants (9) 39:14,18, 21;80:7,10;88:10,11, 12;89:13 Grey (3) 28:3,5,11 grounds (4) 8:17;9:1; 30:12;60:25 group (1) 78:24 growth (1) 80:5 **quarantee (1)** 80:2 guess (3) 75:20; 82:10;95:6

#### Η

Haley (1) 29:12 handled (2) 24:22; 67:7 handwritten (4) 63:16, 18;64:6,7 happen (1) 20:8 happy (1) 24:21 harassment (8) 9:4, 11;10:3;12:16;15:19; 49:4;50:9;87:3 hard (1) 84:25 harm (1) 30:5 Harvey (30) 20:24; 22:20;28:25;31:2; 39:11;51:8,12,15,18; 52:5,18;53:8;54:25; 55:11,14,22;56:1,14; 65:12,22;66:6,23; 67:1,8;71:3,5,8; 72:19;74:6,10 Harvey's (1) 24:16 hate (1) 89:23 head (1) 80:5 heading (1) 83:16 **Health (5)** 16:6,12; 68:8;70:19;78:24 heard (2) 24:15;25:15 hearing (1) 42:25 **Hedberg (8)** 66:23; 67:2;71:2,9;74:5,8; 75:4;83:14 held (4) 6:24;23:4,8; 57:18 help (2) 7:6;79:18 helped (1) 79:24 helps (1) 33:22 herself (1) 44:1 higher (4) 24:19; 35:18;83:1,23 high-powered (1) 36:13 high-rank (1) 25:18 himself (3) 26:6;30:4, hire (1) 80:2 hold (2) 67:22;83:11

holding (1) 23:3 hope (1) 27:5 hoping (1) 27:2 hours (1) 80:6 HR (1) 55:23 **HRM-3 (6)** 67:20; 68:13,14;69:20; 71:10,18 **Human (35)** 9:15,17; 10:2,6,18;11:2,15; 16:6;17:1;19:11,18; 29:21;32:11;47:19; 49:19;51:3;56:15,17, 21;57:15,15;58:23; 59:4,13,24;60:13,22, 24;61:2,21;70:8; 76:25;86:11;95:25; 96:6

### Ι

idea (3) 8:21;93:24; 94:6 identified (43) 7:24; 8:2,7,19;14:14;16:18, 20;17:9;18:25;19:1; 20:16,21;22:18;24:9; 25:9;28:20;30:4,25; 33:13;39:25;41:17, 18,21;45:25;47:23; 48:17,19;52:23; 58:21;62:13,20; 63:14;65:19;66:19; 67:24;77:23;83:21; 84:7;86:16,18;88:19; 91:3;92:6 identifies (6) 53:19; 55:20;79:2,10;85:7; 86:20 identify (7) 14:11; 24:25;30:14,19; 35:15;39:15;53:7 identifying (1) 58:3 **II (3)** 50:2;54:6;87:24 **III (1)** 50:7 **immediately (1)** 90:10 implications (1) 41:12**implicit (1)** 26:23 **implicitly (1)** 26:8 **implied (1)** 25:22 imply (1) 32:17 important (1) 41:1 impose (6) 12:21; 60:21;92:20;93:3,16; 94:22 **impression (1)** 75:9 improper (1) 43:25 **improperly (1)** 53:23 include (1) 7:1 included (4) 9:25; 67:19;68:9;83:19 includes (10) 38:9;

66:21;67:25;68:2;

71:1,3,4;74:4,5;85:23 **including (1)** 37:6 inclusive (1) 27:3 **incoherent' (1)** 43:3 **incorrect (1)** 70:23 increased (1) 77:17 indicate (1) 78:14 indicated (1) 81:17 indication (1) 80:21 individual (5) 9:11,12; 29:8;42:14;90:8 individuals (14) 22:20;28:24;29:1,2;

31:3,18;33:2;35:3,17; 36:14;37:18;39:16; 55:1;86:20 **inform (2)** 55:18;

76:22 information (14) 4:20, 21;22:4;36:18;38:1,6; 68:13;71:14,16; 73:17;76:25;84:19; 88:23;91:18

informational (1) 42:7 initial (4) 24:18;78:5; 79:19;80:5 initiated (1) 49:16

**initiation (1)** 77:18 initiatives (1) 7:11injure (1) 36:16 input (6) 13:18,22; 15:2,13;31:10,13

inquire (1) 73:6 inquired (1) 55:23 inquiry (1) 49:17 **Insert (2)** 49:23;50:3 instance (1) 94:24

**instead (1)** 50:15 institute (17) 9:8;

> 15:11,19,22,23;16:6; 21:18,19;32:4;33:19; 52:3;61:14,15,16,18, 19.20

institution (1) 27:3**instructions (1)** 91:19 integrity (2) 63:21,25 **intended (2)** 91:1,16 **interacting (1)** 49:14 interactions (2) 17:1;

**interim (8)** 33:3,11,21; 34:19;35:4;36:23; 37:5;38:20

intern (1) 50:10 international (3)

36:12

35:11,12;51:14 internationally-prominent (1) 36:14

interns (8) 52:20; 54:23;55:13,15,21; 56:3,6;61:9

**interpret (1)** 82:5 interpretation (4)

70:16,21;81:6;82:2 interrogation (7) 56:18;57:14,17,22; 58:1,15;63:22 intersection (1) 79:1 **interviewed (3)** 12:17; 28:3;56:23

**interviews (1)** 47:18 into (4) 49:4,15; 72:24:95:9

investigate (2) 10:3; 57:2

investigated (5)

12:14;43:24;45:22; 50:24;87:12

investigating (2) 43:11;54:4

investigation (66) 8:18;9:2,19,22,24; 10:6,10,13,16,21,25; 11:1,4,7,25;12:7,9,11, 12;16:20;17:19;

> 27:16,23,25;28:8,14; 40:21;41:6,14;42:9; 43:22;44:4,20;45:12, 17;46:9,17;47:3,5,11,

14,15,15;49:4,17,19; 50:17;51:2,9;53:21; 54:1,16,18;56:20; 57:18:58:16:86:8;

87:7;88:1;89:10; 93:14;94:16;95:1,24, 25;96:7

investigations (14)

10:11;18:1,4,9;39:3; 59:1;92:14,17;93:2, 12,25;94:8,19;95:9

Investigator (2) 42:20; 44:10

invitation (2) 22:21; 29:5

involve (1) 13:21 involved (19) 11:18; 13:8,22;15:2,4;18:1, 4;22:11,13;24:18; 39:2;42:5;47:20;65:6, 8,12;92:13;94:8,11

involvement (4) 11:7; 39:5;52:6;94:13 involves (1) 7:16

involving (2) 77:4,5 Iran (2) 29:13;39:17 issue (15) 10:11;

17:19;20:18;28:11; 35:25;42:13;45:22; 59:2;67:13,17;73:15; 77:4,5;80:24;91:10

issued (5) 23:15;61:3, 4;88:14;91:19

issues (21) 9:6;19:25; 25:19;26:15;27:6; 28:6;43:11;44:16; 46:24;50:23;51:4;

58:4;72:1;85:17,23; 86:13;88:15,16;89:1, 2,8 item (1) 42:7 **IX (25)** 9:25;10:4,7; 11:3;18:4;39:2;40:6, 7,10;41:23;42:1,5,19; 43:11,21;44:1,9; 45:16;47:15,19;51:3; 56:21,22;57:3;86:8

### J

James (11) 24:12; 25:5;29:1,3;33:25; 49:11;52:5;65:21; 68:3;71:4;74:6 January (1) 90:14 **job (8)** 7:3;40:13; 51:24;80:14,16; 81:22;85:16,22 Joe (1) 4:7 John (2) 6:8;29:9 joint (3) 10:5;49:17; 56:20 Jordan (1) 36:7 Joseph (1) 46:5 Judicial (1) 41:20 July (5) 86:19;90:14, 15,19;91:5 June (6) 29:6;55:11, 14,17,23,25 justify (1) 11:11

### K

**KA (3)** 19:2;21:11; 86:21 Kamiar (35) 4:9;8:15; 49:21:50:18.25: 51:16,21;52:3,24,25; 53:2,22,22;54:5,19, 22;56:18,24;57:10, 19;58:22;59:1;63:1; 65:22,24;68:3;71:10, 12,15;72:10;74:5; 75:6,18,18;76:7 Kamiar's (1) 71:17 **Karl (1)** 21:5

Kaveh (1) 38:16 **K-A-V-E-H (1)** 38:16 Kevin (3) 85:8,12; 86:3 keys (4) 19:7,8;20:2,

**Khoshnood (1)** 38:16

K-H-O-S-H-N-O-O-D (1) 38:17 kind (4) 7:14;15:25;

16:13;84:11 knew (3) 20:5;22:9,10 knowledge (15) 4:19; 11:17;19:12;27:13;

STATE UNIVERSITY OF NEW YORK, et al.

30:23:38:5:40:16: 45:8,13,18,23;56:9; 57:4;73:8;86:15 Korean (1) 29:11 Kristin (2) 20:20;21:2

#### $\mathbf{L}$

**L-2 (4)** 47:23;48:18; 54:3:87:17 **L-4 (3)** 63:14,15,20 **Laboratory (1)** 29:6 laid (2) 91:12,17 language (5) 66:17; 80:25;81:6,19;82:2 last (2) 34:20;91:12 lasts (1) 68:21 later (2) 13:11;85:14 law (1) 4:8 lawsuit (1) 4:9 leadership (3) 25:13, 14;32:3 leaned (1) 43:18 learn (1) 8:17 **learning (1)** 50:13 **least (3)** 32:14;81:2, 20 leave (2) 40:14,15 **Lecturer (3)** 78:11; 79:15;92:4 led (2) 9:7;10:17 **left (3)** 38:5;40:12; 54:22 legal (1) 6:12 **letter (63)** 8:3,8,20,24; 9:14,15,23;10:11; 11:13;13:3,25;14:15, 18,22;18:13;19:3; 23:15;31:23,25;57:8, 12,14;58:3,5,8,12,15, 20;59:18,19;62:13; 65:20;67:2;68:2; 70:15;71:11,13;75:3, 7,10,14,18,22,23; 76:8;77:24;78:1,4,5, 8;79:17;81:22;82:11, 15;83:13;85:5,7,7; 86:4;88:21,22;89:7; 92:7 letters (3) 46:2,7,14 level (1) 83:1 liaison (1) 7:9 Liesl (1) 68:1 lieu (1) 80:1 **light (1)** 9:7 likely (2) 33:5;37:12 limited (1) 92:9 line (3) 55:12;64:6; 83:22 lines (1) 83:18 listed (1) 53:10 listing (1) 65:4

little (2) 33:16;37:25 **LLC (2)** 46:2;57:9 lock (1) 17:6 long (3) 6:24;26:20; 53:18 longer (1) 90:11 look (15) 8:3,10,11; 21:9;22:23;31:5; 34:2;40:3;48:18; 62:24;66:24;77:24; 84:16;86:17;91:6 looked (1) 72:24 looking (1) 67:2 looks (4) 31:4;38:12; 78:5;84:2 Los (1) 29:5 lot (2) 37:23;38:23 M

maintain (1) 63:21 maintained (1) 21:21 maintaining (3) 5:7; 39:6;63:24 major (1) 7:10 makes (3) 11:22;53:3; 84:4 making (8) 7:11; 11:18;15:4;17:18; 29:22;64:10;67:6,9 males (1) 95:10 malfeasance (1) 12:18 Management (19) 9:16,18;10:7;11:2; 19:11,19;29:22; 44:14;49:19;56:17; 59:5;61:11;68:8; 70:19;77:1;86:12,13; 88:4.13 managing (1) 7:17manner (3) 25:22; 26:22;43:19 many (2) 93:24;94:6 March (9) 13:4;40:2, 21;46:6;53:9,11,21; 62:23;63:7 marked (5) 39:9;57:7; 58:18;63:15;89:22 Marshall (2) 20:20; 21:2 M-A-R-S-H-A-L-L (1) 20:21 materials (2) 8:11,12 matrix (1) 79:18 matter (19) 13:12; 25:11;30:12;37:20; 38:3;40:18;41:1,6,10, 21;44:5,16,17;45:6; 55:24;57:3;90:22; 94:12;96:19 matters (3) 6:9;18:22;

19:22

may (30) 9:25;25:19, 19;28:8;32:8;34:6; 36:11;53:12;57:10, 16,18,23;58:1;71:2,5, 7,23;72:15;73:1,4,9, 24;74:7;75:4,23,25; 83:13;86:7;88:22; 96:5 maybe (3) 4:19; 92:23;94:4 mean (6) 11:1;13:10; 16:13;32:18;70:11; 84:5 means (5) 68:21; 70:12;83:23;90:4,7 meant (1) 61:2 measure (1) 80:15 meeting (25) 22:22; 23:1,4,7,16,24;24:1,3, 6;25:13,16,21;26:6, 12,16,21,22;27:9; 34:6;63:19;80:15,21; 86:3;91:12,12 meetings (2) 43:16,17 member (1) 68:23 **Members (7)** 24:16; 25:25;37:6,13,19,22; memo (4) 8:22;53:25; 65:20;86:21 memorandum (5) 41:18,24;42:12; 58:21:69:8 mentoring (1) 78:23 merit (1) 12:13 metrics (3) 80:21; 84:6,9 middle (1) 63:1 might (8) 4:21;16:11; 32:16:33:15:36:6; 47:19;53:4;84:11 mind (1) 94:5 minority (1) 26:25 minute (2) 66:24; 95:16 minutes (3) 48:5,6; 91:15 mischarging (2) 88:9, **Misconduct (3)** 48:19; 50:22;87:1 miss (1) 95:18

mistake (3) 46:25; 72:21 47:1,6 **monitoring (1)** 78:23 79:6 months (2) 69:17,20 more (2) 9:11;77:16 morning (1) 4:7 most (1) 53:17 motion (1) 43:2 **MOU (1)** 79:20 notice (3) 66:1,7,16 much (2) 15:21;61:8 notification (2) 74:24; muddles (1) 95:5 75:20

must (1) 75:24

N name (6) 4:7;6:1; 16:13;34:4;37:14; 50:15 named (2) 28:3;29:8 **National (1)** 29:5 nationally (1) 36:14 nature (1) 50:10 Naz (1) 38:9 necessarily (3) 16:14; 72:4;88:8 necessary (1) 79:25 need (10) 5:16;21:10, 23;34:6;48:1;53:15, 16,17;55:18;61:19 needed (1) 21:12 **New (12)** 4:10,10,16; 16:23;17:15;34:6; 35:2,5;41:19,23; 69:15;80:12 **next (4)** 23:16;74:15; 76:1;79:5 Nikki (1) 29:12 nine (2) 69:17,20 **NOD (2)** 63:22;69:4 non-Middle (3) 94:20, 24:95:9 non-paid (1) 62:2 non-renew (17) 13:1, 6,8,14;60:6;64:23; 65:7,9,15;67:10; 72:15,20;73:20;87:4; 89:25;90:5,16 non-renewal (24) 23:12;30:8;31:22; 63:21,25;64:14,18, 21;66:2,8,17;67:3; 72:25;73:16;74:10, 13;75:11,17;76:6,11, 11,16;77:4;92:18 non-renewals (2) 66:18;73:14 non-renewed (13) 65:24;66:12;73:25; 81:8;82:9;92:25; 93:15,22;94:2,15,21; 95:1,12 non-renewing (4) 60:10;65:11;71:22; non-stipendiary (1) Nope (1) 58:2 North (1) 29:10 note (1) 63:19 notes (6) 18:13;63:16, 18;64:6,7;95:17

notified (2) 91:21; 94:11 **November (3)** 41:21; 44:18:68:11 number (9) 22:20; 28:25;31:3;48:20; 49:22;50:2;68:15; 77:14;83:21 numbers (2) 84:4,12 Numeral (6) 49:22; 50:2,2,7;54:6;87:12 Numerals (2) 50:21; 87:24 nutshell (1) 61:8

### 0

oath (1) 5:5 **Object (4)** 27:18; 92:21;93:5,18 objecting (1) 46:14 objection (1) 4:24 objections (1) 46:9 **obligation (1)** 18:14 **obviously (3)** 26:4,5; 35:14 occurred (1) 57:22 off (2) 5:12;15:21 offer (1) 78:9 offhand (1) 56:25 office (27) 7:15;9:15, 17,25;10:4,6,24;11:3, 6;19:10,11,17,18; 23:3;29:21;44:8,9,11; 49:18,18;56:17,23; 57:16;58:23;59:4; 76:25;86:11 officer (3) 40:6,7,10 offices (1) 9:17 official (1) 10:20 **OHRM (1)** 9:18 okayed (1) 91:11 one (40) 9:11,12; 13:24;20:19;26:22; 33:19;43:7;44:11; 54:3,4;55:16;61:12; 65:4;66:1,7,13,15,16, 21;67:21;68:15;77:5, 15;78:18,22;80:3,24; 81:7,24;82:4,5,8,23, 23;83:5;86:4;94:4,5, 23,24 ones (1) 60:24 one's (1) 10:20 one-year (1) 14:3 only (9) 16:13;17:11; 29:23;36:4;38:24; 81:7,7;94:23;96:18 opening (1) 14:12 operation (1) 16:1 opinion (4) 45:10; 62:5;82:2,4 opinions (3) 45:20;

lists (1) 75:12

BRUCE SZELEST April 12, 2021

| 70.01.77.1                 |
|----------------------------|
| 72:21;77:1                 |
| opportunities (1)          |
| 24:20                      |
| opposed (2) 68:23;         |
| 90:12                      |
| options (2) 65:5;76:18     |
| order (6) 41:18,25;        |
| 42:12;43:2;53:14;<br>71:18 |
|                            |
| organized (3) 25:13,       |
| 22;26:22                   |
| origin (2) 35:11,12        |
| others (5) 24:21;34:1;     |
| 40:2;68:1;91:5             |
| otherwise (3) 5:2,12;      |
| 70:15                      |
| out (24) 4:19;32:7;        |
| 61:15,16;73:6;74:25;       |
| 87:5;90:1,2,7,17,21;       |
| 91:12,20,21;92:18,         |
| 25;93:15,22;94:2,15,       |
| 20;95:2,11                 |
| outline (1) 84:19          |
| outlined (1) 11:23         |
| over (5) 11:7;42:18;       |
| 51:3,22;83:23              |
| overseeing (5) 10:21,      |
| 24;11:1;56:12;85:23        |
| overtake (1) 95:23         |
| <b>Overview (2)</b> 49:8;  |
| 85:16                      |
| own (3) 15:21;40:15,       |
| 16                         |
|                            |

#### P

page (9) 17:11;25:9; 26:3,19;42:11,13; 43:13;50:21;83:15 **PAGE/LINE (1)** 101:2 paid (1) 70:20 panel (2) 29:10,19 paper (1) 82:10 paperwork (2) 14:9; 71:19 paragraph (17) 25:8, 10;26:3,10,18;42:13, 13,23;43:13;49:8; 53:9;74:22;78:8,17; 79:5;80:25;83:14 paragraphs (1) 78:18 part (26) 9:24;18:13; 27:15;30:16,20;36:6; 39:11;41:8;42:14,23; 43:13;51:24;53:14; 55:16;59:20;67:1; 68:4;69:8;71:7; 72:25;74:15;84:7; 85:17,22;86:22;88:1 participants (1) 39:17 participate (6) 10:9, 13;13:17;29:19,23; 30:3

participated (1) 10:15 particular (1) 71:25 particulars (2) 38:4; 92:23 parties (1) 33:19 passed (1) 11:4 past (1) 17:1 path (1) 15:10 paths (1) 11:23 pause (1) 93:8 pay (2) 82:18;83:4 paying (1) 82:23 pays (1) 90:8 people (13) 11:2; 12:11;21:16;27:9; 28:14;36:20;37:5; 53:16,19,24;55:20; 85:18;94:1 **Per (6)** 34:20;60:5; 71:19;72:5;90:3,8 percent (1) 53:3 perform (1) 41:6 Performance (2) 64:13.18 performed (1) 17:21 period (1) 60:6 **permission (1)** 24:13 **permitting (1)** 49:23 perpetuity (1) 68:25 person (8) 24:25; 25:18:28:2:30:13; 42:8;53:17;57:2; 93:13 personally (2) 37:21; 94:10 personnel (8) 26:16; 27:15;32:7,16;47:13; 58:13;64:17;72:13 perspective (1) 88:13pertains (1) 66:10 **Petitioner (2)** 43:14,18 **Petitioner's (1)** 43:2 **Phillips (1)** 79:22 **phrase (1)** 94:10 phrased (1) 93:9 phrasing (1) 42:23 **physically (1)** 43:17 place (4) 17:16; 26:24;27:8;35:2 placed (3) 8:15;32:5; 90:24 **Plaintiff's (4)** 7:24; 29:16;45:25;58:12 plan (2) 7:8;87:2 planned (1) 53:12 please (11) 4:23;5:7, 13;21:24;23:10; 37:10;40:24;46:11; 85:20;87:15,18

pleasure (3) 68:5;

**pm (3)** 31:3;71:8;

78:9;96:14

96:20

point (12) 14:8:18:5; 21:13;34:13,18; 44:11;47:8;63:5; 86:8;87:7,11;90:14 pointing (1) 84:2 points (2) 87:12,15 policies (8) 11:11; 45:21;49:21;54:6; 59:7,11;60:3;87:2 policy (14) 45:12; 49:23;50:3,9;60:20; 68:8;70:1,6;78:12,13, 20,24;79:16;92:5 political (1) 27:4 politically-at-risk (1) 24:20 portrays (1) 42:24 posed (1) 5:18 position (8) 6:20,24; 51:22;52:1;81:19; 85:9,12;92:3 positive (1) 53:5 possibility (1) 42:14 possible (10) 4:11; 11:23;41:7,14;49:20; 54:5;81:3,13,16,24 potential (4) 9:5;84:3, 10;86:13 potentially (3) 35:13; 36:16;82:5 practically (1) 67:4 **practice (2)** 38:3; 90:23 precluded (1) 39:22 predates (1) 53:25 preparation (1) 8:12 preparing (1) 74:20 presence (2) 18:15,18 present (1) 43:15 presented (4) 4:24; 5:15,24;43:14 preserving (1) 4:25President (42) 6:22; 7:4,16;11:21;14:2; 15:9;19:24;22:6,10, 13;24:5;30:18;31:13; 32:23;33:7,9,18;39:2; 44:13;45:10,14; 57:25;58:6;65:13,14; 67:16;72:6,9;73:8; 74:16;75:17;76:1,5, 10,24;89:17;90:19, 25;91:11,17;95:22; 96:5 presidents (2) 7:6,10 **President's (9)** 10:24; 11:3,6;19:10,17;23:3; 44:9;76:15,22 prestigious (1) 27:3 pretty (1) 15:21 previously (30) 7:23;

26:14:28:19:30:25; 39:24;41:16;45:24; 47:22;48:17;52:22; 53:6;57:6;58:18; 62:20;63:13;65:18; 67:24;77:22;88:18; 89:21;91:3,24 primary (6) 7:9;9:8, 18;53:10;61:23;79:13 prior (4) 8:21;36:21; 56:19;85:5 **priorities (2)** 7:7.8 priority (3) 40:19,22; 41:11 prison (1) 29:13 **private (3)** 29:20,24; 30:16 **Probably (3)** 8:21; 22:10;87:9 probe (1) 4:19 problem (2) 5:18; 48:13 **procedural (1)** 17:2 proceed (1) 91:18 proceeding (1) 91:11 process (7) 11:15; 25:23;46:15;74:16, 24,24;79:20 profaned (1) 27:2 professional (3) 16:15;35:18;79:7 **Professions (3)** 16:24; 17:5,15 Professor (11) 68:7, 10;69:10,14,25;70:3, 5,18;78:11;79:14; 92:3 programs (4) 39:14, 16,18,21 progression (1) 75:20**prohibited (1)** 19:25 **projected (2)** 26:8; 83:17 proper (1) 96:7 proposed (2) 22:7; 23:2 **protect (1)** 37:14 protecting (1) 39:6 protocol (2) 57:1; 94:13 provide (5) 13:17,22; 15:2;16:14;61:2 **provided (3)** 24:19; 38:1;96:8 provides (1) 88:22 **providing (1)** 78:25 **provisions (1)** 59:15 **Provost (23)** 15:7; 33:6,18;34:10;36:17; 37:22;38:11,17; 51:13;65:12,21;67:4, 6;71:11,17,20;73:24;

74:9,13;75:25;79:21;

85:8,14
Public (10) 16:12;
69:25;70:6,19;78:11,
13,20;79:15;80:1;
92:4
pull (1) 91:22
purpose (2) 23:23;
87:4
purposes (2) 4:25;5:6
pursuant (2) 11:12;
17:3
pursue (2) 65:5;86:10
pursued (1) 7:12
put (3) 35:2;47:16;
76:18

## Q

quality (4) 35:18; 72:24;73:3,9 quickly (2) 48:21;75:5 quite (2) 25:15;26:21

R race (2) 35:7;95:14 racial (3) 26:24;27:8, 24 racism (1) 27:15 raised (20) 9:1;26:14, 15;27:7;28:5,6,11; 30:2;37:13;39:20; 42:18;43:9,17;44:16; 45:6;50:23;58:4; 63:2;72:1;88:3 raising (1) 46:8 ran (1) 61:13 **Randy (19)** 9:16; 10:20;11:14;15:7; 17:24:46:6:57:10: 58:23;71:3,5;77:1; 82:1,22;86:11,19,23; 88:21,25;91:5 rank (2) 68:9;69:10 rather (5) 25:17; 26:20;81:23;88:11; 94:11 rationale (1) 61:3 reach (1) 83:23 read (5) 13:25;25:8; 37:24;49:7;58:8 reading (4) 22:24; 57:11;58:11;81:19 really (3) 34:4;57:24; 86:25 reappointed (1) 77:19 reappointment (1) 81:9 re-ask (1) 21:22 reason (5) 5:22;69:1;

18:25;22:18;24:8;

8:1,13;14:11,13;17:9;

74:14;91:25;101:2

reasoning (1) 76:15

reassignment (1)

21:16 recall (51) 8:14;9:1; 10:5;11:25;12:4,5,6, 20.25:13:13:14:5.14. 22;15:12,16;16:2; 20:9;22:24;23:25; 25:3;34:3;36:8,20; 40:20;46:13,19,23; 47:2;52:1;56:16; 57:11,21;58:24;59:9, 24;64:22;65:8;74:20; 75:6;77:4,8;79:23; 83:2,8,9;84:15;86:7; 91:7,9,10;93:21 receive (1) 37:18 received (5) 37:21; 38:23;49:10;78:15; 90:9 receives (1) 68:24 receiving (5) 25:3; 34:3;38:19;58:14; 76:7 recess (2) 48:15; 95:20 recipient (6) 20:22,25; 21:3,7;31:4;38:13 **recipients (1)** 74:6 recollect (6) 12:24; 23:18;42:2;46:18; 58:10,10 recollection (12) 19:20;23:23;31:8; 41:10;42:3;50:16; 57:17;75:15;82:21; 83:7,8;95:5 recommend (3) 65:23; 71:15;73:15 recommendation (12) 6:8;11:23;33:16; 65:3;67:3,6,10;68:4; 72:20;73:20;90:23; 91:16 recommendations (3) 15:8;76:18;89:16 recommended (1) 90:24 recommending (2) 71:12;72:10 reconsidered (1) 46:20 record (7) 5:1,7,11,12; 6:2;50:14;69:5 recreate (2) 64:15,17 rectify (1) 44:16 refer (38) 10:9;14:10; 16:17;17:8,13;18:24; 20:16;22:17;28:19; 30:24;33:13,23;38:7; 39:24;41:16;42:11; 45:24;47:22;52:22; 53:6;54:2,3;55:8; 57:6;58:18;59:17; 60:12;62:19;63:13;

66:19;67:22,23; 70:24;74:2;77:22; 86:16;88:18;91:2 reference (3) 4:14; 16:4;36:11 references (6) 21:11, 13,21;22:2,3,14 **referred (2)** 72:7; 87:25 referring (22) 4:15; 10:10;16:5,25;17:10, 14;21:9;23:16;25:8; 31:1;33:24;36:6,25; 55:10;63:24;66:9; 68:19;79:20;84:1; 87:16;90:1;91:4 refers (9) 16:22;19:5, 13;24:24;34:5;69:18; 84:13;89:25;90:3 Refki (1) 37:3 R-E-F-K-I (1) 37:3 reflect (1) 70:16 reflected (11) 9:22; 35:25;55:2,5;62:12; 63:11;69:19;71:23; 72:15;73:1,23 reflecting (1) 82:16 reflects (2) 57:14;69:2 refresh (2) 50:16; 57:16 **refugee (1)** 29:11 regarding (18) 12:13, 20;15:13;26:15;29:4; 36:9;37:2;51:4;52:5; 58:16;59:1;87:8,11; 88:6,15,16;89:1,17 Reilly (1) 6:8 reinstituted (1) 78:7 relate (1) 84:6 related (1) 78:25 relied (1) 96:6 **relieved (2)** 19:6,13 re-looking (1) 46:24 remaining (4) 14:19; 82:19,24;83:4 remarks (1) 69:22 remember (5) 8:10; 42:10;84:17;90:22; 92:8 remind (1) 87:14 remove (3) 20:13; 21:20;22:2 removed (5) 19:8; 20:2;34:14,24;35:1 rendering (1) 42:24 renew (3) 13:19;64:3; 71:17 renewal (3) 68:6,16; 69:15 renewals (1) 71:1 renewed (5) 71:13,15;

72:11;81:10,15

repeat (4) 37:10;

46:11:87:18:89:3 **rephrase (1)** 5:15 replicate (1) 27:4 **reply (1)** 75:11 report (17) 44:8,12; 48:20;49:3,7,8,9,10, 12,16;50:22;51:7; 55:12,15:56:19; 78:19;88:9 reported (4) 42:16,19; 43:10;49:13 **reporting (1)** 11:3 reports (3) 33:17,20; 44:13 representative (2) 29:20,25 represented (1) 33:3 representing (1) 30:10 represents (1) 4:9 reputation (4) 36:16; 37:14;39:7;41:13 Request (2) 67:20; 68:13 requests (1) 96:16 **require (1)** 25:19 required (1) 83:17 **requirement (1)** 80:14 requirements (1) 53:10 research (11) 33:18; 65:13:69:25:70:5; 78:10;79:14;80:6,10, 12:84:9:92:3 **Resource (11)** 9:15; 10:6;11:2;19:11,18; 29:22;49:19;56:17; 59:5;76:25;86:12 **Resources (24)** 9:17; 10:2,18;11:15;16:7; 17:2;32:11;47:19; 51:3;56:15,21;57:15, 16;58:23;59:13,25; 60:13,23,24;61:2,21; 70:9;96:1,6 respect (1) 83:16 respond (2) 5:13,23 responding (2) 36:21; 38:11 responds (2) 55:23; 67:1 response (17) 37:17; 39:20;43:1;45:6; 48:20;49:10;50:22; 55:22;63:1;69:6; 71:25;74:19,21,25; 75:22,23;76:4 responses (1) 37:19 responsibilities (6) 7:3,18;17:24;79:3;

33:20;56:11;78:22; 85:17 restate (1) 85:20 result (4) 12:7,9; 63:19;94:16 resulted (2) 49:17; 92:17 Rethemeyer (3) 21:5, 8;33:15 R-E-T-H-E-M-E-Y-E-R (1) 21:5 retire (1) 69:1 review (9) 6:4,5,10; 46:7;74:16,18;76:2; 77:25;89:12 reviewed (8) 6:7;47:3; 58:5;75:10,14;81:3, 17,23 reviewing (1) 47:20 right (6) 48:3;59:22; 74:25;79:12;82:16; 91:14 rights (4) 17:21;32:8; 96:2,8 **Risk (1)** 44:14 **Rockefeller (7)** 33:17; 61:24;62:6;78:12,21; 79:8;92:5 role (2) 42:19;44:9 Roman (7) 49:22; 50:2,7,20;54:6;87:11, **ROTONDI (7)** 27:18; 48:5,14;92:21;93:5, 18:96:15 run (3) 7:15;15:20; 61:11 running (1) 35:19 S safe (1) 26:11

salary (3) 82:19,23; 83:4 **same (4)** 5:10;7:19; 18:9;72:6 saw (1) 81:16 saying (3) 67:2;89:25; 92:24 **School (3)** 16:12,15; 20:1 school's (1) 41:13 screen (1) 7:25 scroll (12) 8:3;20:17; 23:9;29:7,14;36:2; 42:22;48:20;53:13; 75:2,5;84:14 scrolling (1) 49:6 scrutiny (1) 25:20 se (1) 72:5 second (14) 20:23; 24:24;25:8;42:12; 43:13;47:25;62:18;

67:23;74:22;80:25; 81:18;83:11,15,22 **security (2)** 81:2,20 seeing (4) 8:10; 57:11;72:5;75:7 **seek (2)** 14:3;64:22 **seeking (3)** 54:25; 71:16;72:14 seem (1) 26:1 **Selchick (12)** 19:4,5; 28:23;29:15,18; 62:23;63:2,10,17,24; 77:2;82:1 S-E-L-C-H-I-C-K (1) 19:4 semester (1) 78:23 sending (4) 25:10; 46:14;91:7,9 sense (1) 12:2 sensed (1) 24:15 sent (12) 31:2,11,14, 18,23;32:1,7,24;37:5; 46:7;71:9;75:18 sentence (1) 40:17 sentiments (1) 38:15 separates (1) 69:1 separation (5) 49:15, 25;50:6;54:11;90:10 series (3) 28:21;46:1; 66:22 serve (1) 79:10 service (2) 69:1;78:25 **session (2)** 25:17; 58:25 set (1) 34:6 seven (1) 43:13 several (7) 8:11; 24:15;29:2;49:12; 55:20;66:21;86:20 sexual (13) 9:4,10; 10:3;12:16;15:18; 43:6;48:19;49:4;50:8, 10,22;87:1,3 sexually-hostile (1) 50:12 **share (2)** 7:25;25:12 **shared (1)** 42:6 **sharing (1)** 36:18

**sheet (3)** 83:19; 84:13;101:1 sheets (4) 84:16,18, 20,23 shipshape (1) 61:11 shocked (1) 25:15 **short (1)** 25:23 **show (5)** 24:8;39:9; 65:18;87:14;89:21

**showed (2)** 49:5; 56:20 **showing (7)** 7:23;8:1; 14:13;17:11;48:17; 63:14;84:22 **shown (1)** 71:10

responsibility (4) 9:19;

responsible (5) 32:12;

85:23;92:6

10:19;34:8,11

24,25

Susan (1) 79:22

45:5:63:19

took (4) 28:10;44:15;

**April 12, 2021** 

| STATE CATABILITY                            |
|---|
| <b>side (5)</b> 10:18;25:20; 42:6;47:19,20  |
| sign (4) 71:13,18;<br>72:19;73:20           |
| <b>signed (5)</b> 74:9;75:6; 76:13;82:10,15 |
| significantly (1) 42:24                     |
|   |
| silo (1) 15:20                              |
| similar (4) 26:21;                          |
| 87:10,21,23                                 |
| simply (2) 5:9;96:5                         |
| sit (2) 29:10;83:2                          |
|   |
| situation (6) 15:18;                        |
| 34:21;36:19;67:5;                           |
| 72:4,7                                      |
| situations (2) 93:21;                       |
| 94:1  |
| six (1) 53:19                               |
| SIX (1) 33.19                               |
| <b>Skype (1)</b> 55:19                      |
| slowly (2) 8:4;48:21                        |
| <b>sn (1)</b> 29:4                          |
| so-called (1) 27:2                          |
| somebody (5) 38:15;                         |
| 48:12;60:6;90:4,5                           |
|   |
| somehow (1) 42:8                            |
| sometime (1) 8:23                           |
| <b>sometimes (1)</b> 8:23                   |
| somewhere (1) 70:14                         |
| Sommer (6) 4:8;46:2;                        |
| 57:9;88:21,22;89:7                          |
|   |
| soon (2) 41:7,14                            |
| sorry (14) 6:1;23:22;                       |
| 26:19;32:20;38:8;                           |
| 45:4;47:2;51:6;69:4;                        |
| 80:18;81:14;89:3,23;                        |
| 90:15                                       |
| sort (1) 30:5                               |
| sounds (4) 34:25;                           |
| 69:12;74:25;75:11                           |
|   |
| <b>speak (3)</b> 5:20;29:5;                 |
| 88:13                                       |
| speaking (4) 26:13;                         |
| 29:24;30:16,21                              |
| <b>specific (4)</b> 39:19;                  |
| 41:9;74:14;96:4                             |
| specifically (11) 5:2;                      |
| 0.16.42.12.40.12.                           |
| 9:16;42:12;49:12;                           |
| 53:7;55:10;68:14;                           |
| 83:12;90:22;91:4;                           |
| 93:23                                       |
| specifics (2) 66:10;                        |
| 80:3  |
| <b>spoke (1)</b> 28:13                      |
| spring (2) 13:2;78:23                       |
|   |
| <b>springs (1)</b> 94:5                     |
| Staff (14) 6:22;7:4,16;                     |
| 20:4;23:18;32:19,20;                        |
| 39:1;50:4;52:7,14,20;                       |
| 54:8;88:11                                  |
| stage (1) 47:11                             |
| standard (4) 57:1;                          |
| 66.17.80.15.06.17                           |
| 66:17;80:15;96:17                           |
| <b>standards (3)</b> 79:23;                 |
|   |

```
80:16,20
Stark (31) 9:16;10:20,
  22;11:14;15:7;17:24;
  46:6;57:10;58:23;
  71:3,5;77:1;82:1,15,
  22;86:11,19,23;
  87:10,21,22;88:5,21,
  23,25;89:5,9,16,24;
  91:5,8
Stark's (2) 87:6;90:18
start (2) 16:19;69:15
started (1) 44:20
starting (3) 13:4;26:4;
  65:15
starts (2) 78:18;83:15
State (9) 4:10,11,15;
  6:1;16:23;17:15;
  41:19,23;70:14
stated (2) 63:17;91:24
statement (8) 41:15;
  74:19,21;76:3;88:14;
  90:18;91:15;93:7
statements (1) 45:19
states (16) 21:10;
  24:13;29:18;39:12;
  42:23;43:13;49:8;
  53:14;55:17,20;
  59:20;63:20;68:10;
  74:8,15;86:22
stating (2) 68:3;83:3
Status (3) 67:20;
  68:12;86:7
stayed (1) 32:13
Stellar (19) 24:12;
  25:5;33:6,25;34:5;
  35:24;36:8,17;37:12,
  22;38:11,17;49:11;
  52:5,10;65:21;68:3;
  71:4;74:6
Stellar's (2) 34:8,10
stenographer (1) 5:4
step (3) 17:6;74:16;
  76:1
steps (3) 17:2;27:14;
  28:16
still (6) 27:20;30:11;
  34:17;40:7;90:5;
  95:11
stipend (7) 68:11;
  69:7,9,11;70:7,11,17
strategic (2) 7:8,8
streamline (1) 15:25
strike (15) 6:17;15:3,
  12;23:6,25;31:16;
  33:8;41:8;44:7;
  54:17;67:21;73:18;
  77:8;82:6;87:21
strong (1) 26:23
strongly (1) 26:25
struggle (1) 86:24
student (11) 24:14,17,
```

25;25:2;26:4,9;42:4;

43:7;50:10;61:9;80:6

| students (13) 23:19;   |
|--|
| 24:20;26:14,15;27:7;<br>49:13,14;50:4;52:7,                  |
| 49:13,14;50:4;52:7,  |
| 14;54:8;61:9;78:23 <b>student's (1)</b> 50:15                |
| Student <b>5 (1)</b> 50.15                                   |
| <b>Studies (4)</b> 51:14; 85:13,14,15                        |
| sub (7) 37:1;38:8;   |
| 74:3,4;75:3;89:22;   |
| 91:3   |
| subject (11) 22:21;  |
| 31:3;55:12,14;57:2;  |
| 65:22;71:2;92:25;<br>93:13;94:25;101:19                      |
| submit (2) 74:19;76:3  |
| submitted (2) 75:22,   |
| 23   |
| subordinate (2) 53:1,2                                       |
| subsequently (2)   |
| 76:10;91:20  |
| substantiated (1)  |
| 12:15<br>success (2) 80:22;                                  |
| 84:2   |
| SUNY (72) 4:13,14;   |
| 7:4;8:8,14,17;9:23;  |
| 10:1;11:11;14:5;   |
| 21:21;22:2;26:16;  |
| 27:12,14,22;30:4,5,  |
| 20;32:6,16,18,20;  |
| 34:13,23;37:14;  |
| 38:19;39:19;40:7,11,<br>14;42:1;43:25;45:5,                  |
| 11,21;46:10,14,15,16   |
| 19,23;47:3,13;50:24;   |
| 56:5,11;58:13,24;  |
| 59:7,18;60:2,3,17,20   |
| 61:5;62:5,10;63:5;   |
| 64:3,9,11,17,22;   |
| 72:13;81:8;82:16;  |
| 85:9;88:14;90:15;  |
| 92:12;94:9   |
| supervising <b>(1)</b> 7:16<br>supervision <b>(2)</b> 85:19, |
| 25   |
| supervisor (3) 51:15,  |
| 18;73:15   |
| <b>supervisory (1)</b> 10:19                                 |
| support (3) 64:14,18;  |
| 88:6   |
| supporting (1) 38:14<br>Supreme (3) 41:19;                   |
| 43:2;45:1  |
| sure (23) 7:11;16:10;  |
| 17:18;21:25;23:11;   |
| 34:10,15;35:12;  |
| 37:11;46:12;48:13;   |
| 63:6;64:8,10;72:8;   |
| 75:21;85:21;87:19;   |
| 89:4;94:17;95:17,19,   |
| 24   |
| surprise (1) 41:11<br>surprised (3) 40:23,                   |
| 301 pri 360 (3) 40.23,                                       |
|  |

```
swear (1) 5:4
sworn (1) 4:3
SZELEST (10) 4:1;
  6:3,4;31:5,7;33:25;
  48:23;66:25;95:22;
  101:25
          T
talk (2) 5:16;48:1
talked (2) 27:22;72:18
talking (2) 5:10;82:11
target (1) 83:24
tasks (2) 53:15;79:3
taught (1) 16:10
teaching (1) 78:22
temp (1) 68:16
ten (1) 94:14
tenure (1) 68:24
term (14) 68:16,17,20;
  69:3,16,19;70:10;
  71:1;77:9;78:6;82:19,
  24;83:5;90:6
terminate (12) 14:6;
  15:5;59:22;60:3,11,
  16,18,25;61:6;62:11;
  63:6;82:17
terminated (3) 14:23,
  24;15:17
terminating (1) 61:17
termination (4) 14:19;
  65:25;66:18;91:25
terms (4) 10:19;
  56:12;86:4;88:13
testified (1) 4:3
Thanks (1) 96:15
therefore (2) 30:9;
  38:2
third (4) 21:1;26:19;
  41:20:45:2
though (7) 22:10;
  34:22;92:19;93:2,15;
  94:21:95:2
thought (2) 61:12;
  63:3
thoughts (1) 72:21
three (10) 50:20,23;
  51:4;77:15,16,18,20;
  79:6;80:4,9
three-year (1) 78:6
times (1) 83:23
Title (25) 9:25;10:4,7;
  11:3;18:4;39:2;40:6,
  7,10;41:22;42:1,5,19;
  43:11,21;44:1,9;
  45:16;47:15,19;51:3;
  56:21,22;57:3;86:8
today (5) 4:18;5:6,22;
  6:4;8:12
today's (1) 6:14
```

top (5) 23:10;40:19, 22;41:11;48:22 totally (1) 25:18 touch (3) 53:15,17,18 toward (1) 43:18 towards (1) 42:22 tracking (1) 7:10 transactions (1) 47:21transcript (4) 5:5; 96:16;101:20,22 transmittal (1) 69:8 transparently (1) 25:24 travel (1) 26:21 treatment (1) 47:5 true (2) 101:20,22 truthfully (1) 5:23 try (3) 5:15;64:17; 73:6 two (34) 9:8;13:24; 16:11;24:10;26:3; 33:2;35:3,7,17;38:20; 48:5,6;66:13,15; 69:17,20,23;70:4; 77:6,15,15,17,19; 78:17;80:24;81:2,9, 20;82:8;83:18;86:4; 87:11,14;94:4 type (4) 5:10;68:17,

### U

**types (6)** 18:21;19:21;

27:11;28:16;29:23;

20:69:12

38:19

**typical (4)** 19:21; 21:20;22:1;73:14

ultimate (2) 11:9; 13:18 ultimately (6) 11:21; 12:12;13:14;14:6; 58:25;93:2 **Umm-hmm (1)** 4:17 **UN (1)** 29:11 **unaware (1)** 96:3 uncomfortable (2) 67:5,9 uncovered (1) 12:18 under (11) 5:5;11:17; 17:22;32:9;41:2; 43:11;59:14;85:18, 24;96:2,8 underlined (1) 71:12 understood (1) 20:8 undertake (1) 92:5 undertaken (1) 20:4 undertaking (1) 46:21

undertook (1) 39:19

unearthed (1) 9:6

**unease (1)** 61:9

told (1) 25:4

STATE UNIVERSITY OF NEW YORK, et al.

| Unfortunately (1)                       | violated (6) 11:10;                          | worked (1) 86:24                             | 85:9;92:6                                    |  |
|---|--|--|--|--|
| 39:12                                   | 32:8;45:11,21;59:10;                         | working (5) 39:21,22;                        | <b>2015 (1)</b> 83:20                        | 5  |
| unfounded (3) 87:2,                     | 88:12  | 50:12;74:18;76:3                             | <b>2017 (18)</b> 7:1,19;                     |  |
| 24;89:19                                | violating (1) 59:6                           | workplace (1) 87:3                           | 44:20;51:19;53:9,21,                         | <b>5:00 (1)</b> 71:8                     |
| <b>United (3)</b> 16:23;17:4, 15        | violation (4) 49:14;<br>50:8;60:2,20         | works (1) 48:9<br>writing (4) 41:5;65:23;    | 25;54:21,25;55:11,<br>14,17,23,25;56:10;     | (  |
| University (57) 4:15,                   | violations (4) 49:20,                        | 71:9;86:24                                   | 68:11;70:15;83:20                            | 6  |
| 16;6:8,23;7:10;11:17,                   | 23;50:3;54:5                                 | wrong (3) 32:17;                             | <b>2018 (112)</b> 6:9;7:1,                   | <b>6 (6)</b> 42:11;74:3,4;               |
| 22;14:2;15:8;16:23;                     | violence (1) 87:3                            | 46:21;47:7                                   | 19;8:8,20,24;9:15,23;                        | 75:3;86:19;90:15                         |
| 17:3,5,15;18:15,19;                     | vis-à-vis (1) 26:7                           | wrongly (1) 32:16                            | 10:12;11:12,20;12:3;                         | <b>6:59 (1)</b> 31:3                     |
| 20:4;25:14,20;26:2,                     | voice (1) 43:17                              | ₹7   | 13:2,5;14:7,16,20,25;                        | <b>6th (1)</b> 90:19                     |
| 11;29:21,25;30:10,                      | volunteer (1) 69:23                          | Y  | 15:6,17;17:16,25;                            | _  |
| 15;32:13,19;36:17;<br>37:23;38:4;39:12; | Volynsky (1) 37:4<br>V-O-L-Y-N-S-K-Y (1)     | year (25) 13:24;66:1,                        | 18:7,10,12;19:2;<br>20:20,24;21:2,6;         | 7  |
| 40:13;41:5,23;42:6;                     | 37:4   | 7,13,15,15,16;77:5,                          | 20:20,24,21.2,0, 22:21,25;23:14;             | - (2) 2 ( 2   2   4   4   6              |
| 44:15;49:9,16,21,25;                    | 37.4   | 15,16;80:24,24;81:4,                         | 24:12;25:1;26:16;                            | <b>7 (3)</b> 36:24;37:1;46:6             |
| 50:6,8;54:6,12;59:21;                   | $\mathbf{W}$                                 | 7,16,18,24;82:8,23,                          | 28:23,25;29:2,4,6,9,                         | 8  |
| 60:5,7;61:13,14;65:4;                   |  | 23;83:5;86:4;90:6,10,                        | 15;31:2,20,24;34:1;                          | 0  |
| 76:19,20;78:10;                         | wage (1) 90:9                                | 13   | 36:10;37:2;38:10;                            | <b>8 (27)</b> 8:8,20,24;9:15,            |
| 82:18;83:3;90:6,7,12                    | warrant (1) 18:15                            | years (20) 6:25;7:1;                         | 39:11;40:2,21;46:3,4,                        | 23;10:12;11:12,19;                       |
| University's (1) 39:7                   | way (6) 15:20;25:24;                         | 13:24;66:13;69:17,                           | 4,6,10,17,21;47:4,17;                        | 17:25;18:7,10,12;                        |
| unlawful (1) 86:14                      | 43:21;82:4;93:9,10                           | 20;77:6,15,15,16,16,                         | 49:11;51:10,16;                              | 19:2;20:20,24;21:2,6;                    |
| unless (5) 5:1,11;                      | website (4) 21:10,14,                        | 17,18,20,20;81:2,9,                          | 54:19;57:11,16,23;                           | 22:21;23:14;28:25;                       |
| 81:9,21;91:19                           | 21;22:15                                     | 20;82:8;86:5                                 | 58:1,22;59:19,23;                            | 29:2,4;31:24;47:17;                      |
| unpaid (1) 70:12                        | weeks (2) 8:11,21                            | Yep (1) 79:9                                 | 60:4,17;61:1,7;62:13,                        | 75:4,23;83:13                            |
| unreasonable (1) 43:4                   | whatnot (2) 36:17;                           | York (7) 4:10,10,16;                         | 16,23;63:7;64:3,19,                          | <b>8/31/2020 (1)</b> 69:16               |
| unrest (1) 61:8<br>unsatisfactory (1)   | 38:3 what's (26) 8:1;14:10,                  | 16:23;17:16;41:19,23<br>Young (6) 4:8;46:2;  | 25;65:2,21;68:1,2;<br>71:2,6,8,23;72:16;     |  |
| 38:18                                   | 13;18:24;25:9;28:19;                         | 57:9;88:21,22;89:7                           | 73:1,4,10,24;74:7;                           | 9  |
| unsure (2) 70:8;75:19                   | 30:24;38:2;39:9;                             | 37.7,00.21,22,07.7                           | 75:4,23,25;82:15,20;                         | 0 (10) 14 00 04                          |
| unwelcome (1) 50:9                      | 41:16;45:24;48:17;                           | Z  | 83:13;85:9;86:7,20;                          | 9 (16) 14:20,24;                         |
| up (9) 23:9,9;29:14;                    | 56:8;57:6;58:18;                             |  | 88:22;90:15,20;91:5,                         | 26:16;28:23;29:15;<br>31:2,20;37:2;40:2; |
| 34:6;48:21;60:7;                        | 63:15;65:18;66:19;                           | Zwicklbauer (1) 68:1                         | 22   | 57:16,23;58:1,22;                        |
| 69:7;91:23;95:16                        | 67:23;77:12,22;                              | ( )  | <b>2019 (2)</b> 82:20;83:6                   | 62:16;82:20;83:6                         |
| update (1) 38:12                        | 86:16,18;89:6,21;                            | 1  | <b>2020 (4)</b> 41:21;44:18;                 | 02.10,02.20,03.0                         |
| <b>updates (1)</b> 36:9                 | 91:10  |  | 68:12;79:20                                  |  |
| <b>upon (4)</b> 39:5;53:10;             | whatsoever (1) 94:13                         | <b>1 (2)</b> 25:9;50:21                      | <b>21 (3)</b> 57:10;83:23;                   |  |
| 89:18;92:23                             | Whereupon (3) 48:15;                         | <b>10 (22)</b> 6:9;14:7,15;                  | 88:22  |  |
| <b>upside (1)</b> 84:15                 | 95:20;96:19                                  | 15:6,17;38:7,8;59:19,                        | <b>22 (2)</b> 34:1;36:10                     |  |
| use (2) 88:4;89:12                      | Whichever (1) 33:19                          | 23;60:4,16;61:1,7;                           | <b>23 (2)</b> 86:7;91:5                      |  |
| used (1) 71:14<br>usually (1) 39:2      | white (2) 35:10,13<br>William (3) 71:2;74:5; | 62:12,13;64:25;65:2;<br>68:11;82:14,20;83:5; | <b>25 (1)</b> 41:21 <b>26 (2)</b> 62:23;63:7 |  |
| UUP (13) 17:13,22;                      | 75:4   | 91:21  | <b>27 (2)</b> 39:11;65:21                    |  |
| 20:12;30:12,13;32:9,                    | Williams (5) 24:11;                          | <b>100 (1)</b> 53:3                          | <b>28 (2)</b> 53:9,21                        |  |
| 13;59:14,21;66:10;                      | 25:1,5;85:8;86:3                             | <b>10th (2)</b> 64:24;82:17                  | <b>20 (2)</b> 55.5,21                        |  |
| 68:16;96:2,8                            | Williams' (1) 85:12                          | <b>11/10/2017 (1)</b> 69:15                  | 3  |  |
|   | wishes (1) 71:19                             | <b>12:45 (1)</b> 96:20                       |  |  |
| ${f V}$                                 | without (9) 63:22;                           | <b>13 (2)</b> 55:14,17                       | <b>3 (2)</b> 64:3,19                         |  |
|   | 67:6;68:10;69:9,11;                          | <b>14 (6)</b> 24:12;25:1;                    | <b>30-second (1)</b> 47:25                   |  |
| vacuum (1) 38:6                         | 70:10,17,19;94:13                            | 46:3;55:11;74:7;                             | <b>31 (1)</b> 68:12                          |  |
| vaguely (3) 26:7;42:2;                  | witness (7) 4:2,12;                          | 75:25  | <b>32.3 (1)</b> 59:21                        |  |
| 75:8                                    | 47:24;48:8,11;95:19;                         | <b>15 (1)</b> 38:10                          | 4  |  |
| <b>various (4)</b> 7:9;46:9;            | 96:14  | <b>16 (3)</b> 46:4;78:15;                    | 4  |  |
| 55:1;68:13                              | women (1) 35:7                               | 92:6   | A (7) 55 02 CO 1 0                           |  |
| Ventura (1) 29:9                        | wonder (1) 84:4                              | <b>18 (1)</b> 46:4                           | <b>4 (7)</b> 55:23;68:1,2;                   |  |
| verify (1) 96:4<br>version (1) 85:2     | wondering (1) 25:12<br>word (1) 84:3         | <b>18-013 (1)</b> 48:20                      | 70:15;89:22;90:14;<br>91:4                   |  |
| versus (5) 66:15;77:6;                  | wording (2) 43:1,5                           | 2  | <b>4/28/2018 (2)</b> 66:22;                  |  |
| 80:24;82:8;86:4                         | words (2) 43:1;96:5                          |  | 67:1   |  |
| vice (7) 7:6,9;33:18;                   | work (10) 16:3,16;                           | <b>2 (10)</b> 49:11;71:2,6,8,                | <b>4/3/2018 (2)</b> 63:17;                   |  |
| 44:13;51:13;65:12;                      | 35:6;41:25;43:22;                            | 23;72:15;73:1,4,9,24                         | 64:11  |  |
| 85:14                                   | 51:24;62:6;72:25;                            | <b>2/9 (2)</b> 22:22;23:17                   | <b>45 (1)</b> 47:25                          |  |
| view (1) 61:20                          | 73:4,9                                       | <b>2014 (4)</b> 78:15;79:19;                 |  |  |
| ` ,                                     |  |  |  |  |

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